



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>C12N 15/87, A61K 39/385, 48/00, 47/48</b>		<b>A1</b>	(11) International Publication Number: <b>WO 98/44143</b>
			(43) International Publication Date: 8 October 1998 (08.10.98)
(21) International Application Number: <b>PCT/US98/06609</b>		(74) Agent: SEIDE, Rochelle; Baker & Botts, LLP, 30 Rockefeller Plaza, New York, NY 10112-0228 (US).	
(22) International Filing Date: 3 April 1998 (03.04.98)			
(30) Priority Data:		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).	
9706735.9 3 April 1997 (03.04.97) GB			
9719625.7 15 September 1997 (15.09.97) GB			
9722316.8 22 October 1997 (22.10.97) GB			
(71) Applicants (for all designated States except US): GENZYME CORPORATION [US/US]; One Mountain Road, Framingham, MA 01701 (US). POLYMASC PHARMACEUTICAL, PLC [GB/GB]; Fleet Road, London NW3 2EZ (GB).			
(72) Inventors; and			
(75) Inventors/Applicants (for US only): SMITH, Alan, E. [GB/US]; 1 Mill Street, Dover, MA 02030 (US). O'RIORDAN, Catherine, R. [IE/US]; 39 Goldsmith Street, Boston, MA 02130 (US). FRANCIS, Gillian, E. [GB/GB]; Summer Cottage, Cane End, Reading, Berkshire RG4 9GH (GB). PARKES, Vincent [GB/GB]; 28 Eduft Road, Boreham Wood, Hertfordshire WD6 5AD (GB). DELGADO, Christina [GB/GB]; Flat 3, 117 Canfield Gardens, London NW6 3DY (GB).		Published With international search report.	
(54) Title: POLYMER-MODIFIED VIRUSES			
(57) Abstract			
<p>The present invention relates to polymer-modified viruses, processes for obtaining them and their use. In a preferred embodiment the polymer is polyethylene glycol (PEG). In one embodiment, the polymer is directly covalently attached to the virus. In another embodiment, the polymer is indirectly covalently attached to the virus via an intermediate coupling moiety. In yet another embodiment, the polymer is indirectly noncovalently attached to the virus via a ligand. In a preferred embodiment, the ligand has specificity for a viral surface component. For example, the ligand may be an antibody. The present invention further provides a method of making viruses modified by polymers, whereby the modified viruses retain infectivity. Another embodiment of the present invention provides a method for introducing a transgene into a target cell comprising contacting the target cell with a polymer-modified virus, wherein the virus comprises the transgene. The present invention further provides a method of delivering a virus to a tumor, comprising administering a polymer-modified virus of the invention to a subject in need of such treatment under conditions whereby the polymer-modified virus localizes to a tumor. In another embodiment, the present invention provides a composition comprising a virus modified by a polymer and a carrier.</p>			

\*(Referred to in PCT Gazette No. 16/1999, Section II)

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

- 1 -

DescriptionPolymer-Modified Viruses

5

The present application claims priority from United Kingdom Patent Application Nos. 9706735.9, 9719625.7 and 9722316.8 filed April 3, September 15 and October 22, 1997, respectively.

10

Background of the Invention

Viruses have many potential therapeutic uses, for example in gene therapy, whereby the viral genome is used as a vector for foreign genes, as well as in vaccination and cancer therapy, for example by exploiting the phenomenon of viral oncolysis, which exploits cell destruction following selective virus replication in certain tumors.

15

However, clinical use of viruses presents certain problems. For example, many human subjects are pre-immune to common viruses such as adenoviruses, and thus have circulating antibodies. In cases in which the circulating antibodies are neutralizing in nature, the administered viral particles may have reduced or no infectivity. Repeated administration may exacerbate this problem, since most viruses are highly immunogenic.

20

Immune responses may also contribute to the toxicity of viral administration, and in cases in which cellular immunity is involved, some profound tissue damage may result.

25

In addition to problems related to the immune system, virus particles are also potentially vulnerable to other clearance mechanisms. Particulates tend to be filtered by the liver and spleen via a mechanism involving phagocytic/endocytic uptake by macrophages. Viral aggregates may be cleared by such mechanisms. In addition, activation of the complement system by viruses may be a factor involved in the inactivation of some viral vectors. Proteolysis and, where relevant, lipolysis, may also potentially damage viral particles.

30

Viral particles also often have highly specific tissue distribution. This is not always desirable in the therapeutic applications envisaged for the virus. For example, it is

- 2 -

desirable in some settings to circumvent the natural viral tissue distribution, possibly simultaneously 'targeting' the virus to a new site such as a tumor. With appropriate modification of viral vectors, both active and passive targeting strategies should be feasible with such vectors. However, abrogation of tissue specific localization systems may make viral particles more susceptible to non-specific uptake mechanisms. One form of passive targeting particularly relevant to viral vectors for use in gene therapy for cancer or in viral oncolysis is the so-called enhanced permeability and retention effect, which exploits leaky vasculature and poor lymphatic drainage in tumors, which can achieve enhanced localization of particulates.

Virus particles also have veterinary and agricultural uses which share some of the above problems.

Polymer modification has been shown, in the context of polymer-protein and polymer-liposome constructs, to have the potential to solve many problems. For example, polymer cover has been demonstrated to reduce antigenicity and immunogenicity. In addition, light polymer cover can turn an antigen into a tolerogen. Polymer cover can also ameliorate reticuloendothelial system (RES) uptake of particulates. Further, polymer can serve as a linker to couple targeting devices to the surface of other molecules or macromolecular structures to target them to specific sites.

However, living viruses are very different in their characteristics to proteins and liposomes. The surface structures involved in infectivity might well be compromised by polymer modification. Virtually all clinical applications of viruses require infectivity to be maintained.

It has been surprisingly found in accordance with the present invention that viral particles can be polymer modified and yet retain infectivity. It has also been discovered that polymer modification of viruses results in the acquisition of beneficial properties such as improved capacity to infect in the presence of neutralizing antibodies.

### Summary of the Invention

The present invention provides viruses modified by polymers. In a preferred embodiment the polymer is polyethylene glycol (PEG). In one embodiment, the polymer



- 3 -

is directly covalently attached to the virus. In another embodiment, the polymer is indirectly covalently attached to the virus via an intermediate coupling moiety. In yet another embodiment, the polymer is indirectly noncovalently attached to the virus via a ligand. In a preferred embodiment, the ligand has specificity for a viral surface component. For example, the ligand may be an antibody.

The present invention further provides a method of making viruses modified by polymers, whereby the modified viruses retain infectivity.

Another embodiment of the present invention provides a method for introducing a transgene into a target cell comprising contacting the target cell with a polymer-modified virus, wherein the virus comprises the transgene.

The present invention further provides a method of delivering a virus to a tumor, comprising administering a polymer-modified virus of the invention to a subject in need of such treatment under conditions whereby the polymer-modified virus localizes to a tumor.

In another embodiment, the present invention provides a composition comprising a virus modified by a polymer and a carrier.

#### Brief Description of the Drawings

Fig. 1 shows capillary electropherographs of adenovirus treated with 3% (w/v) TMPEG and MPEG.

Fig. 2 is a graph of the time course of mobility change on capillary electropherographs of adenovirus treated with 3 % (w/v) TMPEG.

Fig. 3A-D shows photon correlation spectroscopy results demonstrating the change in viral particle size during PEGylation.

Fig. 4 depicts infectivity (CPRG) assay results for a single addition of 3 % TMPEG, 3% MPEG and control virus exposed for 0-6h.

Fig. 5A-E depicts infectivity (CPRG) assay results for stepwise additions of 5% PEG<sub>5000</sub>, PEG<sub>12000</sub>, or PEG<sub>20000</sub>.

- 4 -

Fig. 6A-C depicts infectivity (chemiluminescence, RLU) assay results for stepwise additions of 3%, 5% or 8% PEG<sub>5000</sub>.

Fig. 7A-C depicts infectivity (chemiluminescence, RLU) assay results for stepwise additions of 5% PEG<sub>5000</sub>.

5 Fig. 8A-C depicts infectivity (chemiluminescence, RLU) assay results for stepwise additions of 5% PEG<sub>12000</sub> and PEG<sub>20000</sub>.

Fig. 9 depicts infectivity (chemiluminescence, RLU) assay results for a single addition of 3 % PEG<sub>5000</sub>.

10 Fig. 10A and B shows graphs of an antibody neutralization assay for the impact of stepwise additions of 5% PEG<sub>5000</sub> on neutralization of infectivity (chemiluminescence, RLU assay), 10,000:1 antibody molecules to virus particles.

Fig. 11A and B shows graphs of antibody neutralization assays for the impact of stepwise additions of 5% PEG<sub>5000</sub> on neutralization of infectivity (chemiluminescence RLU assay); 5,000:1 antibody molecules to virus particles.

15 Fig. 12A and B shows graphs of an antibody neutralization assay for the impact of stepwise additions of 5% PEG<sub>12000</sub> on neutralization of infectivity (chemiluminescence RLU assay); 10,000:1 antibody molecules to virus particles.

Fig. 13 shows a graph of a fluorescamine assay of anti-hexon antibody modified using TMPEG.

20 Fig. 14 shows a graph of a fluorescamine assay of MAb 8052 modified using cyanuric chloride-MPEG.

Fig. 15 shows an SDS-PAGE gel showing immunoprecipitation of adenoviral hexon by PEGylated anti-hexon antibody.

25 Fig. 16A-E depicts gel permeation chromatography of antibody and PEGylated antibody on a Superose 12 column.

Fig. 17A-J depicts antibody competition ELISA, showing competition of biotinylated anti-hexon antibody by binding to virus in the presence of increasing concentrations of PEG antibody.

30 Fig. 18A-C shows the elution profile of control and TMPEG-treated virus from DEAE ion exchange resin following chromatography.

- 5 -

Fig. 19 A-C shows the elution profile of untreated (panel 19a), MPEG treated (panel 19b) and TMPEG treated (panel 19c) Adenovirus ONYX-015 from 1 ml Resource Q column (Pharmacia).

Fig. 20 depicts infectivity assay results (ELISA for hexon protein) following stepwise additions of 5% TMPEG<sub>5000</sub> or MPEG<sub>5000</sub> to Adenovirus ONYX-015.

Fig. 21 A-F shows a laser copy of photographs demonstrating cytopathic effect (CPE) for untreated Adenovirus ONYX-015 (panels A-B) and ONYX-015 incubated with 5% MPEG<sub>5000</sub> (panels C-D) or TMPEG<sub>5000</sub> (panels E-F).

Fig. 22 shows a laser copy of immunofluorescence photographs (staining with anti-hexon antibody) demonstrating infectivity and replication of adenovirus ONYX-015 incubated with TMPEG<sub>5000</sub>.

Fig. 23 shows the infectivity measured by plaque assay of vaccinia virus following stepwise addition of MPEG<sub>5000</sub> or TMPEG<sub>5000</sub>.

Fig. 24 shows photographs demonstrating infectivity measured by  $\beta$ -galactosidase expression of vaccinia virus, following step-wise addition of MPEG<sub>5000</sub> or TMPEG<sub>5000</sub>.

Fig. 25 shows an autoradiograph of an SDS-PAGE demonstrating the early gene expression (production of  $\gamma$ -IFN $\gamma$  receptor) following infection with vaccinia virus which had been incubated with MPEG<sub>5000</sub> or TMPEG<sub>5000</sub> using step-wise addition.

Fig. 26 demonstrates the expression of late genes (IL-1 $\beta$  receptor) following infection with vaccinia virus which had been incubated with MPEG<sub>5000</sub> or TMPEG<sub>5000</sub> (step-wise addition).

Fig. 27 demonstrates protection from neutralisation by anti-vaccinia serum for Vaccinia virus which had been incubated with TMPEG<sub>5000</sub> (step-wise addition).

Fig. 28 A-B shows the infectivity measured by plaque assay of Retrovirus following step-wise addition of MPEG<sub>5000</sub> or TMPEG<sub>5000</sub>.

Fig. 29 A-F shows lacZ expression following infection with Retrovirus which had been incubated with MPEG<sub>5000</sub> or TMPEG<sub>5000</sub> (step-wise addition).

Fig. 30 A-B shows the infectivity measured by plaque assay of Herpesvirus following step-wise addition of MPEG<sub>5000</sub> or TMPEG<sub>5000</sub>.

- 6 -

Fig. 31 A-B shows the elution profile of ONYX-015 incubated with PVP (panel 32a) and activated PVP (panel 32b) from 1 ml Resource Q column (Pharmacia).

Fig. 32 shows immunofluorescent staining of liver (A) and tumor sections (B and C) taken from nude mice bearing LS174T human colon carcinoma injected with PEGylated virus (A and B) or control virus (C).

Fig. 33 shows transgene expression in mice infected with PEGylated or sham treated adenoviral vectors.

#### Detailed Description of the Invention

The present invention provides viruses modified by polymers. Such a viral particle has one or more polymer molecules covalently or noncovalently bound thereto. The polymer-modified viruses of the present invention maintain the biological property of infectivity.

In accordance with the present invention, polymers are generally large non-immunogenic, biologically inert molecules comprising a chain of smaller molecules linked by covalent bonds. Polymers useful in accordance with the present invention are those polymers which, when covalently or noncovalently bound to a virus, provide a polymer-modified virus that retains detectable levels of infectivity and is substantially non-immunogenic. The polymers preferably have an average molecular weight of from about 200 to about 20,000 daltons. The polymers are biocompatible, and may be linear or branched. The polymers may be homopolymers or heteropolymers. Suitable polymers for use in the present invention include polyalkalene compounds such as polyalkalene oxides and glycols. Polyalkalene compounds include polyoxymethylene, polyethylene glycols (PEG) and oxides, and methoxypolyethyleneglycols, and derivatives thereof including for example polymethyl-ethyleneglycol, polyhydroxypropyleneglycol, polypropylene glycol, polymethylpropylene glycol, polyhydroxypropylene oxide and polyvinyl pyrrolidone (PVP).

A preferred polymer in accordance with the present invention is PEG. PEG is a water-soluble polymer having the formula  $H(OCH_2CH_2)_nOH$ , wherein  $n$  is the number of repeating units and determines the average molecular weight. PEGs having average

- 7 -

molecular weights of from 200 to 20,000 daltons are commercially available. In accordance with the present invention, PEG having an average molecular weight of from 200 (PEG<sub>200</sub>) to 20,000 (PEG<sub>20,000</sub>) may be used to prepare viruses modified by PEG. In a preferred embodiment, the PEG has an average molecular weight of from about 2000 to about 12,000. In a more preferred embodiment, the PEG has an average molecular weight of about 5000.

It has been discovered in accordance with the present invention that polymer-modified viruses can exhibit reduced antigenicity while retaining infectivity. Accordingly, viruses that are useful for the present invention include viruses for which the properties of infectivity and reduced antigenicity are desired. Further, the polymer-modified viruses of the present invention may exhibit increased circulation time in vivo. Thus the present polymer-modified viruses have utility for therapeutic and diagnostic in vivo applications.

The polymer-modified viruses have utility in medical therapy and diagnosis in medical and veterinary practice and in agriculture. They are of particular use in gene therapy (for example the delivery of genes for the localized expression of a desired gene product) and for non-gene therapy applications such as, but without limitation, viral oncolysis. The viruses are useful, for example, to deliver genes, toxins and/or diagnostic markers. An additional application is in the creation of tolerogens for viral antigens. More specifically, the present invention is directed to a virus selected from RNA and DNA viruses. Preferably the virus used is selected from the following families and groups: Adenoviridae; Birnaviridae; Bunyaviridae; Caliciviridae; Capillovirus group; Carlavirus group; Carmovirus virus group; Group Caulimovirus; Closterovirus Group; Commelina yellow mottle virus group; Comovirus virus group; Coronaviridae; PM2 phage group; Corbicoviridae; Group Cryptic virus; group Cryptovirus; Cucumovirus virus group Family  $\phi 6$  phage group; Cystoviridae; Group Carnation ringspot; Dianthovirus virus group; Group Broad bean wilt; Fabavirus virus group; Filoviridae; Flaviviridae; Furovirus group; Group Geminivirus; Group Giardiavirus; Hepadnaviridae; Herpesviridae; Hordeivirus virus group; Ilarvirus virus group; Inoviridae; Iridoviridae; Leviviridae; Lipothrixviridae; Luteovirus group; Marafivirus virus group; Maize chlorotic

- 8 -

dwarf virus group; icroviridae; Myoviridae; Necrovirus group; Nepovirus virus group; Nodaviridae; Orthomyxoviridae; Papovaviridae including adeno-associated viruses; Paramyxoviridae; Parsnip yellow fleck virus group; Partitiviridae; Parvoviridae; Pea enation mosaic virus group; Phycodnaviridae; Picornaviridae; Plasmaviridae; Podoviridae; Polydnviridae; Potexvirus group; Potyvirus; Poxviridae; Reoviridae; Retroviridae; Rhabdoviridae; Group Rhizidiovirus; Siphoviridae; Sobemovirus group; SSV1-Type Phages; Tectiviridae; Tenuivirus; Tetraviridae; Group Tobamovirus; Group Tobravirus; Togaviridae; Group Tombusvirus; Group Torovirus; Totiviridae; Group Tymovirus; Plant virus satellites.

Particularly preferred viruses for the purpose of delivery of transgenes include, for example, retrovirus, adenovirus, adenoassociated virus, herpesvirus and poxvirus. Adenovirus is particularly preferred.

As used herein, the term virus includes recombinant genetically engineered viruses. For example, the virus may be a virus that has been engineered such that it is incapable of replicating and exhibits minimal gene expression. The recombinant viruses may contain transgenes. Transgenes are defined herein as nucleic acids that are not native to the virus. For example, a transgene may encode a biologically functional protein or peptide, an antisense molecule, or a marker molecule.

The polymer-modified viruses of the present invention may be provided by direct covalent, indirect covalent, or indirect noncovalent attachment of the polymer to the virus.

A variety of schemes for covalent and non-covalent attachment exist: 1) polymer may be attached via direct covalent coupling to the viral surface; 2) polymer may be attached via indirect covalent coupling (e.g. via an intermediate coupling moiety which links the polymer to the viral surface); or 3) attached via an indirect non-covalent linkage using, for example, a suitable PEGylated ligand. Suitable ligands are not restricted to antibodies to surface proteins or lipid and could include hydrophobic ligands for viral particles with hydrophobic surface components such as envelope viruses.

The polymer may be attached via direct or indirect covalent coupling to the viral surface by methods that are generally known in the art for covalent attachment of

- 9 -

polymers to other molecules, such as proteins. Targets for polymer modification include reactive groups on the viral surface with which the polymer or coupling agent can interact, including for example primary and secondary amino groups, thiol groups and aromatic hydroxy groups. Thus the preferred method for polymer modification of a virus depends upon the available target sites on the surface of the particular virus. The specificity of particular methods of polymer modification for particular target groups is well-known, and thus the ordinarily skilled artisan can select a method suitable for the desired target.

Different methods of polymer modification may be selected depending upon whether the virus is enveloped or non-enveloped. The surface of a non-enveloped virus is a protein shell, or capsid, often containing multiple types of polypeptides. Representative non-enveloped viruses include adenovirus, parvovirus and picornavirus. In enveloped viruses, the protein capsid is enclosed by a lipid bilayer that contains viral-encoded polypeptides. Representative enveloped viruses include herpesvirus, poxvirus and baculovirus. Both the capsid and the envelope polypeptides provide targets for polymer modification. For example, in a nonenveloped virus such as adenovirus, the hexon, penton cell base, and fiber proteins are targets for polymer modification. Viral polypeptides that provide sites of exposed epitopes for neutralizing antibodies, for example the adenoviral hexon protein, are particularly preferred sites for polymer modification. Modification of these sites is believed to mask the epitope from neutralizing antibodies, thus providing a viral vector with reduced antigenicity.

Methods for the direct or indirect covalent attachment of polymers to polypeptides that are known in the art may be used to provide the polymer-modified viruses of the present invention. Methods are described, for example, in WO 90/04606, U.S. Patent 4,179,337 and 5,612,460, the disclosures of which are incorporated herein by reference. Generally, the polymer is activated by converting a terminal moiety of the polymer to an activated moiety, or by attaching an activated coupling moiety to the polymer. The activated polymer is then coupled to the target via the activated moiety. The activated moiety or activated coupling moiety can be selected based upon its affinity for the desired target site on the viral surface.

- 10 -

For example, the hydroxyl end groups of PEG may be converted into reactive functional group or attached to an activated coupling moiety to provide a molecule known as "activated" PEG. Various forms of activated PEG are known in the art and are commercially available. For direct covalent linkage to virus a suitable activated PEG is MPEG-tresylate (TMPEG), which is believed to react with  $\epsilon$ -lysine groups, or MPEG-acetaldehyde. For indirect covalent linkage other forms of activated PEG are known in the art and commercially available, including for example methoxypolyethylene glycol (MPEG) derivatives such as MPEG activated with cyanuric chloride, PEG N-hydroxysuccinimide PEG (NHS-PEG), which reacts with amine groups, and PEG-N-succinimide carbonate. These and other activated PEGs are disclosed in W095/06058, U.S. Patents 4,179,337 and 5,612,460 incorporated herein by reference.

For example, the covalent attachment of PEG to the viral surface is accomplished by incubating the virus with the activated PEG, for example TMPEG. Several incubation regimes may be used. For instance, a single addition of the activated polymer with or without gentle mixing can be used. The optimal ratios of TMPEG to viral particles to achieve modified virus having reduced antigenicity with maintenance of infectivity may be determined by performing the assays described below. For example, virus and activated TMPEG are combined at molar ratios of activated PEG to  $\epsilon$ -amino termini of lysine residues of from about 1:1 to about 400:1. As the amount of activated polymer to be added to the virus increases, it may be alternatively advantageous to add the activated polymer in a stepwise fashion. The rationale behind stepwise addition is that viral particles tend to aggregate and this is exacerbated by certain activated polymers, e.g. TMPEG, especially at high concentrations. Thus initial PEGylation at low polymer concentration can serve to reduce the tendency to aggregate at subsequent higher polymer concentrations and hence help to achieve a higher degree of PEGylation. For example, activated PEG such as TMPEG may be added in separate steps to a viral stock solution every thirty minutes to increase the polymer concentration each time by 3%, 5% or 8% (w/v) in the reaction mixture to obtain final polymer concentrations of 12%, 20% and 32% respectively (approximately w/v, i.e., not correcting for the volume of the polymer). In addition, after the last addition of polymer, a further incubation time might be allowed.



- 11 -

The ordinarily skilled artisan can adjust the number of steps, concentrations of polymer, and time intervals to achieve optimal results.

The reaction may be quenched by dialysis or by addition of excess lysine, for example from 10 to 100-fold excess lysine. Alternatively, the reaction might be run to completion (i.e. the point at which the activated PEG, such as TMPEG, is either  
5 completely consumed in the PEGylation reaction or rendered inactive by hydrolysis).

For some applications, for example those requiring repeat dosing of a polymer modified virus, it may be desirable to separate unreacted polymer from polymer-modified virus, which may then be purified by standard methods as necessary for the intended use.  
10 Separation and purification may be performed by methods known in the art, for example ion exchange chromatography, gel filtration chromatography, or cesium chloride gradient purification. In situations in which there is indirect PEGylation of an antibody, hexon affinity resin may be useful to separate the PEGylated antibody from unreacted PEG.

For some applications, it may be desirable to separate unmodified virus from  
15 modified virus. In cases in which the polymer is a polyalkylene glycol, separation of modified from unmodified virus may be performed by partitioning in an aqueous biphasic polyalkylene glycol solution. For example, phase partitioning in an aqueous biphasic system of PEG and dextran may allow the separation of PEG-modified virus from unmodified virus. Partitioning may be performed by counter-current distribution.  
20 Generally, the phase system is prepared by mixing solutions of dextran and PEG. PEG and PEG-modified virus are incorporated into the phase system, mixed by inversion or rotation, and allowed to separate. PEG modified virus partitions into the PEG phase, and unmodified virus partitions into the dextran phase.

The modification of virus by PEG ("PEGylation") may be evaluated by methods  
25 known in the art, including ion exchange chromatography, capillary electrophoresis (CE), photon correlation spectroscopy (PCS), and through the use of a labeled, e.g. biotinylated, PEG in a quantitative ELISA.

Ion exchange chromatography, for example, DEAE-chromatography, can be performed by standard methods to evaluate the modified viruses based upon altered  
30 charge.

- 12 -

Whole virus CE provides a means to monitor the modification of virus by polymer as a function of altered surface charge. For example, covalent attachment of PEG to the virus surface seems to result in shrouding of the negative surface charges on the viral particle and thus this polymer-modified virus displays a more neutral mobility to the virus. CE may be performed by methods known to those of ordinary skill in the art. For instance, a ramped low-high voltage pre-treatment is used to electrophorese the highly mobile salt ions in which the virus may be formulated for stability, before true, high voltage separation begins. In plots derived from CE, virus particles with PEG covalently attached run at a position closer to the neutral point than virus without covalently attached PEG. CE may be conveniently used to assess the influence of various conditions, including molar ratios, concentrations and incubation times, on the covalent attachment of PEG to the virus particles. Increasing neutrality reflects increasing PEG-chain density on the virus surface.

PCS uses the relationship between particle size and movement in suspension (via Brownian motion) to gain accurate measurements on the size of the particles. This method is widely applied to monitor polymer attachment to particles including liposomes, microspheres and nanoparticles by measuring their increase in size. These data suggest that covalently attached PEG at relatively low density forms globular "mushroom" shapes and thus the increase in size is relatively small. Altering the conditions under which one would expect to increase the density of covalently attached PEG chains results in a more extended conformation of the polymer or "brush" shapes which is reflected by a relatively larger increase in particle size. Thus PCS may be used using methods known to those of ordinary skill in the art to monitor the size changes of the virus particle under different reaction conditions.

The ELISA analysis of a biotinylated PEG can provide the most quantitative assessment of the number of molecules of PEG covalently bound to a virus particle. The ELISA can be performed by standard methods known in the art.

In a preferred embodiment of the present invention, the polymer-modified virus is a recombinant virus prepared under conditions believed to provide a virus covalently modified by PEG. In a particularly preferred embodiment, the virus is a recombinant

- 13 -

adenoviral vector. Suitable recombinant adenoviral vectors include vectors derived from adenovirus type 2 (Ad2) and type 5 (Ad5) which have been deleted for the E1 regions. Representative adenoviral vectors that are useful for delivery of a transgene are disclosed by Zabner et al. (1996) J. Clin. Invest. 6 : 1504, Zabner et al. (1993); Cell 75 : 207, U.S. Patent Nos. 5,707,618 and 5,670,488, the disclosures of which are incorporated herein by reference. In a preferred embodiment, the recombinant adenoviral vector contains a transgene, including for example the cystic fibrosis transmembrane conductance regulator (CFTR) gene.

In another embodiment of the invention, the polymer modified virus is a recombinant adenovirus that can induce tumor-specific cytolysis also known as viral oncolysis. Representative adenovirus that are useful for viral oncolysis are disclosed by Bischoff et al. (1996) Science 274:373; Heise et al. (1997) Nature Medicine 3:630; and EP689447A, the disclosures of which are incorporated herein by reference.

In another embodiment of the present invention, the polymer is indirectly noncovalently attached to the virus via a suitable polymer-modified ligand. Suitable ligands are not restricted to those having specificity for a viral surface component such as a viral surface protein or lipid, and may include hydrophobic ligands for viral particles with hydrophobic surface components such as envelope viruses and also ionic ligands. In a preferred embodiment, the ligand is an antibody or antibody fragment, including for example a non-neutralizing anti-virus antibody or fragment therefrom. As used herein, the term antibody includes monoclonal and polyclonal antibodies. In a particularly preferred embodiment, the ligand is a non-neutralizing anti-hexon antibody. Such antibodies are commercially available and include, for example, MAb 8052 and MAb 805 available from Chemicon International, Temecula, CA, USA.

Indirect non-covalent attachment of polymer to the virus is accomplished by incubation of the virus with a suitable ligand that has been modified by the covalent attachment of polymer. The polymer may be covalently attached to the ligand by standard methods as described herein above. For example, a non-neutralizing anti-virus antibody such as anti-hexon antibody may be PEGylated using an activated PEG molecule as described above. In a preferred embodiment, anti-hexon antibody is

- 14 -

modified using TMPEG. The ordinarily skilled artisan can determine the optimal ratios of activated PEG to antibody, concentrations of activated PEG and antibody, buffer and time and temperature of incubation to achieve optimal modification of the antibody. The polymer modified ligand is then incubated with the virus particles to allow non-covalent binding of the polymer modified ligand to the virus surface.

Antibodies modified with PEG at the epitope binding site may not efficiently noncovalently attach to a virus. In order to prevent PEGylation of the antibody at the epitope binding site, the PEG modification may be performed on immobilized antibody. For example, anti-hexon antibody is bound to purified immobilized hexon (eg. hexon-Sepharese) prior to PEG modification of antibody. The PEGylated antibody is then released from immobilized hexon. Alternately, anti-hexon antibody is modified by PEG, creating a population of antibodies PEGylated on the epitope binding site and other sites. The modified antibodies are then incubated with immobilized hexon, to which only antibodies modified at sites other than the epitope binding site will bind. These PEGylated antibodies are then released from the immobilized hexon for use in accordance with the present invention.

The indirect noncovalent attachment of polymer via a polymer-modified ligand may be monitored by displacement of labeled ligand from virus in a competition enzyme-linked immunosorbent assay (ELISA). For example, the ability of PEGylated anti-hexon antibody to bind to the virus surface is measured in a standard competition ELISA using, for example, biotinylated anti-hexon antibody.

The polymer-modified viruses of the present invention maintain infectivity and exhibit reduced antigenicity. It has been discovered in accordance with the present invention that viral infectivity eventually decreases upon additional polymer modification. By utilizing standard assays, including the following assays, to assess infectivity and antigenicity, those of ordinary skill in the art can determine the method and conditions of polymer modification that allow retention of infectivity and reduction in antigenicity. Under conditions designed to provide direct TMPEG polymer modified adenovirus, the methods correlating with PEGylation due to exposure to TMPEG of

- 15 -

about 5-20% w/v are preferred, with a concentration of about 10% w/v being most preferred.

The ability of the polymer-modified viruses of the present invention to maintain infectivity may be assessed by standard infection assays. For example, the ability of the virus to infect a cell may be assessed by monitoring the expression of a transgene contained within the virus, such as a reporter gene. Genetic reporter systems are well-known in the art, and are disclosed for example in Short Protocols in Molecular Biology, 1995, Ausubel et al., eds., 3<sup>rd</sup> edition, Wiley and Sons, Inc. The virus is engineered by standard methods to contain a transgene, and the polymer-modified virus is used to infect cells that are permissive for the virus. After infection under standard conditions, cell lysates are analyzed for the presence of the product of the transgene. For example, the product of the transgene can be assessed by colorimetric, chemiluminescence or fluorescence assays, or immunoassays. In this way, those of ordinary skill in the art can compare unmodified and modified virus, and can determine the optimal percentages and conditions for polymer modification that result in retention of infectivity by the polymer-modified virus. Retention of infectivity is defined herein as an infectivity level sufficient to have therapeutic value, for example at least about 20% infective relative to unmodified virus. For some therapeutic embodiments, the polymer-modified virus maintains at least 60% infectivity. In other therapeutic embodiments, the polymer-modified virus is preferred to maintain at least 80% infectivity. Lower percent infectivity of at least 5% may be therapeutically useful for applications such as viral oncolysis.

In a particular example of an infectivity assay, adenovirus genetically modified to contain the  $\beta$ -galactosidase ( $\beta$ -gal) reporter gene (*lacZ*) is covalently modified by exposure to various concentrations of TMPEG. A cell line permissive for adenoviral infection, for example 293 human embryonic kidney cells (ATCC CRC 1573), is exposed to unmodified and modified adenovirus containing the  $\beta$ -gal gene. Cells are then incubated under conditions appropriate for  $\beta$ -gal expression. The presence of  $\beta$ -gal in cell lysates is measured by standard colorimetric, fluorescence, or chemiluminescence assays. The quantity of  $\beta$ -gal in 293 cell lysates provides a measurement of the ability of

- 16 -

the unmodified and PEG-modified virus to infect 293 cells. PEG-modified virus that maintains 50% infectivity relative to unmodified virus is considered to retain infectivity.

The polymer-modified viruses of the present invention may exhibit reduced antigenicity relative to unmodified virus. Reduced antigenicity is defined as a statistically significant ( $p > 0.05$ ) reduction in binding of the polymer-modified virus to neutralizing antibodies against the virus. Reduced antigenicity can be assessed by methods known in the art, including *in vitro* and *in vivo* assays. For example, both modified and unmodified viruses containing reporter genes are incubated in the presence or absence of neutralizing antibodies or serum. The antibody-treated viruses and non-antibody treated control viruses are then used to infect cells as described above, and reporter gene expression in infected cells is performed as described above. With unmodified viruses, treatment with neutralizing antibodies results in lower levels of infection and thus lower levels of transgene expression. The polymer-modified viruses of the present invention are protected from neutralization by the polymer coating, and thus provide increased infectivity and increased transgene expression in the present assays relative to unmodified viruses that have been exposed to neutralizing antibodies.

By utilizing the foregoing assays, those of ordinary skill in the art can determine the conditions for PEG modification necessary to provide a modified virus that maintains infectivity and exhibits reduced antigenicity.

Another embodiment of the present invention provides a method for introducing a transgene into a target cell. The method comprises introducing into the target cell a polymer-modified virus of the present invention, wherein the virus is a recombinant viral vector comprising the transgene. Use of the present polymer-modified viruses to deliver a transgene to a target cell is useful for the treatment of various disorders, for example in which the transgene product is absent, insufficient, or nonfunctional. Alternatively, the expression of the transgene may serve to block the expression or function of an undesired gene or gene product in the target cell.

The polymer-modified virus is introduced into the host cell by methods known in the art, including for example infection. Infection of a target cell in vivo is accomplished by contacting the target cell with the polymer-modified virus. The polymer-modified

- 17 -

virus is delivered as a composition in combination with a physiologically acceptable carrier. As used herein, the term "physiologically acceptable carrier" includes any and all solvents, diluents, isotonic agents, and the like. The use of such media and agents for compositions is well known in the art. The polymer-modified viruses of the invention  
5 may be delivered to the target cell by methods appropriate for the target cell, including for example by ingestion, injection, aerosol, inhalation, and the like. The compositions may be delivered intravenously, by injection into tissue, such a brain or tumor, or by injection into a body cavity such as pleura or peritoneum. In a preferred embodiment, the transgene is a DNA molecule encoding CFTR or an analog or variant thereof which  
10 provides functional regulated chloride channel activity in target cells, and the complex is delivered to the airway epithelium by inhalation. DNA molecules encoding CFTR are well known in the art and disclosed for example in W094/12649 and W095/25796, the disclosures of which are incorporated herein by reference.

The present invention further provides a method for delivering a virus to a tumor,  
15 comprising administering a polymer-modified virus of the invention to a subject in need of such treatment under conditions whereby the polymer-modified virus localizes to a tumor. The ability of the polymer-modified viruses of the present invention to provide retention of infectivity and reduced impact of neutralizing antibodies open up this additional method of use for polymer-modified virus. Particulates of the size range 100-  
20 200nm undergo passive tumor targeting in relation to the so-called EPR effect (Enhanced Permeability and Retention). Tumors have leaky vasculature and thus long circulating particles have the opportunity to leave the circulation and enter the tumor parenchyma via the holes in tumor blood vessels. Tumors lack lymphatics which is the main system for removal of macromolecules and particles from the tissues (the basis for the Retention  
25 element in EPR). PEG has been used to enhance the passive targeting of liposomes to tumors via increased circulation time. However, data in the scientific literature shows that this approach leads to unfavorable properties such as unacceptable low tumor to blood ratios (i.e. less than 1) for much of the lifetime of the product. Using different optimization principles it has been shown (WO 96/34598) that additional effects of  
30 PEGylation, other than improved circulation time, can be exploited to solve this problem

- 18 -

and achieve both good tumor localization and high tumor to blood ratios as well as high tumor to normal tissue ratios. Thus the present invention provides a means of improving the tumor localization of virus particles. This is relevant to both gene therapy applications where viral vectors are used to deliver genes and for non-gene therapy applications. The latter include the recently discovered system selective for the infection of p53 deficient tumor cells which has the capacity to kill tumor cells via viral oncolysis Bischoff JR, Kim DH, Williams A, Heise C, Horn S, Muna M, Ng L, Nye JA, Sampson-Johannes A, Fattaey, McCormick F (1996) Science 274:373-376; Heise et al. (1997) Nature Medicine 3:369-645; and EP689447A, incorporated herein by reference.

In accordance with the present method, the polymer-modified virus is administered to a subject as a composition of polymer-modified virus in combination with a physiologically acceptable carrier as described hereinabove. The composition may be administered by methods appropriate in view of the location of the tumor, including for example ingestion, injection, aerosol, inhalation, and the like. In a preferred embodiment, the compositions are delivered intravenously.

The present invention further provides compositions comprising the polymer-modified viruses and further comprising a physiologically acceptable carrier. In a preferred embodiment the polymer-modified virus is a recombinant viral vector modified by covalent attachment of PEG.

The formulation of compositions is generally known in the art and reference can conveniently be made to Remington's Pharmaceutical Sciences, 17<sup>th</sup> ed., Mack Publishing Co., Easton, PA. The forms of the present complexes suitable for administration include sterile aqueous solutions and dispersions. The subject polymer-modified viruses are compounded for convenient and effective administration in effective amounts with a suitable physiologically acceptable carrier and/or diluent.

The precise effective amount of polymer-modified virus to be used in the methods of this invention applied to humans can be determined by the ordinary skilled artisan with consideration of individual differences in age, weight and condition of the subject.

It is especially advantageous to formulate parenteral compositions in dosage unit form for ease of administration and uniformity of dosage. Dosage unit form as used



- 19 -

herein refers to physically discrete units suited as unitary dosages for the mammalian subjects to be treated, each unit containing a predetermined quantity of active material calculated to produce the desired effect in association with the required carrier. The specification for the novel dosage unit forms of the invention are dictated by and directly  
5 depend on the unique characteristics of the polymer-modified viruses and the limitations inherent in the art of compounding. In the case of compositions containing supplementary active ingredients, the dosages are determined by reference to the usual dose and manner of administration of the ingredients.

The invention is further illustrated by the following specific examples which are  
10 not intended in any way to limit the scope of the invention.

#### Examples

When performing these assays, the skilled artisan should be aware that exposure of the virus to parent polymer that cannot covalently attach to the virus surface, and  
15 handling of the virus in buffer not containing any polymer, may influence the infectivity of the virus. These effects may be exacerbated by the type of activated polymer used and its length. Therefore, care should be taken not to associate non-specific reductions in infectivity with polymer modification of the virus surface. Suitable controls include virus sham treated with parent polymer that cannot attach to the virus surface, and a handling  
20 control in which virus is exposed to the same incubation but substituting buffer for polymer solution (e.g., no-polymer control).

#### Example 1

##### Covalent Attachment of Polyethylene Glycol to Adenovirus

25 Tresyl-monomethoxypolyethylene glycol (TMPEG) was prepared using MPEG<sub>5000</sub>. In this example and in subsequent examples, except where otherwise indicated, TMPEG was prepared as set out in WO 95/06058, which corresponds to U.S. Application Serial Nos. 08/471,348 and 08/601,040, filed June 6, 1995 and February 23, 1996, respectively, the disclosures of which are incorporated herein by reference.

- 20 -

Type 2 adenovirus (genetically modified to carry the  $\beta$ -gal reporter gene), as disclosed in U.S. Patent No. 5,670,488, was prepared by banding with isopycnic CsCl density centrifugation (three rounds), then extensively dialysed against phosphate buffered saline (PBS, pH 7.2) containing 5% sucrose. The stock solution used contained  
5 6.4x10<sup>10</sup> infectious units per ml (4.8x10<sup>11</sup> particles/ml). The virus stock was made 3%w/v by the addition of dry TMPEG, typically 3.0mg to 100 $\mu$ l of stock. The samples were incubated at 25°C with rotary mixing for 24h.

The polymer-treated virus was monitored via capillary electrophoresis (CE) using a Beckman P/ACE 5010 system with a 57cm silica capillary of 50 $\mu$ m Internal diameter  
10 (inlet=anode). A preliminary 1.5min wash in 1M NaOH and second wash in running buffer (20mM phosphate buffer pH 7.0, 5.0mM NaCl) were performed. After incubation, the samples were transferred to the CE machine where the auto sampler removed a few nanolitres by a pressure injection setting of 10s and separation was achieved using 2 minute voltage ramping to a final of 17Kv.

15 Whole virus CE monitors the changes in surface charge of the virus upon treatment with PEG. Incubation with PEG correlates with a progressively increased more neutral mobility to the virus. Increasing neutrality is consistent with an increased PEG-chain density on the virus surface.

Figure 1 (upper panel) shows superimposed capillary electropherographs for  
20 adenovirus exposed to 3%(w/v) TMPEG and MPEG. The hiatus in each plot marks the trough at the point of neutrality. The TMPEG treated virus ran at a location significantly nearer the neutral point than the sham-treated MPEG. Under these PEGylation conditions there is no evidence of residual unPEGylated virus (i.e. no peak or shoulder on the TMPEG trace corresponding to the control virus).

25 In order to confirm that the mobility shift was not an artifact, a mixture of equal volumes of the two samples was loaded (Figure 1, lower panel). Two well separated peaks were evident, corresponding to those shown in the upper panel.

Figure 2 shows the time course of the change in electrophoretic mobility of virus with duration of exposure to TMPEG 3% (w/v), prepared essentially as described, above  
30 using 300 $\mu$ l of virus stock and 3%(w/v) TMPEG. The % mobility was calculated as

- 21 -

follows: (mobility of modified virus peak- mobility of neutral position)/(mobility of unmodified virus peak-mobility of neutral position) X 100. Since the reaction co-product can influence the running buffer, this was renewed at the point arrowed: 100 $\mu$ l of reaction mixture was analyzed up to this point (using the repeat sampling function of the CE machine, i.e. without mixing) and a fresh 100 $\mu$ l aliquot of the reaction mixture was used thereafter.

### Example 2

#### Covalent Attachment of Polyethylene Glycol to Adenovirus

Type 2 adenovirus stock solution prepared as in Example 1 (1.35x10<sup>10</sup> infectious units per ml; 9.3x10<sup>11</sup> particles per ml) was PEGylated using 3%(w/v) TMPEG except that rotary mixing was not used so that repeated size analyses could be made.

Viral particle size was monitored using photon correlation spectroscopy (PCS) in a Malvern Instrument's ZetaMaster 5.

Figures 3a and 3b show the diameter versus time for TMPEG treated and untreated virus respectively. Results are expressed as % time 0 values. Figures 3c and 3d show measurements taken during a PEGylation reaction over a longer time period. Reaction with TMPEG is shown in Figure 3d and sham treatment with MPEG is shown in Figure 3c. Treatment with TMPEG results in an increase in particle size (Figs. 3b and 3d) which is not seen in the control untreated virus (Fig. 3a) or in the MPEG treated virus (Fig. 3c). Increases in size are shown in Figs. 3b and 3d. PCS has the advantage of giving numeric data and thus the method gives an ability to rank samples.

### Example 3

#### Infectivity Assays for PEGylated and Sham Treated Virus

Several regimes of PEG treatment were evaluated with respect to retention of infectivity (see also Example 4). In addition to exposure to 3%(w/v) TMPEG, stepwise addition was also used (the objective being to achieve higher ultimate PEGylation). The rationale behind step wise addition is that viral particles tend to aggregate and this is exacerbated by PEG, especially at high concentrations. However, PEGylation has been

- 22 -

shown, in the context of other particles (e.g. liposomes), to prevent aggregation. Thus initial PEGylation at low polymer concentration can serve to reduce the tendency to aggregate at subsequent higher polymer concentrations and hence achieve a higher degree of PEGylation. Three step wise addition regimes were used: TMPEG or MPEG were added every thirty min to viral stock solution (prepared as in Example 1) to increase the polymer concentration by 3%, 5% or 8% in the reaction mixture. Viral stocks used for these experiments ranged from  $1.35-7.6 \times 10^{10}$  infectious units per ml and  $9.3-20 \times 10^{11}$  particles per ml. In each experiment a maximum of four additions of dry polymer were made, equating to final polymer concentrations of 12 %, 20 % and 32% (~w/v, i.e. not correcting for the volume of the polymer). In some experiments the 4th addition was sampled after 30 mins and a further incubation time (giving 5 reaction conditions).

Infectivity was measured in two ways (see also Example 4).  $\beta$ -gal expression was monitored in human 293 cells (Graham et al., J. Gen. Virol. 36:59-72, 1977) exposed to virus in culture (this cell line is permissive for adenoviral replication). Cells were trypsinised 1 day prior to assay and seeded at  $400 \mu\text{l}$  per well in a 24 well microliter plate using a  $1 \times 10^6/\text{ml}$  cell suspension. Having established a monolayer by 24h,  $10 \mu\text{l}$  of reaction mixture was added to each of 4 replicate wells containing 293 cells. The cells were incubated overnight in a fully humidified atmosphere of 5%  $\text{CO}_2$  in air at  $37^\circ\text{C}$  to express  $\beta$ -gal.

The cell monolayer was depleted of medium and then washed with PBS. Then  $60 \mu\text{l}$  of lysis buffer (15 % triton X-100, 250mM Tris-HCl, pH 7.0) was added and the microliter plate incubated at room temperature for 30 min in an orbital shaker. After the cells had lysed for 30 min  $50 \mu\text{l}$  of each sample was transferred to a fresh microliter plate. A set of  $\beta$ -gal standards (5.5 units in lysis buffer and doubling dilutions in lysis buffer) was added to the same microliter plate.  $150 \mu\text{l}$  of CPRG substrate buffer (1.6mM CPRG, 60mM phosphate buffer: 1mM  $\text{MgSO}_4$ ; 10mM KCl; 50mM  $\beta$ -mercaptoethanol; 250 ml distilled water) was added to each well. After brief mixing (4 min) the plate was read at 555 nm on a microliter plate reader (Titertek Multiskan Plus MKII, ICN, flow Laboratories, Switzerland).

- 23 -

The single addition of 3 % (w/v) TMPEG was examined using the CPRG assay. Figure 4 shows the results of CPRG assays on TMPEG treated virus (open circles) and MPEG sham-treated virus (triangles) and control virus (filled circles). None of the treatments produced a trend of falling infectivity over the time period studied (six hours). A second independent experiment confirmed this result, showing no significant decline in OD over 6 hours for either control virus, TMPEG treated virus or virus sham-treated with MPEG (data not shown). Thus the PEG treatment of virus in Examples 1 and 2 demonstrated no reduction in infectivity.

The stepwise addition of 5% of PEG<sub>5000</sub>, PEG<sub>12000</sub> or PEG<sub>20000</sub> produced a variable impact on infectivity (Figure 5). Panels A and B show the impact of stepwise addition of 5% of PEG<sub>5000</sub> (mean of 2 and mean  $\pm$ SD of 4 replicates respectively, some error bars are hidden by the symbols). The TMPEG (filled circles) produced a reduction in infectivity as compared to the MPEG (open circles). With PEG<sub>12000</sub> (panels C and D, same symbols), in one experiment TMPEG decreased the infectivity of the virus as compared with the MPEG treated virus, but in the other, MPEG and TMPEG were not significantly different (i.e. MPEG and TMPEG had a similar effect on infectivity). Treatment with TMPEG<sub>20000</sub> also did not show any significantly greater effect than the equivalent amount of MPEG<sub>20000</sub> (Panel E same symbols).

#### Example 4

##### Infectivity Assays for PEGylated and SHAM Treated Virus

Single and stepwise additions of TMPEG and MPEG were prepared as in Example 3 and analyzed with respect to infectivity using a chemiluminescent reporter assay system for the detection of the virally encoded  $\beta$ -galactosidase (Galacto-Light™). This assay system uses a chemiluminescent substrate and was performed in accordance with the manufacturer's instructions.

Figure 6a-c compares the effects of 3%, 5% and 8% incremental additions of TMPEG<sub>5000</sub> (filled circles) or MPEG<sub>5000</sub> (open circles) on viral infectivity. Note that in Figure 6a and b the MPEG and TMPEG treated viral samples show similar infectivity. A modest decline in infectivity with treatment with either MPEG or TMPEG was observed.

- 24 -

In subsequent experiments with no-PEG controls these showed a similar decline in infectivity, suggesting that this was a handling effect and not due to PEG. In Figure 6c the MPEG and the TMPEG treated virus performed similarly. Thus, this experiment shows that treatment with TMPEG or MPEG does not result in loss of infectivity.

5            Apparent loss of infectivity due to the addition of PEG chains was seen twice with this assay in experiments using PEG<sub>5000</sub> in the 5% incremental addition scheme (Figure 7a and b, filled circles TMPEG- open circles MPEG). A subsequent assay of the same sample as shown in Figure 7b showed no significant difference between the MPEG and TMPEG treatments, indicating that no significant loss of infectivity had in fact occurred  
10            (Figure 7c, same symbols).

             Figure 8 shows comparable results for PEG<sub>12000</sub> (panels A and B, filled circles TMPEG; open circles MPEG) and PEG<sub>20000</sub> (panel C, same symbols). As above, condition 0 is an untreated virus control and conditions 1-4 are stepwise additions of 5 % TMPEG or MPEG. With the PEG<sub>12000</sub> there was a modest additional loss of infectivity  
15            with TMPEG in one of the two experiments after the 3rd and 4th addition of TMPEG (panel B). In the other experiment (Panel A) using PEG<sub>12000</sub> no significant reduction in infectivity was observed with either TMPEG or MPEG. With PEG<sub>20000</sub>, TMPEG treatment produced lower infectivity than MPEG for all additions including the first, but approximately one third the initial infectivity value remained even after the 4th addition  
20            of TMPEG.

             With a single addition of 3% PEG<sub>5000</sub>, i.e. prepared as in Example 3, with the chemiluminescence assay there was a modest decline in infectivity (Figure 9). It should be noted that the decline in infectivity observed over time was seen both in the case of the TMPEG (filled circles) and MPEG treated virus (open circles) as well as the untreated  
25            "handling" control (triangles).

#### Example 5

#### The Impact of PEGylation on the Reduction of Infectivity by Neutralizing Antibodies

Using the infectivity assay given in Example 4, exposure of the TMPEG and

- 25 -

MPEG treated virus to neutralizing antibodies was used to seek evidence of the protection from neutralization afforded by the polymer treatment.

Transgene expression was monitored in the presence and absence of a polyclonal neutralizing antibody purified from rabbit anti-hexon serum using a hexon affinity resin.

5 The polyclonal antibody was titrated with untreated virus and the ratio was established where 30 to 50% infectivity was retained in the presence of the neutralizing antibody. Two antibody titers were used 10,000:1 (~30%) or 5,000:1 (~40-50%) (antibody molecules to virus particles) where indicated.

10 Figures 10-12 show the impact of incremental additions of 5% TMPEG<sub>5000</sub> (Figures 10 and 11) and TMPEG<sub>12000</sub> (Figure 12) on antibody neutralisation. Antibody treatment is shown by the filled symbols and MPEG treatment by circles and TMPEG treatment by squares. In the lower panels, hatched bars indicate TMPEG treatment.

15 In all three cases there is evidence of significant protection from neutralization and a trend of improving protection with the highest/longest TMPEG exposure giving maximum protection. The upper panels in each figure show the raw data and the lower panels the transgene expression as a percent of the equivalent non-antibody treated control. In Figure 10 the amount of virus added to the assay was adjusted to compensate for differences in the number of infectious units of the non-antibody treated controls. In Figures 11 and 12 the same number of viral particles was assayed for each condition. The 20 antibody titers were 10,000:1, 5,000:1 and 10,000:1 respectively.

These data show protection from immune recognition. For the purposes of clarification, protection is defined as there being a statistically significant difference in transgene expression in the presence of the immune agent under test (e.g. antibody or cell suspension) as compared with the expression observed in untreated control.

25 The single addition of 3% TMPEG<sub>5000</sub> showed some protection after 4h and 6h incubation in two independent assays. Taken in conjunction the above examples indicate the presence of a PEGylation "window" where treatment with PEG does not abrogate all infectivity but conveys statistically significant protection from neutralisation by antibody.

30 Example 6

- 26 -

Indirect PEGylation of Adenovirus Using a Non-neutralizing Anti-hexon Antibody

The present invention relates to polymer-modified viruses, processes for obtaining them and their use. The invention also provides means of attaching polymer molecules to viral particles whilst retaining infectivity of the modified virus.

5 Initial experiments on the PEGylation of an anti-hexon antibody were performed using commercially available anti-hexon antibodies from Chemicon (Mab 8052). Two types of activated PEGs were tested for their ability to PEGylate the antibody namely cyanuric chloride activated PEG and PEG-tresylate (TMPEG). TMPEG<sub>5000</sub> was obtained from Shearwater Polymers, Huntsville, AL.

10 PEGylation of an anti-hexon antibody using TMPEG was accomplished as follows. MAb 8052 50 µg was incubated with TMPEG at the following PEG:lysine molar ratios, 0.2:1, 0.5:1, 1:1, 2:1, 5:1. The TMPEG and antibody were incubated for one hour at room temperature with gentle rocking on a "Vari-Mix" after which time the reaction mixture was stored at 4°C or -80°C until further use. (In some experiments the treatment with PEG was stopped using excess lysine. However, for samples analyzed by the fluorescamine assay, the reaction was stopped by lowering the temperature.)

15 Calculation of molar ratios assumed 90 lysine residues per IgG. A fluorescamine assay of the IgG treated with TMPEG was performed according to the method of Laurel et al.

(1994) Methods in Enzymology, 228 incorporated herein by reference, to assess the

20 amount of lysine substitution of the anti-hexon antibody treated with TMPEG. In this assay lysine residues modified with PEG are not available for reaction with the fluorescamine leading to a corresponding decrease in antibody associated fluorescence. Results of the fluorescamine assay are provided in Fig. 13. The percent modification of IgG lysines was calculated as  $1 - (\text{slope modified IgG} / \text{slope unmodified IgG})$  using the method of Laurel et al. Results are presented in Table 1.

25



- 27 -

Table 1

	PEG:Lysine	% Modification lysines
5	Control	0
	0.2:1	25
	0.5:1	37
	1:1	46
	5:1	70

10

It is concluded that increasing the ratio of PEG:lysine leads to a corresponding increase in the number of lysine residues that are substituted with PEG or alternatively that treatment with PEG decreases the number of available free lysine residues on the IgG.

15

PEGylation of an anti-hexon antibody using cyanuric chloride activated PEG was accomplished as follows. Anti-hexon antibody MAb 8052 was dialyzed into 0.1M sodium bicarbonate pH 9. Following dialysis 25 µg of the MAb 8052 was incubated with the cyanuric chloride activated mPEG at increasing PEG:lysine residues of 2:1, 10:1, 100:1. The PEG and antibody were incubated for one hour at room temperature with gentle rocking on a "Vari-Mix" after which time the reaction mixture was stored at 4°C or -80°C until further use. Calculation of molar ratios assumed 90 lysine residues per IgG. To assess the amount of lysine substitution of the PEGylated anti-hexon antibody, a fluorescamine assay of the PEG-treated IgG was performed according to the method of Laurel et al. (1994), Methods in Enzymology 228. Results of the fluorescamine assay are provided in Fig. 14.

20

25

The percent modification of IgG lysines was calculated as  $1 - (\text{slope modified IgG} / \text{slope unmodified IgG})$  using the method of Laurel et al. Results are presented in Table 2.

- 28 -

Table 2

	PEG:IgG Lysine	% modification of lysines
5	Control	0
	2:1	30
	10:1	47
	100:1	60

10 It is concluded that the anti-hexon antibody Mab 8502 was successfully PEGylated using cyanuric chloride activated mPEG. Using the fluorescamine assay it was shown that increasing the ratio of PEG:lysine during the PEGylation reaction resulted in a corresponding increase in the modification of lysine residues on the antibody.

#### 15 Example 7

##### Demonstration that a PEGylated Anti-hexon Antibody Still Recognizes Viral Hexon

TMPEG modified Mab 8052 (modified at a ratio of 100:1 PEG:lysine as prepared in Example 6) and unmodified antibody were incubated with a detergent solubilized fraction of adenovirus for 2 hrs at 4°C. Antibody antigen complexes were captured with Staph A membranes and analyzed on a SDS-PAGE gel. Figure 15 demonstrates that the PEGylated antibody was equally effective as the non-PEGylated antibody at immunoprecipitating viral hexon. Thus PEGylation did not grossly affect the antigen recognition site of the antibody.

#### 25 Example 8

##### Indirect Adenovirus ELISA Using PEGylated Anti-hexon Antibodies

An indirect adenovirus ELISA was also performed to demonstrate that the PEGylated anti-hexon antibody still recognized adenovirus. The ELISA procedure is as follows: the 96 wells of a microtiter plate received 0.1 µg of inactivated adenovirus in coating buffer (100 mM carbonate pH 9.2 (Pierce)) and was incubated overnight at 4°C. After overnight incubation the plates received 150 µl of blocking buffer per well and were incubated for 1h at 37°C. The plates were washed 3 times with wash buffer (PBS

- 29 -

containing 0.05% Tween 20, 0.5% BSA (Pierce)). The wells then received 100  $\mu$ l of a solution containing a 1:250 dilution of antibody (2 mg/ml) (control, TMPEG-Ab as prepared in Example 6 and antibody PEGylated with cyanuric chloride PEG as prepared in Example 6). A series of twofold dilutions of the antibody were performed across the plate. The plates were incubated overnight with antibody and the wells were subsequently washed 3 times with wash buffer. The antibody bound to the virus was quantified using a standard streptavidin - HRP assay kit (Pierce Chemical, Rockford, IL). Results are shown in Table 3.

10

Table 3

	Antibody	Titre
	Control	8000
15	tmPEG-Antibody (10:1)	8000
	tmPEG-Antibody (100:1)	4000
20	tmPEG-Antibody (200:1)	4000
	CC-PEG Antibody (25:1)	1000
	CC-PEG Antibody (50:1)	>500
25	CC-PEG Antibody (75:1)	> 500

30

The results in Table 2 demonstrate that the anti-hexon antibody PEGylated with cyanuric chloride PEG had a lower titre for adenovirus compared to control or antibody PEGylated with TMPEG. This suggests that PEGylation of the antibody using TMPEG preserves the antigen recognition site of the antibody to a greater extent than PEGylation using cyanuric chloride activated PEG.

- 30 -

A competition ELISA was designed to determine if PEGylation of the antibody resulted in large changes in the affinity of the antibody for viral antigen. Anti-hexon antibody was PEGylated with either TMPEG or cyanuric chloride activated PEG. Antibody PEGylated with TMPEG was more capable of binding to the virus than  
5 antibody PEGylated with cyanuric chloride activated PEG as shown by competition of biotinylated anti-hexon antibody in a competition ELISA. The ELISA plate was coated with adenovirus as described in Example 9. After coating the wells received biotinylated antibody alone or biotinylated antibody and test antibody which included TMPEG  
10 antibody or cyanuric chloride - PEG antibody. The biotinylated antibody bound to the virus was then quantified using a standard strepavidin - HRP assay. If PEGylated antibody can compete effectively with the biotinylated parental antibody for sites on the virus there will be less biotinylated antibody bound the surface of the virus resulting in a lower titre value. Results are shown in Table 4.

- 31 -

Table 4

	Antibody	Titre
5	Biotinylated Parental	4000
	Biotinylated parental + tmPEG-antibody (10:1)	1000
10	Biotinylated parental + tmPEG-antibody (100:1)	1000
	Biotinylated parental + tmPEG-antibody (200:1)	4000
15	Biotinylated parental + CC PEG-antibody (25:1)	4000
	Biotinylated parental + CC PEG-antibody (50:1)	2000
20	Biotinylated parental + CC PEG-antibody (75:1)	2000

25 Table 4 shows that antibody PEGylated with TMPEG at the ratios of PEG:lysine of 10:1 and 100:1 could still effectively compete with the biotinylated parental antibody for virus. This resulted in less biotinylated antibody bound to the virus and hence a lower titre value. Antibody PEGylated with TMPEG at a ratio of 200:1 PEG:lysine was ineffective at competing with the biotinylated parental antibody suggesting that at this

30 high ratio of PEG the antigen binding site of the antibody is compromised.

Antibody PEGylated with cyanuric chloride activated PEG was not effective at competing with the biotinylated parental antibody for binding to virus suggesting that PEGylation with cyanuric chloride PEG had compromised the antigen binding site of the antibody.

35

- 32 -

Example 9Indirect PEGylation Via PEGylated Antibody

Further experiments were performed in which non-neutralizing anti-hexon antibody purified from hybridoma cell line HB8117, American Type Culture Collection, Rockville, MD was used as a ligand with which to attach PEG to the virus. The antibody was incubated with TMPEG (as described in Example 1) in PBS at room temperature for 2h using a rotary mixer. The final concentration of antibody was 100µg/ml and the TMPEG was 10.6mg/ml added to provide an excess of TNIPEG:NH<sub>2</sub>. The excess TMPEG was neutralized by addition of glycine and a further 2h incubation.

PEGylation of the antibody was confirmed by the increase in size shown by gel permeation chromatography (Figure 16). The antibody preparation did not contain any significant proportion of residual unmodified antibody (note the lack of a subsidiary peak in the unmodified position). Incubation of the antibody with increasing concentrations of TMPEG-5K lead to a progressive displacement of the protein elution peak from circa 11.1ml to circa 9.5ml, 9.1ml and 8.95ml, indicative of increasing degree of modification (Figure 16, left panels). Reactions prepared with 10.6 mg/ml and 22.5 mg/ml did not contain any significant proportion of residual unmodified antibody (note the lack of a subsidiary peak in the unmodified position). (Figure 16, two top left panels). However, when the TMPEG concentration was increased to 45 mg/ml (Fig. 16, bottom left panel), the reaction mixture contained a small proportion of unmodified antibody. This might be due to partial precipitation of the protein induced by the high concentration of polymer, thus making the protein unavailable for PEGylation. Incubation of the antibody with TMPEG 12K lead to a displacement of the protein peak to circa 7.98ml and 7.52ml (Figure 16, right panels). None of the reactions contained any significant proportion of unmodified antibody. The displacement of the protein elution peak by PEGylation was more marked for the conjugates obtained with TMPEG-12K than that observed for conjugates prepared with TMPEG-5K. Thus the conjugates obtained with TMPEG-12K have an overall hydrodynamic radius greater than that of the conjugates obtained with TMPEG-5K. A greater hydrodynamic radius could indicate: either a) greater impact per PEG chain for the TMPEG-12K than for the TMPEG-5K, or b) greater number of PEG

- 33 -

chains attached with TMPEG-12K than with TMPEG-5K. However, the chromatograms do not allow to discriminate between these two possibilities.

Five preparations of PEGylated antibody covering a range of degrees of modification, three MPEG-5K-antibody conjugates (Preps 1 to 3 with elution volumes on the Superose 12 column at 9.31ml, 9.08ml and 8.96ml, respectively) and two MPEG-12K antibody conjugates (Preps 4 and 5 with elution volumes on the Superose 12 column at 7.98ml and 7.72ml, respectively) were tested for binding to the viral surface.

PEGylated antibody was capable of binding to the virus using a biotinylated anti-hexon antibody in a competition ELISA (Figure 17). The wells of a microtiter plate (96 wells) received 100  $\mu$ l of a 1  $\mu$ g/ml stock inactivated adenovirus in coating buffer and were incubated overnight at 4°C. After the overnight incubation, the plates received 150  $\mu$ l of blocking buffer per well and were incubated for 1 h at 37°C. The plates were then washed 3 times with 400  $\mu$ l of wash buffer per well. The wells then received 100  $\mu$ l of a solution containing biotinylated antibody at 21.6 nM and test antibody (control, MPEG treated or TMPEG treated) at increasing concentrations ranging from 1.1 nM to 540 nM. The plates were incubated for 1 h at 37°C and then the wells were washed 3 times with 400  $\mu$ l of wash buffer. The biotinylated antibody bound to the virus was then quantified using a standard streptavidin-HRP assay. The stock inactivated adenovirus type 2 was obtained in lyophilized form, 200  $\mu$ g/vial, from Lee Biomolecular Research, San Diego CA, Cat No.405001. To produce the 1  $\mu$ g/ml stock, the lyophilized powder was dissolved in 1 ml of distilled water and 50  $\mu$ l were then diluted up to 10 ml with coating buffer. The coating buffer was 100 mM carbonate pH 9.2 (Pierce). Blocking buffer was PBS containing 0.05% Tween 20, 0.5 % BSA (Pierce 10X). Wash buffer was PBS containing 0.05% Tween 20. The biotinylated antibody was at a concentration of 10.8  $\mu$ M.

Figure 17 shows the binding of biotinylated anti-hexon antibody to the viral surface in the presence of increasing concentrations of untreated monoclonal anti-hexon antibody (dotted lines), monoclonal anti-hexon antibody incubated with MPEG (open circles) and PEG-antibody (filled circles). The latter was obtained by incubation of the monoclonal anti-hexon antibody with TMPEG (see chromatogram in Figure 16).

- 34 -

Thus PEGylated antibody serves as an alternate approach for binding PEG to the viral surface.

#### Example 10

##### Quantitative Analysis OF PEGylated Adenoviral Vector

An Ad2/ $\beta$ -gal 2 vector (U.S. Patent No. 5,670,488 and described by Zabner et al. (1996) J. Virol. 70 : 6994) was covalently modified by PEG with 0.01%, 0.1%, 1.0% or 5.0% biotinylated NHS-PEG<sub>5000</sub> (Shearwater Polymers). PEGylated vector proteins were analyzed by SDS-PAGE. SDS-PAGE demonstrated that the hexon, penton base and fiber were the primary targets for covalent modification by PEG, and increasing concentration of PEG led to modification of additional proteins.

PEGylation of adenovirus was also assessed quantitatively. Ad 2- $\beta$ -gal 2 vector was treated with increasing amounts of TMPEG-biotin 5%, 10%, or NHS-PEG-biotin 0.01%, 0.1%, 1%, 5%. Both PEG<sub>5000</sub>'s were obtained from Shearwater Polymers.

Stepwise additions of PEG were made every 30 minutes up to a period of 1 hour for TMPEG-biotin and 2 hours for NHS-PEG-biotin. Following PEG treatment the unreacted PEG was separated from the PEG-virus by CsCl gradient purification and the amount of PEG-biotin attached to the virus was quantitated using an ELISA assay with an avidin HRP conjugate as reporter. A standard curve of PEG-biotin (0-250 ng/ml) was generated to determine the number of molecules of PEG-biotin attached per virus particle. Results are shown in Table 5.



- 35 -

Table 5

	Sample	Molecules PEG-biotin:virus particle
5	0.1% NHS-PEG-Biotin	600:1
	1% NHS-PEG-Biotin	3077:1
	5% NHS-PEG-Biotin	3191:1
	5% TMPEG-Biotin	1500:1
10	10% TMPEG-Biotin	1000:1

Treatment of adenovirus with either TMPEG-biotin or NHS-mPEG-biotin led to the covalent attachment of PEG-biotin to the surface of the virus. The data indicates that at comparable concentrations of tresyl and NHS PEG-biotin, more PEG-biotin was attached to the virus particle after treatment with the NHS-PEG biotin, which is consistent with reports that the reaction of NHS-PEG with lysine residues occurs more quickly (30-45 minutes) compared to the reaction of tresyl mPEG with lysine residues which occurs over an extended period of time (2-3 hours).

This data provides quantitative results regarding the extent of covalently bound PEG.

### Example 11

#### Covalent Attachment of Polyethylene Glycol to Adenovirus

Type 2 adenovirus (genetically modified to carry the  $\beta$ -gal reporter gene) was prepared by banding with isopycnic CsCl density centrifugation then extensively dialysed against phosphate buffered saline (PBS pH 7.2). Three different types of mPEGs were tested for their ability to PEGylate adenovirus namely a) cyanuric chloride activated mPEG<sub>5000</sub> b) TMPEG<sub>5000</sub> and c) amino-PEG<sub>5000</sub>. The mPEGs were obtained from Shearwater Polymers. Activation of mPEG with cyanuric chloride couples one triazine ring per mPEG molecule. This activated mPEG can react with amino groups on proteins. Alternatively mPEG can be activated with tresyl chloride (2,2,2,-trifluoroethanesulphonyl

- 36 -

chloride) to form tresylated mPEG which can react with epsilon amino groups on proteins to form a highly stable amine linkage. SPDP-amino mPEG couples to proteins via cysteine residues. The activated NHS ester end of SPDP reacts with the amine groups on the amino PEG to form an amide linkage. The 2-pyridyldithiol group at the other end is  
5 free to react with sulfhydryl groups to form a disulfide linkage. SPDP - aminoPEG was synthesized by the addition of SPDP (N-succinimidyl 3-(2-pyridyldithio) propionate) to amino PEG in the presence of methanol. Following an overnight incubation at room temperature the SPDP-aminoPEG was collected by precipitation with ether.

Ad2- $\beta$ -gal 2 virus was incubated with either a) cyanuric chloride activated mPEG  
10 b) TMPEG or c) amino PEG at increasing ratios of PEG:lysine. Ad2- $\beta$ -gal 2 virus was dialysed into 0.1M sodium carbonate buffer pH 8.5 containing 0.15M NaCl before treatment with cyanuric chloride activated mPEG or 0.2M sodium phosphate buffer pH 7.5 containing 0.15M NaCl before treatment with TMPEG. All PEGylation reactions were performed at room temperature. Samples were mixed on a rotary platform, the  
15 PEGylation reaction was terminated by the addition of excess lysine or alternatively by lowering the temperature. Infectivity of the PEGylated viruses was initially assessed qualitatively by infecting 293 cells with PEGylated virus followed by measurement of transgene expression ( $\beta$ -galactosidase) using X-gal staining. Using this assay the TMPEG treated virus had greater infectivity than the virus that had been treated with  
20 cyanuric chloride activated PEG or SPDP-PEG. The TMPEG treated virus was further measured for infectivity using the more quantitative assay of end-point dilution in 293 cells using fluorescence isothiocyanate (FITC)-conjugated anti-hexon antibody as described by Rich, DP, Couture LA, Cardoza LM, Guiggio, VM, Armentano, D., Espino, PC, Hehir, K., Welsh, MJ, Smith, AE and Gregory, RJ, 1993, Hum. Gen. Ther. 4:461-  
25 476.

The results are shown in Table 6 and demonstrate that infectivity of the virus is retained following PEGylation with TMPEG. (Error in the assay is  $\pm 0.5$  log.)

- 37 -

Table 6

	PEG:Lysine	Infectivity
5	5:1	3.8e8 iu/ml
	2.5:1	1.5e8 iu/ml
	1:1	2.2e8 iu/ml
	Control	5e8 iu/ml

10 Example 12Reduced Binding of Neutralizing Antibodies to PEGylated Vector

Ad2- $\beta$ -gal 2 virus was PEGylated with TMPEG as described in Example 11. Virus was incubated with serial two-fold dilutions of neutralizing human serum for 1 h/37°C and 293 cells were added. The assay was read when 293 cells incubated alone reached confluency. The neutralizing titer was defined as the reciprocal of the highest dilution of serum that showed detectable protection of 293 cells from cytopathic effect when compared to cells incubated with virus not exposed to serum. Prior to the assay, the different virus preparations to be tested were titrated to ascertain the lowest dilution that caused 100% cytopathic effect. Results are shown in Table 7.

20 **Table 7**

	<b>Virus</b> PEG:lysine ratios	<b>Neutralizing titre</b>
25	5:1	800
	2.5:1	3200
	Control	6400

According to the results, more serum is required to neutralize the PEGylated virus compared to the untreated virus suggesting that PEGylation covers sites recognized by neutralizing antibodies.

- 38 -

Example 13Ion-exchange Chromatography of PEGylated Virus Particles

Ad 2- $\beta$ -gal virus was PEGylated as described in Example 11 with TMPEG at ratios of 50 moles and 10 moles PEG:lysine. The virus was applied to a DEAE ion-exchange resin (Millipore, Bedford, MA) in phosphate buffer containing NaCl. Bound virus was eluted from the resin using an increasing salt gradient and the flow through peaks and eluted protein peaks were analyzed for control virus, virus treated with TMPEG at a ratio of 50:1 PEG:lysine and virus treated with PEG at a ratio of 10:1 PEG:lysine. All samples had equivalent protein values before chromatography.

Figure 18, panel A shows the elution profile from the DEAE-ion exchange resin (Millipore, Bedford, MA) following chromatography of control virus. One main protein peak was eluted from the resin and this was shown to contain infectious virus particles (data not shown). Figure 18, panel B shows the elution profile from the DEAE-ion exchange resin following chromatography of virus that had been treated with TMPEG (10:1 ratio). In contrast to the profile for the control virus there is the appearance of a flow through peak in addition to the eluted protein peak, which has diminished in size. The appearance of the flow through peak suggests that PEGylation has generated viral particles which no longer can bind to the DEAE-resin under these conditions and as a result are now present in the flow through peak along with unreacted PEG. Since ion-exchange chromatography is based on charge interactions between the protein and the ion-exchange resin, apparently PEGylation has produced a heterogenous population of virus particles which have altered surface charges. Those with significant surface charge differences can no longer bind to the resin and are recovered in the flow through peak. The elution profile from the DEAE-ion exchange resin following chromatography of virus PEGylated with TMPEG at a ratio of 50:1 showed a similar profile. The flow through peak in this sample was significantly larger while the eluted protein peak was in contrast reduced. At the increased ratio of PEG:lysine of 50:1 which resulted in a greater fraction of particles eluting in the flow through peak, the virus particles had increased levels of PEGylation. Table 8 expresses the size of the two peaks (expressed as area under peak) in relation to the PEG:lysine ratios used during PEGylation. In conclusion,

- 39 -

ion exchange chromatography may be used to resolve heterogeneous populations of PEGylated virus particles and may be used to separate highly PEGylated virus particles from lightly PEGylated particles on the basis of charge differences.

Table 8

		<u>Flow Through Peak Area</u>	<u>Eluted Peak Area</u>
5	Control	NA	0.272
	PEG-Virus 10:1	0.022	0.132
10	PEG-Virus 50:1	0.063	0.031

Example 14Transgene Expression by PEGylated Ad2/ $\beta$ -Gal2 in Immune Mice

Two batches of Type 2 adenovirus stock solution prepared as in Example 1 were  
 15 mixed (2ml of a batch at  $5.38 \times 10^{10}$  infectious units per ml,  $2.055 \times 10^{12}$  particles per ml  
 and 4 ml of a batch at  $1.35 \times 10^{10}$  infectious units per ml,  $9.3 \times 10^{11}$  particles per ml) and  
 subjected to treatment with PEG using a stepwise addition regime of 5% TMPEG as in  
 Example 3. Samples obtained following two and three additions of TMPEG (i.e., total  
 10% and 15% TMPEG, respectively) were purified from unreacted TMPEG by a standard  
 20 CsCl (Sigma Chemical, St. Louis, MO) centrifugation procedure involving a step  
 gradient and two sequential equilibrium gradients. The purified PEG treated vectors were  
 then dialyzed against phosphate buffered saline containing 5% sucrose and frozen at -  
 80°C in small aliquots. The titers were determined by end point dilution on 293 cells  
 using fluorescence isothiocyanate (FITC)-conjugated anti-hexon antibody as described by  
 25 Rich, DP, Couture LA, Cardoza LM, Giuggio VM, Armentano D, Espino PC, Hehir K,  
 Welsh MJ, Amith AE and Gregory RJ, 1993, Hum. Gen Ther. 4:461-476. The purified  
 PEG treated viral suspension prepared with total 10% TMPEG contained  $2.7 \times 10^{11}$   
 particles/ml ( $3 \times 10^9$  infectious units/ml) and the purified PEG treated viral suspension  
 prepared with total 15% TMPEG contained  $2.4 \times 10^{11}$  particles/ml ( $6.4 \times 10^8$  infectious  
 30 units/ml).

- 40 -

The two PEGylated viral suspensions were compared to untreated Type 2 adenovirus ( $3.19 \times 10^{10}$  infectious units per ml) for ability to effect gene transfer in vivo in naive and pre-immunized BALB/c mice. Mice were pre-immunized by the intra-nasal administration of  $10^9$  infectious units of a replication defective Type 2 adenovirus encoding human CFTR (Ad2/CFTR). The animals chosen for the study had serum anti-adenovirus antibody titers of circa 1/25,000 to 1/50,000. Naive BALB/c mice were simply mice that had not been exposed to adenovirus vector. On day 0, the viral preparations were administered as follows: a) untreated virus,  $2 \times 10^8$  infectious units were instilled in a volume of  $100 \mu\text{l}$  to each of four mice in the naive group and four mice in the pre-immunized group, b) "PEGylated virus 10%",  $3 \times 10^8$  infectious units ( $2.7 \times 10^{10}$  particles) were instilled in a volume of  $100 \mu\text{l}$  to each of four mice in the naive group and four mice in the pre-immunized group, c) "PEGylated virus 15%",  $6.4 \times 10^7$  infectious units ( $2.4 \times 10^{10}$  particles) were instilled in a volume of  $100 \mu\text{l}$  to each of four mice in the naive group and four mice in the pre-immunized group. All animals in the pre-immunized group were subjected to eyebleed on the day of instillation and the blood was analyzed for antibody titers. All mice were sacrificed three days after instillation and lung tissue, right caudal lobe and left lobe, was excised. The right caudal lobe from all four naive and four immunized animals per condition (untreated, "PEGylated virus 10%" and "PEGylated virus 15%") was used for quantification of  $\beta$ -gal in an AMPGD assay (Galacto-Light™ Kit, Tropix, Bedford, MA). The protein concentration of lung homogenates was determined using the BioRad DC reagent (BioRad, Hercules, CA). The left lobe from two naive and two immunized animals per condition was used for x-gal staining.

Table 9 shows the beta-galactosidase expression per microgram of protein (relative light units, RLU per microgram of protein) for untreated virus, "PEGylated virus 10%" and "PEGylated virus 15%" in both naive and pre-immunized mice. Beta-galactosidase expression in the naive mice was observed for all three viral preparations in all four mice per condition. In the pre-immunized mice, the untreated vector gives only background levels of beta-galactosidase expression in all four mice. In contrast, the two PEGylated viral preparations gave levels of beta-galactosidase above those for the control

- 41 -

animals in 4/4 and 3/4 animals for the "PEGylated virus 10%" and "PEGylated virus 15%" preparations, respectively (see Table 5). Thus PEGylation of the virus conveys protection from neutralization in vivo resulting in substantial expression of the vector in the target tissue in vivo.

5 Table 9. Beta-Galactosidase expression in lung tissue expressed as relative light units per microgram of protein (RLU/ $\mu$ g protein).

Preparation (infectious units)	Mouse Number	RLU/ $\mu$ g protein Native	RLU/ $\mu$ g protein Immunized
Control virus ( $2 \times 10^8$ iu)	1	955	25
	2	1457	90
	3	649	28
	4	1388	38
PEGylated 10% ( $3 \times 10^8$ iu)	1	2341	218
	2	2108	1296
	3	3694	164
	4	1730	1964
PEGylated 15% ( $6.4 \times 10^7$ iu)	1	705	34
	2	172	305
	3	715	198
	4	1128	108

### Example 15

#### Pegylation of Adenovirus ONYX-015

5 Genetic modification of viruses to produce replication competent viruses with restricted permissiveness has been demonstrated in a number of cases (e.g. for tumour

- 42 -

cells, hypoxic tissues and tissues having specific promoters). Adenovirus ONYX-015 (ONYX Pharmaceuticals) is an example of such viruses, which has been designed to propagate selectively in tumours. The virus is a chimera of adenovirus types 2 and 5, which replicates more efficiently in cells lacking the regulatory protein p53. Such cells include a number of tumour cell lines. The covalent attachment of polymer to the virus would be expected to enhance the tumour targeting ability of the virus, adding further advantages to those achieved with PEGylation, ideally whilst maintaining infectivity and protecting the virus from the effects of neutralising antibodies.

TMPEG was prepared as disclosed in Example 1. Adenovirus ONYX-015 was prepared following infection of human 293 cells, by ion exchange chromatography (IEC) using Resource Q media on a PerSeptive BioSystems chromatography workstation. The running buffers used were as follows; Buffer A: 150mM HEPES; 20 mg/ml sucrose; 2mM MgCl<sub>2</sub>, pH 7.5 (adjusted with NaOH), Buffer B: 1.5M NaCl in buffer A.

Virus purification was effectuated using a gradient of 0-5 minutes, 20% B; 5-15 minutes 20-50% B; 15-20 minutes, 100% B; 25-30 minutes, 20% B. Concentrated stocks of virus ( $9 \times 10^{11}$  pfu/ml) were diluted in virus storage buffer (VSB 10mM Tris base, pH 7.4, 1mM MgCl<sub>2</sub>, 150mM NaCl, 10% glycerol) to give a working concentration of  $1 \times 10^{11}$  pfu/ml. Aliquots of virus were stored at -70°C. It should be noted that TRIS is undesirable in PEGylation reactions since it is a nucleophile and must either be diluted sufficiently or the buffer must be exchanged.

Adenovirus ONYX-015 ( $1 \times 10^{11}$  pfu/ml and circa  $1 \times 10^{12}$  particles/ml) was reacted with PEG<sub>5000</sub> using an addition of PEG in 5%(w/v) steps (as described in Example 3). Each activated polymer addition was incubated for 30 min at 25°C, on a rotary wheel. Final concentrations of TMPEG or MPEG at 5, 10, 15 and 20% (w/v), were obtained. The polymer modified virus was assessed by IEC. The IEC method was run with a 1 ml Resource Q column (Pharmacia), using a HP1100 HPLC system. The running buffers used were as follows; Buffer A: 150mM HEPES; 20 mg/ml sucrose; 2mM MgCl<sub>2</sub>, pH 7.5 (adjusted with NaOH), Buffer B: 1.5M NaCl in buffer A.

They were run in a gradient of, 0-5 minutes, 20% B; 5-15 minutes 20-50% B; 15-20 minutes, 100% B; 25-30 minutes, 20% B.



- 43 -

The IEC method used demonstrates, that in virus samples treated with TMPEG, the shrouding effect of the PEG chains have resulted in sufficient neutralisation of surface charge of the virus particles to inhibit interaction with the column. The chromatogram in Figure 19, demonstrates this effect. Untreated virus particles (Figure 19a) were effectively eluted at 10.90 minutes (570mM NaCl), whereas MPEG treated virus (Figure 19b), samples were eluted at 10.91 minutes (570mM NaCl). In the TMPEG treated virus samples, no peak was present at this location, with a peak at the column void volume being observed (Figure 19c).

A peak also appeared at 0.75min in the TMPEG treated sample and examination of the spectrum at that location (not shown) was consistent with the new peak being due to PEGylated virus. In some examples, an increase in peak height was observed rather than a new peak at this location indicating that not only PEGylated virus but also other material can elute at this location.

Example 16 and Comparative Example 16  
Infectivity Assays for PEG-treated (TMPEG) and Sham-treated (MPEG) Adenovirus ONYX-015

PEGylated adenovirus ONYX-015 was prepared as in Example 15 and assessed in infectivity assays. Infectivity was assessed in an ELISA assay, using antibody detection of the major structural hexon protein.

Human 293 cells were seeded at  $5 \times 10^5$  cells/ml, in 96-well plates (100  $\mu$ l/well), and allowed to adhere preferably overnight, or for at least 2 hrs at 37°C. The PEG-reacted virus samples were diluted in Dulbecco's Minimal Essential Media (DMEM), containing 2% fetal calf serum, to give virus concentrations of  $1 \times 10^6$ ,  $5 \times 10^5$  and then four half log dilutions. Semi-confluent cell monolayers were infected with 100  $\mu$ l/well of diluted virus (6 replicates for each), for 48 hrs at 37°C and 5% CO<sub>2</sub>.

After 48 hrs, the cells were examined for cytopathic effect (CPE) using phase contrast microscopy, and results were recorded by photography.

- 44 -

The cells were then pelleted at 1000 rpm for 2 min, washed twice in phosphate buffered saline (PBS), fixed in iced ethanol containing 5% acetic acid for 10 min at -20°C, washed in PBS and blocked in Superblock (Pierce Chemical Co., : Cat. No.37535), for 1 hr at room temperature or overnight at 4°C. Cells were incubated with primary anti-hexon antibody, Access Biomedic Inc. (diluted 1:1000 in PBS comprising 3% Bovine serum albumin - BSA - PBSB) for 1 hr at room temperature. This was followed by incubation in a secondary antibody (rabbit alkaline phosphatase, diluted 1:1000 in PBSB with 0.1% Triton X 100, Pierce Cat No:121) for 1 hr at room temperature. The cells were washed in Tris Buffered saline (TBS), and incubated in PNPP (p-Nitrophenyl phosphate, disodium salt) substrate, prepared according to manufacturers instructions, (Pierce Cat No:37620), for 20 min. The reaction was stopped with 100  $\mu$ l/well 2N NaOH, and the results read at 405nm (Molecular Devices Emax Microplate Reader).

Single and stepwise additions of TMPEG<sub>5000</sub> and MPEG<sub>5000</sub> were prepared as in Example 15 and the preparations were monitored by IEC for PEGylation.

Figure 20a-d shows the effect of 5% additions of TMPEG<sub>5000</sub> and MPEG<sub>5000</sub> on adenovirus ONYX-015 infectivity. The infectivity of virus treated with 5 or 10% PEG is similar for each treated virus sample (open circles MPEG; closed circles TMPEG) and the untreated sample (triangles), whereas at 15 and 20% PEG the infectivity of the TMPEG treated virus is reduced with respect to the other two samples, but is still maintained at a significant level. Figure 21a-f, shows that the CPE exhibited by cells infected with untreated virus (a & b), TMPEG-treated virus (c & d) and MPEG-treated virus (e & f) are similar, suggesting that treatment with TMPEG does not result in substantial loss of infectivity or replication ability of this virus.

The effect of PEG treatment on virus infectivity was also assessed using plaque assays. Virus samples were prepared as in Example 1 and 15. The PEG treated and untreated virus samples were serially diluted in DMEM (with 2% FCS) to give dilutions of  $10^{-4}$  to  $10^{-9}$  of the original inoculum ( $1 \times 10^{11}$  pfu/ml).

Semi-confluent monolayers of HEK 293 cells were set up in 6-well plates, and allowed to establish overnight at 37°C. The medium was removed and the cells infected with 200  $\mu$ l/well of diluted virus inoculum. Cells were infected for 1 hr at 37°C, the

- 45 -

innoculum removed and the cells overlaid with 2 x DMEM (with 10% FCS) and 3% Seaplaque agarose (Flow laboratories) (1:1 v/v). The overlay was allowed to solidify and then overlaid with liquid DMEM (with 10% FCS). The assays were set up in duplicate, and incubated at 37°C. Assays were examined for plaque formation at 5-6 days post infection (dpi). Once plaques were observed, the assays were stained with neutral red stain (0.1% in PBS) and the numbers of plaques recorded.

Virus samples treated with 3% TMPEG<sub>5000</sub> and MPEG<sub>5000</sub> produced plaque titration of  $3.0 \times 10^9$  pfu/ml and  $4.5 \times 10^9$  pfu/ml respectively, whereas the untreated control virus produced a titre of  $6 \times 10^9$  pfu/ml. This suggested that both sample handling and attachment of PEG chains have a modest and independent impact on infectivity.

In samples treated with 5% additions of TMPEG<sub>5000</sub> and MPEG<sub>5000</sub>, resulting in 20% PEG treatment, titres of  $6.8 \times 10^9$  pfu/ml and  $7 \times 10^9$  pfu/ml respectively were attained. In comparison, untreated virus produced titres of  $5 \times 10^9$  pfu/ml. Thus, in this experiment neither handling nor PEG chain attachment appear to have reduced infectivity or replication ability.

Further observations on cells infected using TMPEG<sub>5000</sub>-treated virus, were made using antibody staining and immunofluorescence microscopy.

Virus samples were treated with TMPEG<sub>5000</sub> and MPEG<sub>5000</sub> as described in Example 15.

Semi-confluent monolayers of HEK 293 cells were set up in 8 well slide chambers (Nunc), and allowed to adhere overnight at 37°C. The medium was removed and the cells were infected with TMPEG-treated, MPEG-treated or untreated virus inoculum diluted in DMEM (with 2% FCS) to  $1 \times 10^6$  pfu/ml ( $50 \mu\text{l}$ /well) for 1 hr at 37°C, after which the inoculum was replaced with DMEM, containing 5% FCS. Cells were incubated at 37°C and prepared for microscopy at 48 and 72 hours post infection (hpi), as follows.

Cells were washed in PBS (5 min), blocked in PBSB for 1 hr at room temperature, washed in PBS and then incubated in primary anti-hexon antibody (diluted 1:1000 in PBSB, Access Biomedic Inc.) for 1 hr at 37°C. The cells were washed in PBS, and

- 46 -

incubated in secondary goat anti-rabbit FITC conjugate (diluted 1:80 in PBS, Sigma Chem. Co.) for 1 hr at 37°C. The cells were washed extensively in PBS, three times in sterile distilled water, and mounted in Citifluor anti-fade mountant (Agar Accessories Ltd.). Slides were viewed using a Olympus Epifluorescence Microscope.

5 Immunofluorescence micrographs in Figure 22 show staining with anti-hexon antibody in cells infected with TMPEG<sub>5000</sub> treated ONYX-015 virus, at 48 h post incubation, suggesting that treatment with TMPEG produces no inhibitory effect on virus replication.

#### 10 Example 17

##### Covalent Attachment of Polyethylene Glycol to Poxvirus

As a representative virus vector from the Poxvirus family Vaccinia virus strain MJ was selected. Strain MJ of Vaccinia virus containing a lacZ gene which encodes  $\beta$ -galactosidase (VVMJ.lacZ), was used to demonstrate the covalent attachment of TMPEG  
15 to a Poxvirus vector. Vaccinia virus strain MJ.lacZ was prepared from infected BS-C-1 cells, grown in minimal essential medium (MEM), supplemented with 10% FCS. Vaccinia virus MJ.lacZ and BS-C-1 cells were obtained from Dr. A. Alcamì, Division of Virology, Department of Pathology, University of Cambridge, Tennis Court Road,  
20 Cambridge, U.K. Purified virus stocks were prepared by sedimentation through a sucrose cushion, dialysed against PBS overnight at 4°C, and titrated by plaque assay in TK-143B cells (provided by Dr. Alcamì). Titres of  $6 \times 10^9$  pfu/ml were obtained.

Aliquots of virus were reacted with TMPEG<sub>5000</sub> and MPEG<sub>5000</sub> in 5% (w/v) steps as described in Example 15. Samples from the 5% and 20% reactions were diluted in  
25 minimal essential medium (MEM) supplemented with 2% FCS, to give serial dilutions of  $10^{-5}$  to  $10^{-9}$ .

Plaque assays were carried out to assess the effect of 5% and 20% (w/v) treatment with TMPEG on virus infectivity. TK-143B cells, grown in MEM, supplemented with 10% FCS, were seeded in 6-well plates and allowed to adhere overnight at 37°C. Cell  
30 monolayers were infected with dilutions,  $10^{-1}$  to  $10^{-8}$  of TMPEG treated and MPEG

- 47 -

treated virus (500  $\mu$ l/well), for 1 hr at 37°C. After washing with PBS containing 2% FCS, the cells were overlaid with MEM containing 2.5% FCS and 1.5% carboxymethyl cellulose (CMC). After 2 days, the cell monolayers were stained with 0.1% crystal violet in 15% ethanol and the number of plaques recorded. The reduction in the numbers of  
5      plaques is shown in Figure 23 (results of two independent experiments in upper and lower panels). Note that the number of pfu/ml does not have a linear relationship to the virus dose, thus % retention of infectivity cannot be precisely ascertained, but that TMPEG treatment does not abrogate all infectivity.

Infectivity assays were carried out by plaque assay in TK-134B cells which were  
10      stained after two days, by the addition of 300ug/ml X-gal of  $\beta$ -galactosidase in the cells was ascertained following overnight incubation. The results, which are in broad agreement with the findings above, are shown in Figure 24.

The effect of TMPEG treatment on vaccinia virus replication was assessed using assays for expression of early and late virus proteins with immunomodulatory activity.  
15      The soluble interferon- $\gamma$  receptor expressed from an early promoter was assayed as follows: Tk-143B cell monolayers were infected with vaccinia virus at a multiplicity of infection (moi) of 1 pfu/cell. Culture supernatants were harvested at 24 hours post infection hpi and tested for expression of INF- $\gamma$  receptors using a cross-linking assay. Media from uninfected or infected cultures (24hpi) were incubated with 1.7nM  $^{125}$ I-INF-  
20       $\gamma$ , in the absence or presence of 100-fold excess IL-1 $\beta$  or IFN- $\gamma$ . IFN- $\gamma$  receptor complexes were cross-linked by the addition of EDC and samples were analysed by SDS-PAGE in 12% polyacrylamide gels and autoradiography (Figure 25). The effect of TMPEG and MPEG treatment on expression of the INF- $\gamma$  receptor is shown in Figure 25, using the doses of medium ( $\mu$ l) indicated, from uninfected or infected cultures at 24 hpi.  
25      No variation in expression was detected. No IFN- $\gamma$  binding activity was detected in medium harvested after the absorption period (data not shown). Recombinant baculovirus-infected cells expressing the vaccinia IL-1 $\beta$  receptor or the vaccinia INF- $\gamma$  receptor were used as negative and positive controls, respectively. The specificity of the  $^{125}$ I-INF- $\gamma$  binding was confirmed by competition with unlabelled IFN- $\gamma$ , but not  
30      unlabelled IL-1 $\beta$ .

- 48 -

Expression of the soluble interleukin-1 $\beta$  receptor, expressed from a late promoter, was assayed as follows. TK-143B cell monolayers were infected with vaccinia virus at an moi of 1 pfu/cell. Culture supernatants were harvested at 24 hpi and tested for expression of IL-1 $\beta$  receptors in a soluble binding assay. Media from uninfected and infected cultures (24 hpi) were incubated with 140pM  $^{125}$ I-IL-1 $\beta$ , in the presence or absence of 100 fold excess IL-1 $\beta$  or IFN- $\gamma$ . Bound IL- $\beta$  was determined by precipitation with polyethylene glycol and the precipitate collected on Whatman GF/C filters. Background radioactivity precipitated in the presence of binding medium was subtracted. One  $\mu$ l of medium was equivalent to 1500 cells. Specific bound radioactivity ( $\pm$  standard deviation) is shown in Figure 26. At the indicated doses ( $\mu$ l), media assayed from cells infected with 20%MPEG and 20% TMPEG treated virus, showed little or no difference in activity. Binding activity of medium harvested after the absorption period is given as t = 0. Supernatants from recombinant baculovirus infected cells, expressing vaccinia IL-1b or vaccinia IFN-g were used as positive and negative controls respectively.

Figure 27 shows the impact of incubation with TMPEG<sub>5000</sub> or MPEG<sub>5000</sub> on neutralisation of the virus by anti vaccinia serum. With the MPEG treated virus, all dilutions of serum produced a similar reduction in pfu/ml, indicative of neutralisation. A protective effect was evident in the TMPEG treated samples at 1/1000, 1/500 and possibly 1/250 dilutions of serum.

#### Example 18

##### Covalent attachment of Polyethylene Glycol to Retrovirus

As a representative example of retrovirus vectors, the mammalian C-type retrovirus, Molony murine leukaemia virus (MMLV) was used to demonstrate retention of infectivity in TMPEG treated virus samples.

MMLV containing a lacZ gene, encoding  $\beta$ -galactosidase sequence (AM-12.lacZ), obtained from Dr. Massimo Pizzato, Cancer Research Institute, Inlham Road, London, U.K., was produced in 3T3 fibroblasts grown in serum free DMEM.

Monolayers of cells were infected at low multiplicity of infection (moi) for 24 hours at

- 49 -

37°C. Virus stocks were harvested from culture supernatant, and filtered through 0.45µm filters prior to use. Virus stocks titrated by infectivity assays in 3T3 cells, were found to be at  $1 \times 10^6$  pfu/ml. The filtered culture supernatants, containing  $1 \times 10^6$  pfu/ml were treated with TMPEG<sub>5000</sub> or MPEG<sub>5000</sub> in 5% steps as described in Example 16. The reactions were carried out at 25°C, allowing 30 min for each addition. The pH of the reactions was monitored, and a drop from pH 7.0 to pH 6.8 was recorded in the TMPEG 15% and 20% reactions.

Monolayers of 3T3 and CE cells were set up in 24 well plates and allowed to adhere overnight at 37°C. TMPEG and MPEG treated MMLV was diluted in serum free DMEM media ( $10^{-1}$  to  $10^{-5}$  dilutions), and cells were infected with each dilution (0.5ml/well) for 4 hrs at 37°C. Following infection, the virus inoculum was removed, and replaced with 1ml of DMEM containing 10% FCS. At 48 hours post infection (hpi), the cells were stained overnight at 37°C with X-gal (300mg/ml). Cells expressing β-galactocidase activity were counted, and representative dilutions recorded by photograph.

The infectivity of TMPEG and MPEG treated MMLV is shown in Figure 28 (two independent experiments are shown in 28a and b). In both experiments TMPEG treated samples showed somewhat lower infectivity than the equivalent MPEG treated controls. The impact of sham treatment with MPEG differed between the two experiments, showing modest reduction in infectivity in Figure 28a and an apparent increase versus untreated control at 5% MPEG and no reduction versus untreated control at 10, 15 and 20% MPEG respectively in Figure 28b.

Cells showing β-galactocidase activity are represented in Figure 29. Panels A and B show untreated virus at dilutions  $10^{-1}$  and  $10^{-4}$  respectively. In the remaining panels the dilutions giving the most comparable levels of infectivity in the treated samples are shown. Panels C and D show 5% and 20% TMPEG treated virus both at  $10^{-4}$  dilution and panels E and F show 5% and 20% MPEG treated virus at  $10^{-2}$  and  $10^{-3}$  dilutions respectively.

- 50 -

### Example 19

#### Covalent attachment of Polyethylene Glycol to Herpesvirus

As an example of Herpesvirus based vectors, Herpes simplex I strain 17 (HSV-I strain 17), obtained from Dr. S. Efstathiou, Division of Virology, Department of Pathology, University of Cambridge, Tennis Court Road, Cambridge, U.K., was used to demonstrate the covalent attachment of TMPEG to a Herpesvirus vector, and to assess the effect of the polymer on virus infectivity.

Virus stocks of HSV-I strain 17, was prepared by infecting roller bottles of BHK cells, at an moi of 0.01 (0.01 pfu/cell). The infected cells were incubated in Glasgow MEM (GMEM Life Technologies, Inc.) containing 10% FCS (plus penicillin/streptomycin 1000u/ml), at 37°C until complete cytopathic effect (CPE) was observed. The virus from both the infected cells, and the culture supernatant was harvested, and purified on a 15% Ficoll gradient in endotoxin-free PBS. The virus was then separated by ultracentrifugation, and resuspended in PBS. Aliquots of the purified virus stock were stored at -70°C. The stock inoculum was titrated by plaque assay and was determined to be at  $1.1 \times 10^9$  pfu/ml.

Aliquots of the purified virus were reacted with either TMPEG or MPEG, in 5% (w/v) steps as described in Example 16. The reactions were carried out on a rotary wheel, at 25°C, allowing 30 min for each addition of PEG. The pH of the reactions were monitored at each step, and was found to remain stable at pH 7.0. Following treatment with PEG, the reacted virus samples were stored at -70°C.

The retention of HSV-I Infectivity was assessed following treatment with TMPEG as follows. Vero cells and BHK cells were trypsinised using standard procedures, and maintained on ice. Serial 10 fold dilutions of the untreated, MPEG treated and TMPEG treated virus samples were prepared in GMEM, containing 2% FCS.  $2 \times 10^6$  Vero cells and  $3 \times 10^7$  BHK cells were added to each virus dilution ( $10^{-3}$  to  $10^{-8}$ ), and the cells were infected by shaking gently at 37°C. The infected cells were seeded in 6 cm dishes, with the addition of GMEM, containing 10% FCS (plus penicillin/streptomycin 1000units/ml) and 1% carboxymethyl cellulose (CMC). The cells were incubated at 37°C for 48 hrs. At



- 51 -

48 hpi, the assays were fixed in 10% formalin, and stained with toluidine blue. The number of plaques was recorded.

Figure 30 shows the HSV-I infectivity assays carried out in Vero cells (Panel A) and BHK cells (Panel B), respectively. Reaction with 5% MPEG produced a reduction of infectivity in Vero cells, but infectivity was not affected by this level of exposure to MPEG in BHK cells. Although treatment with TMPEG resulted in some loss of HSV-I infectivity, in both cell lines some retention of infectivity was observed in both cell lines.

#### Example 20

##### Covalent Attachment of Polyvinyl Pyrrolidone (PVP) to Adenovirus ONYX-015

PVP is a linear water soluble polymer which can be activated in a similar fashion to polyethylene glycol. In this example PVP carboxylic acid was activated by the succinimidyl active ester method (Delgado et al., Crit. Rev. Therap. Drug Carrier Syst. 9:249-304, 1992) to provide activated PVP which can form PVP-modified virus (kindly supplied by Prof F. Veronese, University of Padua, Padua, Italy). PVP carboxylic acid was used as a control polymer with which to sham treat the virus, since this is unable to attach covalently to the virus. Activated and unactivated polyvinyl pyrrolidone (PVP) were added at a concentration of 5% (w/v) to adenovirus ONYX-015 ( $1 \times 10^{11}$  pfu/ml) and incubated for 30 min at 25°C. The samples were then assessed for polymer attachment using IEC essentially as described in Example 15, using the buffers A and B detailed above, but with the following gradient conditions: 0-5 minutes 0% buffer-B; 5-22 minutes 0-50% buffer-B; 22-27 minutes 100% buffer-B.

The IEC data are shown in Figure 31a and b. In the virus sample treated with unactivated PVP, a peak for unmodified virus is detected at 17.3 min, whereas in the sample treated with 5% activated PVP, no peak is detected at this position, suggesting that complete modification of the virus had occurred with 5% activated PVP. The peak at circa 11min in Figure 31a, which is much smaller in Figure 31b, is a variable artefact. In the sample treated with activated PVP a large peak is evident at 2.2min (truncated in the figure). This relates to the PVP in the sample, but may also obscure the PVP-virus.

- 52 -

Shrouding of surface charge of the virus particle by PVP is anticipated thus the PVP modified virus would be expected to eluted much earlier than the virus itself.

#### Example 21

##### 5     Tumor Localization of PEGylated Virus

PEGylated Adenovirus ONYX-015 was prepared by incubation with TMPEG5000 as described in Example 16, to give final concentrations of 20% polymer. Control Adenovirus ONYX-015 was prepared by incubation with MPEG5000. The  
10     PEGylated and control virus samples were analyzed by IEC as described in Example 15, and the 20% TMPEG sample was found to contain no unmodified virus.

A human LS174T colon carcinoma (obtained from the Clinical Oncology Department, Royal Free Hospital School of Medicine, London, NW3, U.K.) was implanted on the flank of nude mice (MF1) (obtained from the Comparative Biology  
15     Unit, Royal Free Hospital School of Medicine, London, NW3, U.K.) by placing a small piece of tumor under the skin. Once the tumor was established (typically 3 weeks after implantation), the animals were injected into the tail vein, with a dose of equivalent  $1 \times 10^8$  pfu/animal ( $100\mu\text{l}$ /animal), of PEGylated or control virus. At 24 hours post injection, the animals were sacrificed and tumor and liver were taken. The tissues were prepared  
20     for microscopy as follows: the tissues were cut into small pieces and washed once in PBS, fixed in 3% paraformaldehyde/0.3% glutaraldehyde for 1 hr. at  $4^\circ\text{C}$  and then infiltrated with 2.3M sucrose for 24-48 hours at  $4^\circ\text{C}$ . The samples were frozen at  $-20^\circ\text{C}$ , and cryosectioned onto slides. Semi-thin section were stained for 1 hour at room  
25     temperature in primary anti-hexon antibody (Access Biomedic, Inc., diluted 1:1000 in PBSB), washed in PBS, and then incubated for 1 hour in secondary goat anti rabbit FITC conjugate (Sigma, diluted 1:40 in PBS). The sections were washed in PBS and distilled water, and mounted in Citifluor anti-fade mountant. Sections were examined by confocal microscopy.

Sections taken from tumor tissues showed distribution of PEGylated and control  
30     virus within the tissue (Figures 32B and C). Sections taken from the liver tissue showed

- 53 -

no localization of virus in either PEGylated virus (Figure 32A) or control virus (data not shown). The localization of the PEGylated virus in the tumor is shown in Figure 32B. Some tumor localization was also seen for the sham PEGylated virus (Figure 32C). (Figures 32B and C are at the same magnification).

5

### Example 22

#### Transgene Expression of PEGylated Ad2/ $\beta$ -gal 4 virus in Immune Mice

Ad2/ $\beta$ -gal 4 virus (U.S. Patent No. 5,670,488) was PEGylated with 10% tresyl mPEG (TMPEG - Sigma Chemicals, St. Louis, MO) as already described. PEGylated virus was purified from unreacted TMPEG by banding on cesium chloride gradients (Rich et al., Human Gene Therapy 4:461-476, 1993). The purified PEGylated virus was dialysed into phosphate buffered saline (PBS), 5% sucrose and the titre was determined by end point dilution on HEK293 cells using fluorescent isothiocyanate (FITC)-conjugated anti-hexon antibody (Rich et al., 1993). Control or sham treated vector was treated with non-reactive MPEG and was purified and titred as described for TMPEG virus. PEGylated and sham treated virus were instilled into immune and naive mice. The dose for each vector was  $2 \times 10^8$  iu/mouse (equivalent to  $\sim 2 \times 10^{10}$  particles), the dose volume per mouse was 100  $\mu$ l. Immune mice had previously been instilled with Ad2 - CFTR-8 vector (U.S. Patent No. 5,707,618) and had titres to adenovirus in the range 25,000 - 51,200.

Three days after instillation the animals were sacrificed and lung tissue from individual animals were homogenised and  $\beta$ -galactosidase activity in the homogenate was assessed using a commercially available assay kit according to manufacturer's instructions (Galactolight Kit, Tropix, Bedford, MA.). The protein concentration of lung homogenates was determined using the BioRad DC reagent (BioRad, Hercules, CA) and the results expressed as relative light units (RLU)/ug protein.

Figure 33 shows the  $\beta$ -galactosidase expression for PEGylated virus (Ad tmPEG) and sham treated virus (Ad mPEG). Results shown are the mean  $\pm$  standard deviation of the values obtained with individual animals.  $\beta$ -Galactosidase expression was measured in

- 54 -

the lungs of naive mice for both the MPEG and the TMPEG (N=2) viral preparations. In the pre-immunised mice (N=4) the sham treated virus (Ad MPEG) had reduced levels of  $\beta$ -galactosidase expression (~47% of the  $\beta$ -galactosidase expression measured in naive animals), presumably due to neutralisation by adenovirus specific antibodies. In contrast  
5 in the pre-immunised mice (N=3) the PEGylated virus gave levels of  $\beta$ -galactosidase expression equivalent to those measured in naive animals (~89% of the expression measured in naive animals). Thus PEGylation of the adenovirus protects the virus from neutralisation, allowing full expression of the vector in the target tissue in the presence of an immune response.

- 55 -

WE CLAIM:

1. A polymer-modified virus comprising a virus particle having at least one polymer molecule bound thereto.
- 5 2. The polymer-modified virus of Claim 1 wherein said polymer is a polyalkalene oxide or a polyalkalene glycol.
3. The polymer-modified virus of Claim 1 wherein said polymer is a polyoxymethylene, polyethylene glycol, polyethylene oxide or methoxypolyethyleneglycol.
- 10 4. The polymer-modified virus of Claim 1 wherein said polymer is selected from the group consisting of polymethyl-ethyleneglycol, polyhydroxypropyleneglycol, polypropylene glycol, polymethylpropylene glycol, polyhydroxypropylene oxide, and polyvinyl pyrrolidone.
5. The polymer-modified virus of Claim 1 wherein said polymer is polyethylene glycol.
- 15 6. The polymer-modified virus of Claim 5 wherein said polyethylene glycol has an average molecular weight of from 200 daltons to 20,000 daltons.
7. The polymer-modified virus of Claim 5 wherein said polyethylene glycol has an average molecular weight of from 2000 daltons to 12,000 daltons.
8. The polymer-modified virus of Claim 5 wherein said polyethylene glycol has an average molecular weight of about 5000 daltons.
- 20 9. The polymer-modified virus of Claim 1 wherein said virus is retrovirus, adenovirus, adenoassociated virus, herpesvirus or poxvirus.
10. The polymer-modified virus of Claim 1 wherein said virus is adenovirus.
11. The polymer-modified virus of Claim 1 wherein said virus is adenovirus and said polymer is polyethyleneglycol.
- 25 12. The polymer-modified virus of Claim 11 wherein said adenovirus is a recombinant adenoviral vector.
13. The polymer-modified virus of Claim 1 wherein said virus is a recombinant viral vector comprising a transgene.
14. The polymer-modified virus of Claim 1 wherein said polymer molecule is directly  
30 covalently bound to said virus particle.

- 56 -

15. The polymer-modified virus of Claim 1 wherein said polymer molecule is indirectly covalently bound to said virus particle by an intermediate coupling moiety.

16. The polymer-modified virus of Claim 1 wherein said polymer molecule is indirectly noncovalently attached to said virus particle.

5 17. The polymer-modified virus of Claim 16 wherein said polymer molecule is indirectly noncovalently attached to said virus particle by a ligand.

18. The polymer-modified virus of Claim 17 wherein said ligand has specificity for a viral surface component.

19. The polymer-modified virus of Claim 17 wherein said ligand is an antibody.

10 20. The polymer-modified virus of Claim 17 wherein said ligand is a non-neutralizing anti-virus antibody.

21. The polymer-modified virus of Claim 17 wherein said ligand is a non-neutralizing anti-hexon antibody.

15 22. A method of making a polymer-modified virus comprising a virus particle having at least one polymer molecule bound thereto, said method comprising activating a polymer to provide an activated polymer, and coupling said activated polymer to said virus particle.

20 23. The method of Claim 22 wherein said polymer is activated by converting a terminal moiety of said polymer to an activated moiety, or by attaching an activated coupling moiety to said polymer.

24. The method of Claim 22 wherein said activated polymer is activated polyethyleneglycol.

25 25. The method of Claim 24 wherein said activated polyethyleneglycol is methoxypolyethylene glycol-tresylate (TMPEG), methoxypolyethylene glycol-acetaldehyde, methoxypolyethylene glycol activated with cyanuric chloride, N-hydroxysuccinimide polyethylene glycol (NHS-PEG), or polyethyleneglycol-N-succinimide carbonate.

30 26. A method of making a polyethylene glycol-modified virus comprising a virus particle having at least one polyethylene glycol molecule bound thereto, said method comprising coupling methoxypolyethylene glycol-tresylate to said virus particle.

- 57 -

27. The method of Claim 26 wherein said virus is a recombinant adenoviral vector.

28. The method of Claim 27 wherein said recombinant adenoviral vector contains a transgene.

29. A method of making a polymer-modified virus comprising a virus particle having at least one polymer molecule bound thereto, said method comprising covalently attaching a ligand to said polymer to provide a polymer modified ligand, and incubating said polymer activated ligand with said virus particle.

30. The method of Claim 29 wherein said ligand is an antibody or antibody fragment.

31. The method of Claim 29 wherein said ligand is a non-neutralizing anti-virus antibody.

32. The method of Claim 31 wherein said non-neutralizing anti-virus antibody is a non-neutralizing anti-hexon antibody.

33. The method of Claim 29 wherein said virus is a recombinant adenoviral vector.

34. The method of Claim 33 wherein said recombinant adenoviral vector contains a transgene.

35. A method for introducing a transgene into a target cell comprising introducing the polymer-modified virus of Claim 13 into said target cell.

36. The method of Claim 35 wherein said virus is adenovirus.

37. The method of Claim 35 wherein said polymer-modified virus is introduced into said target cell by infection.

38. A method for delivering a virus to a tumor comprising administering the polymer-modified virus of Claim 1 to a subject having a tumor under conditions whereby the polymer-modified virus localizes to said tumor.

39. The method of Claim 38 wherein said polymer-modified virus is present in a composition with a physiologically acceptable carrier.

40. A composition comprising the polymer-modified virus of Claim 1 or 12 and a carrier.

1/54

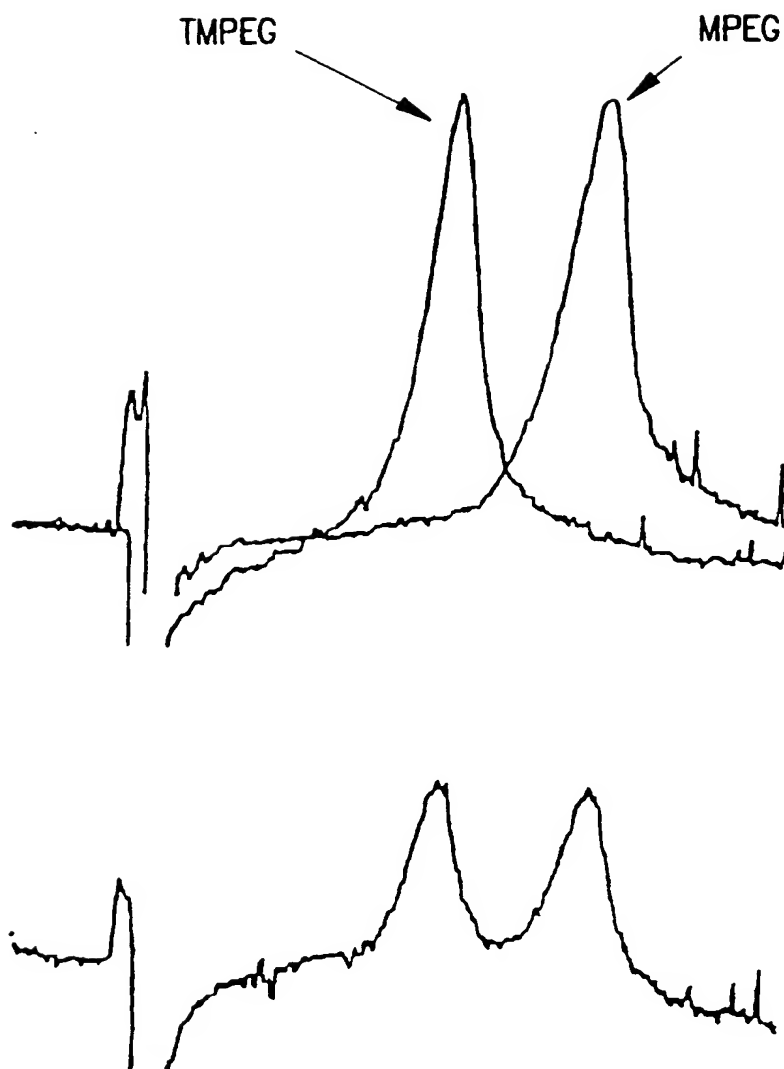


FIG.1



2/54

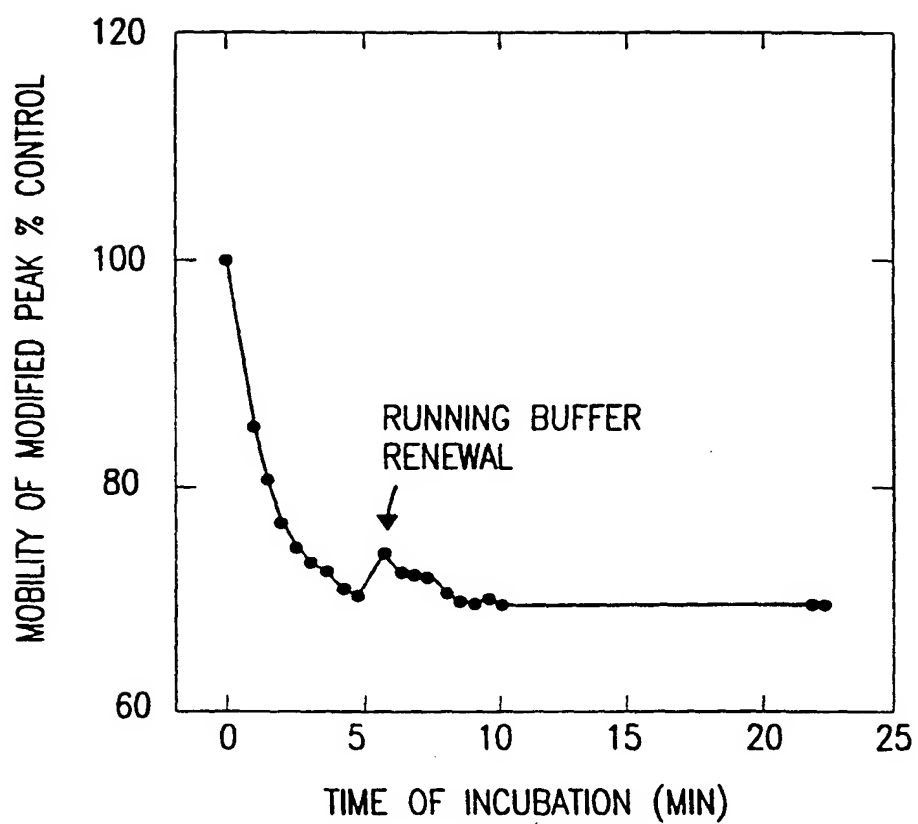


FIG.2

3/54

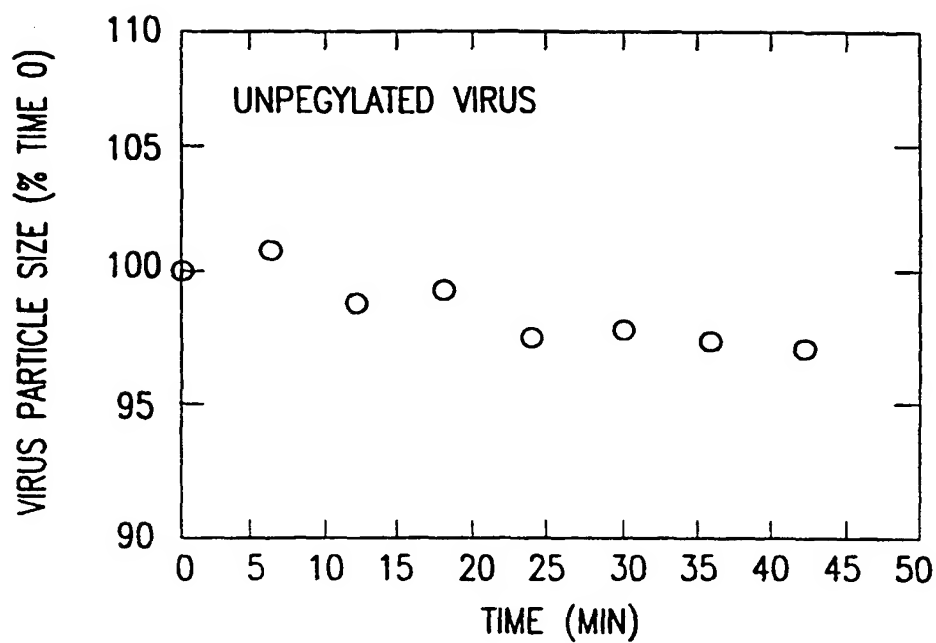


FIG.3A

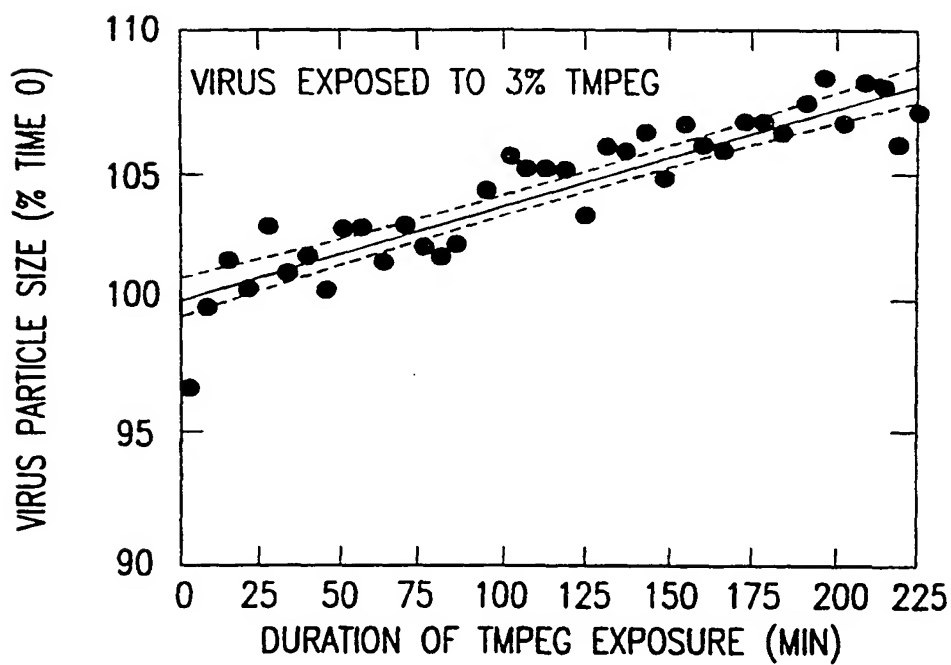


FIG.3B

4/54

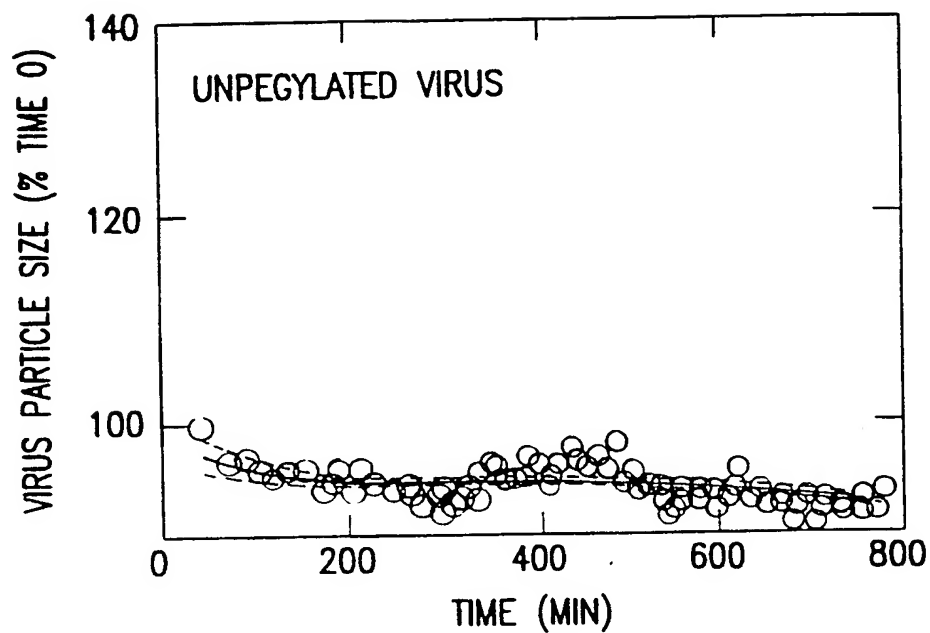


FIG.3C

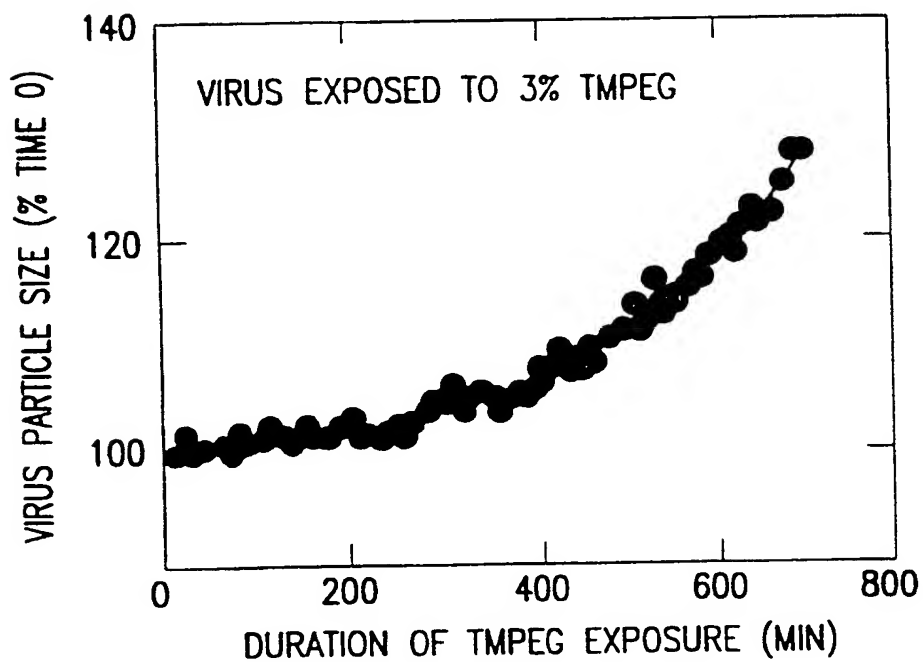


FIG.3D

5/54

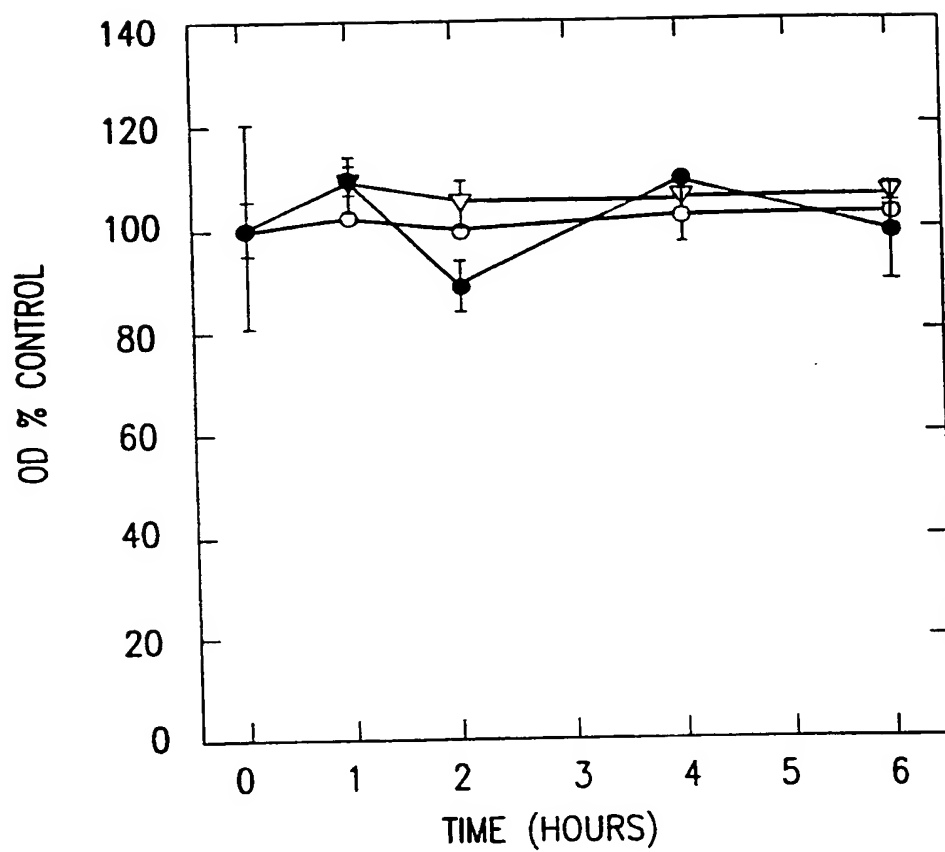


FIG.4

6/54

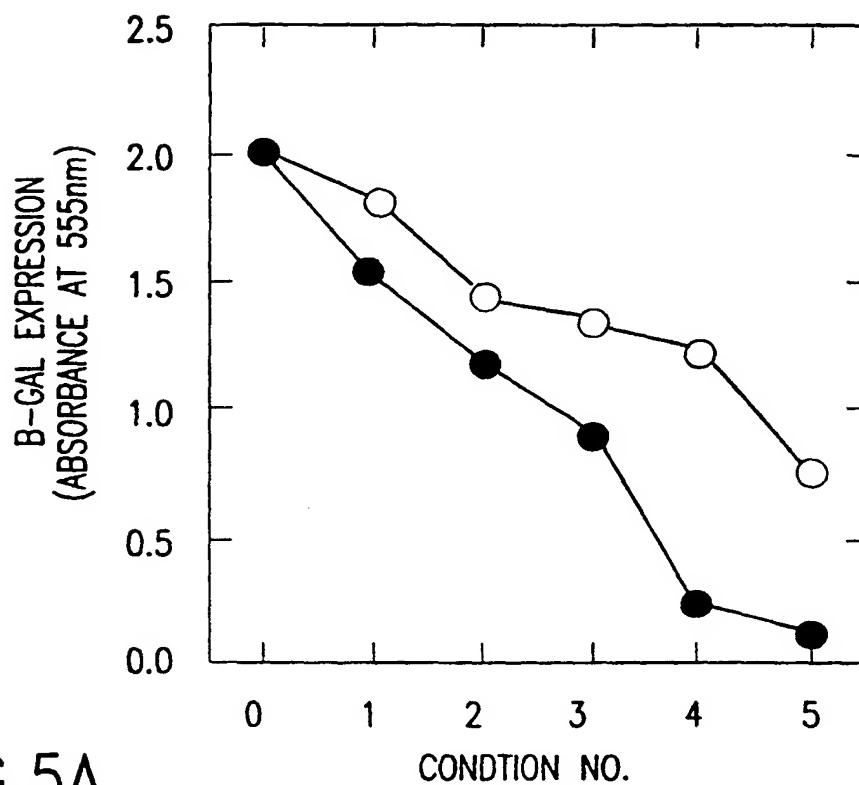


FIG. 5A

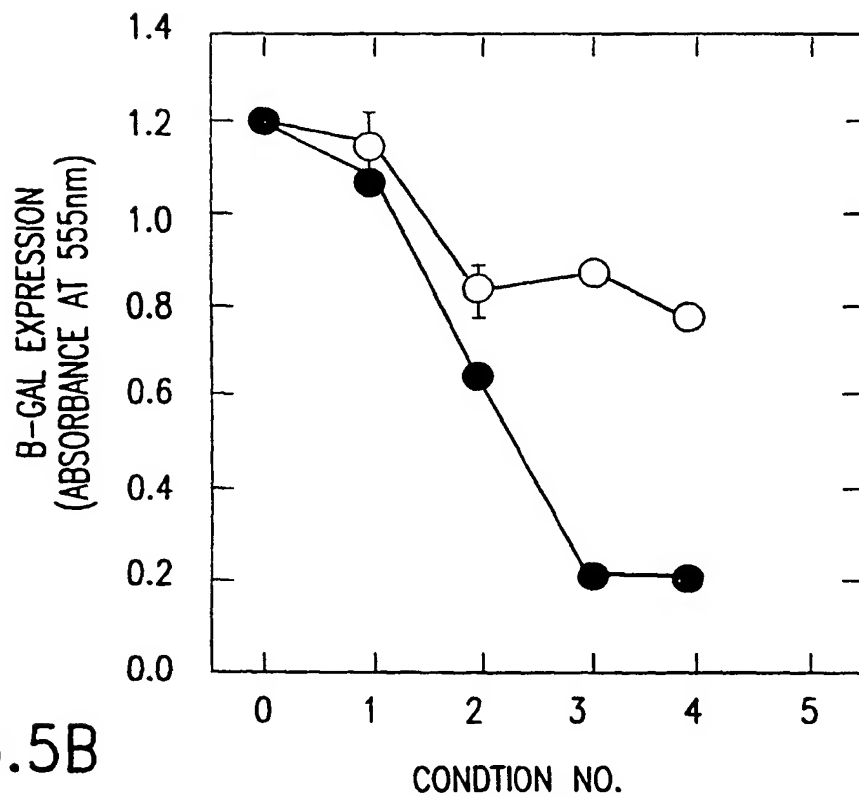


FIG. 5B

7/54

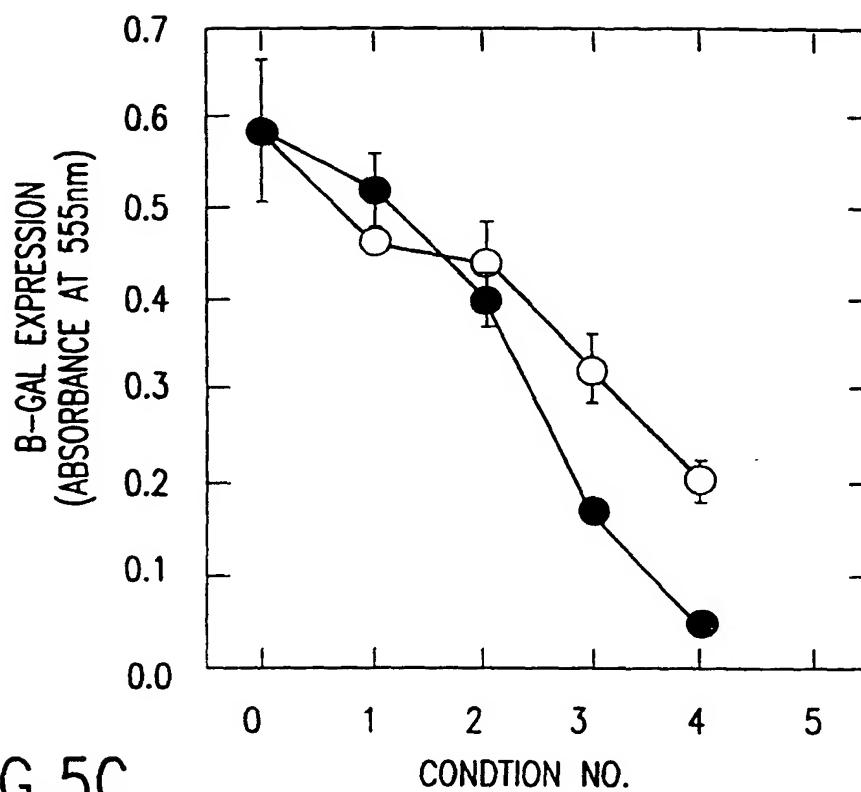


FIG.5C

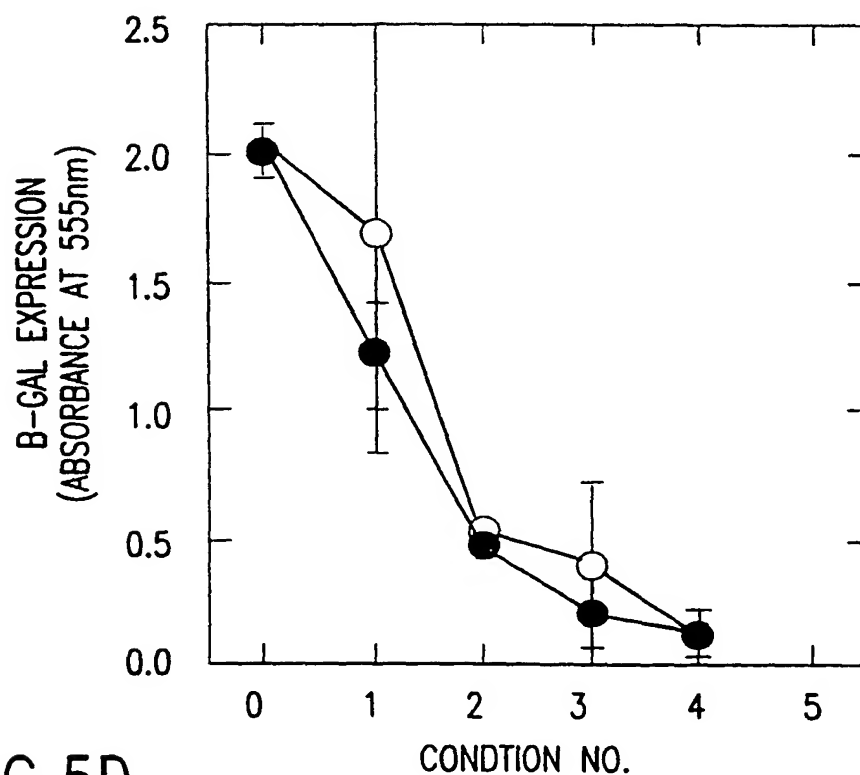


FIG.5D

8/54

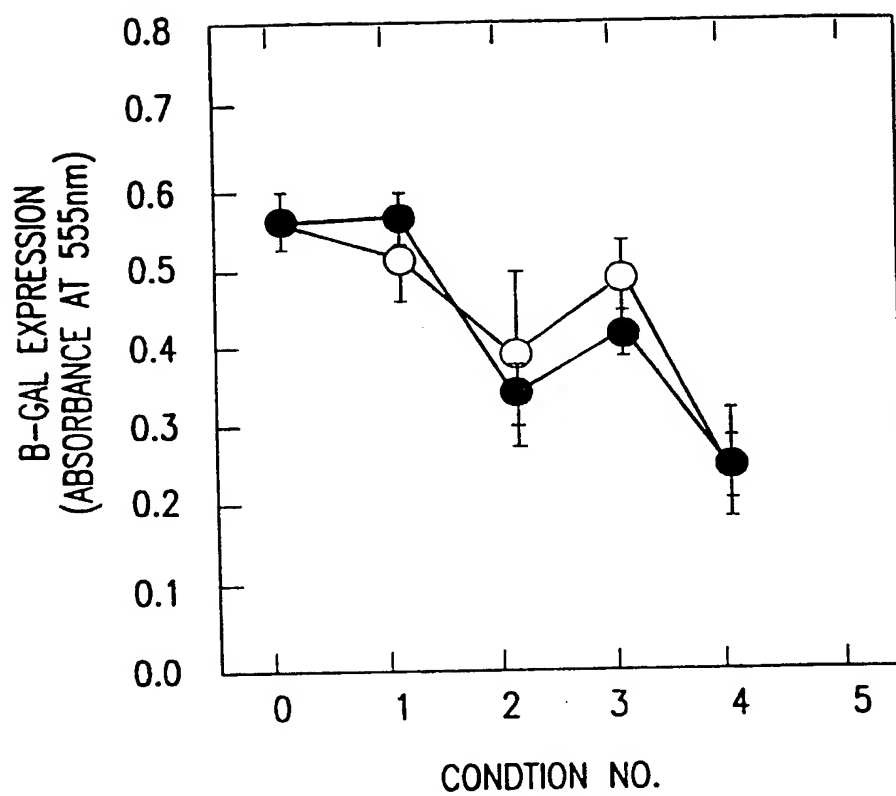


FIG.5E

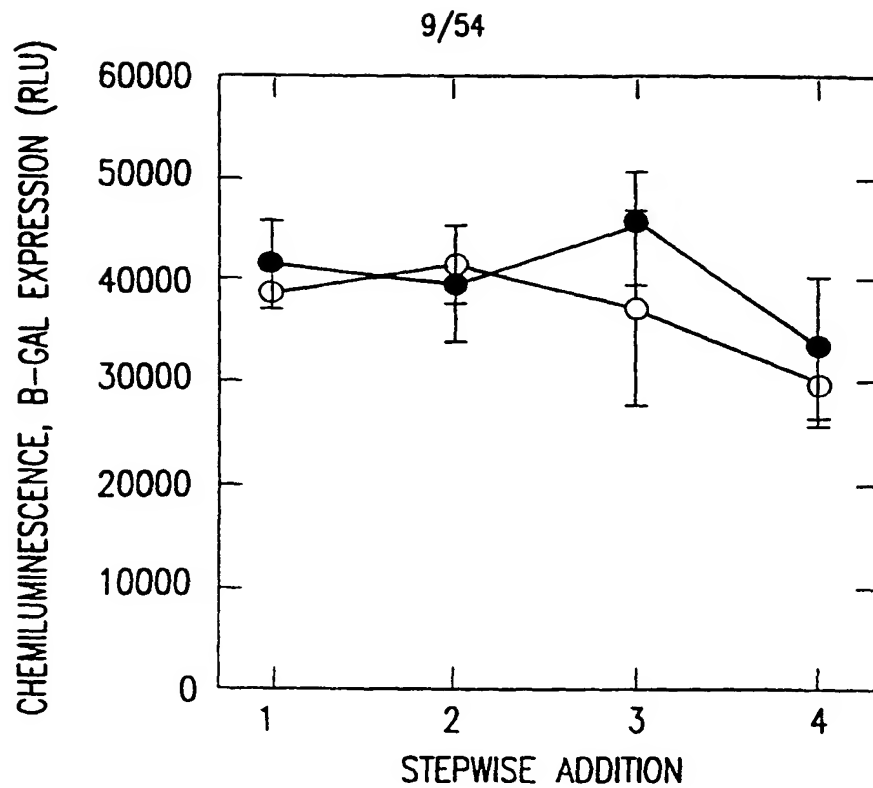


FIG.6A

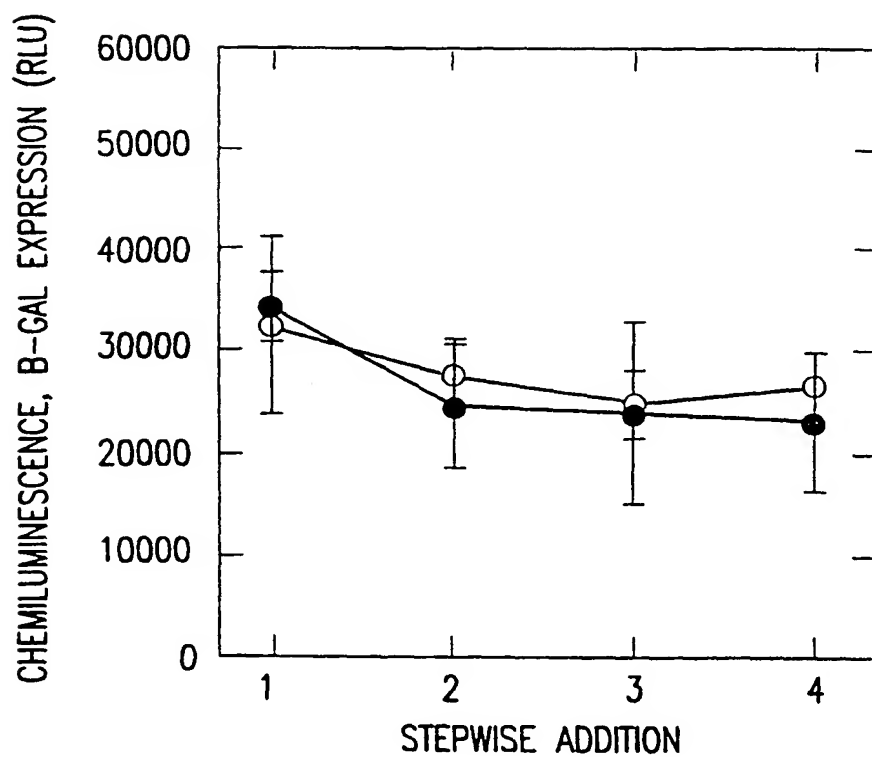


FIG.6B



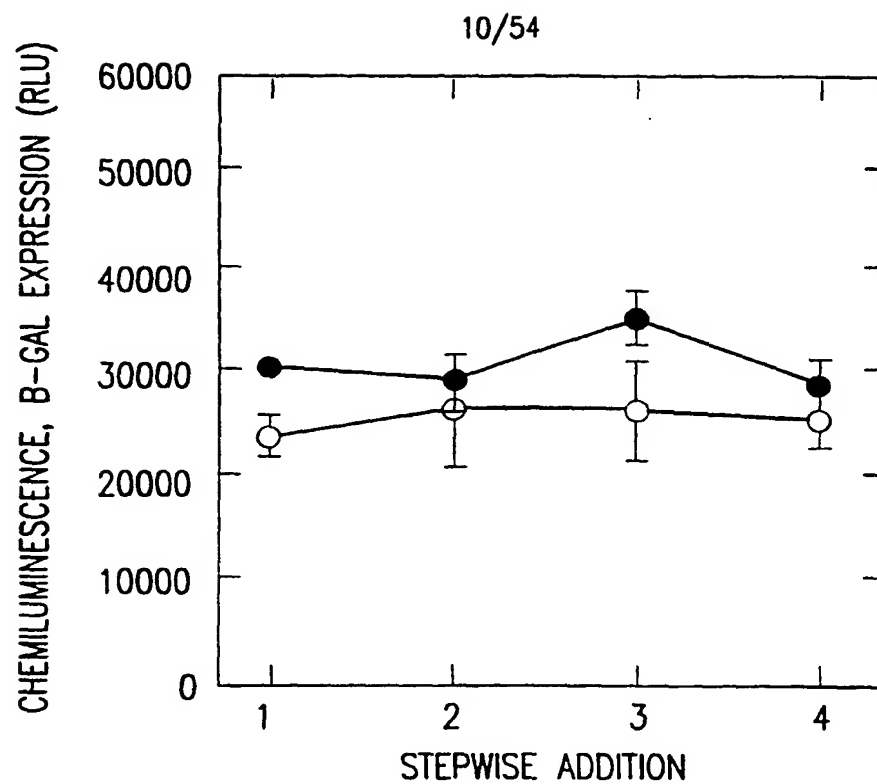


FIG.6C

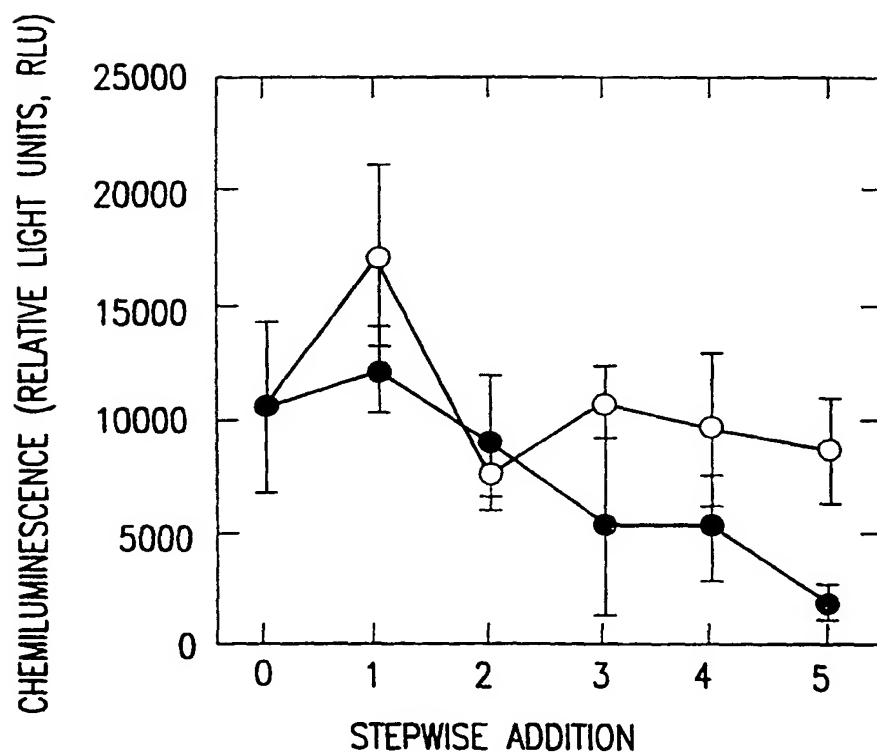


FIG.7A

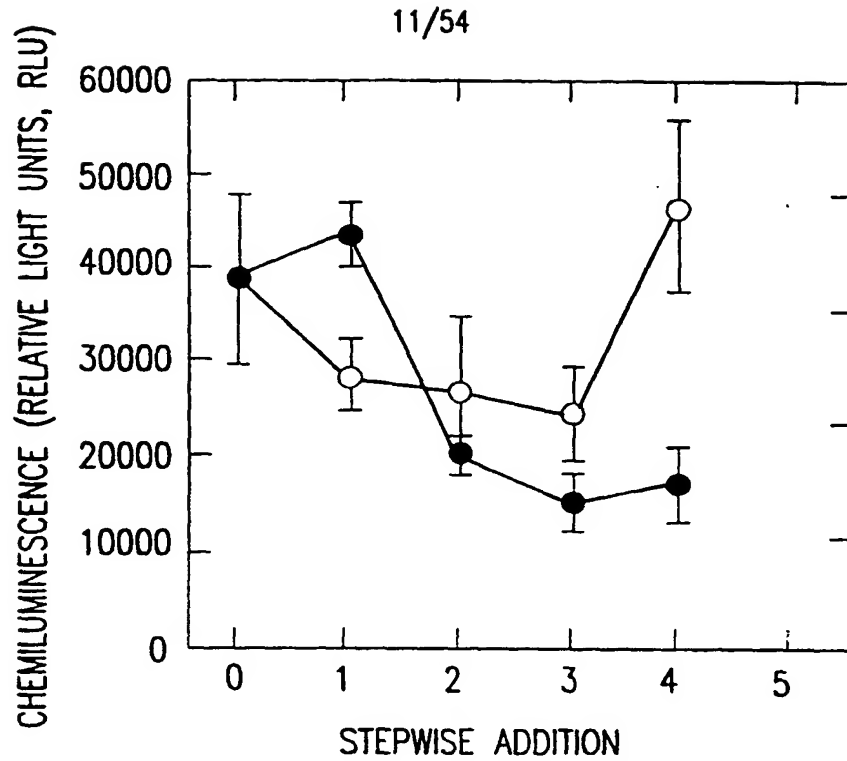


FIG.7B

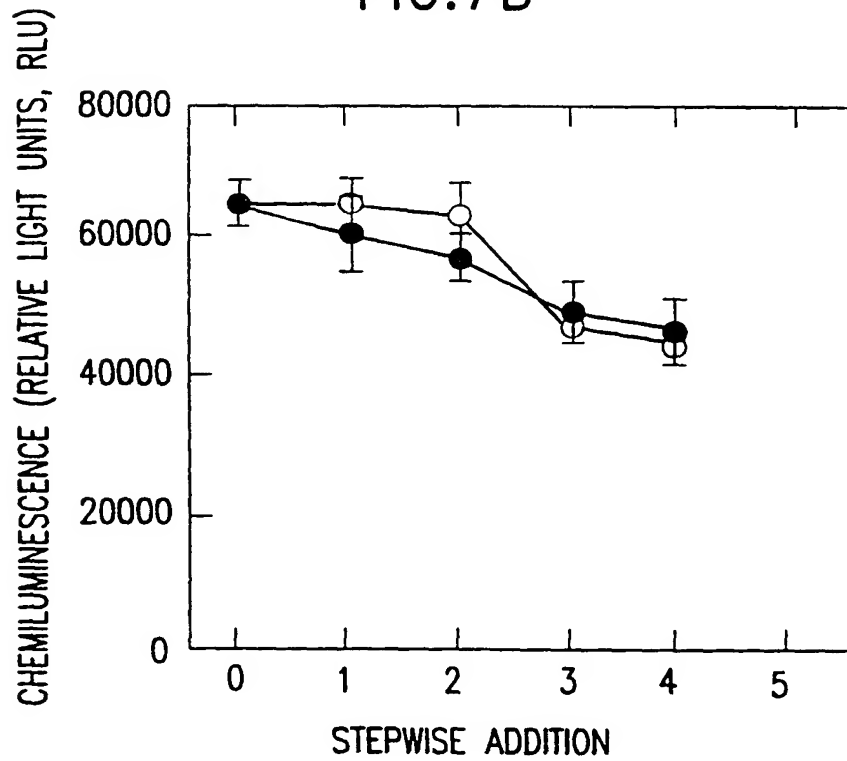


FIG.7C

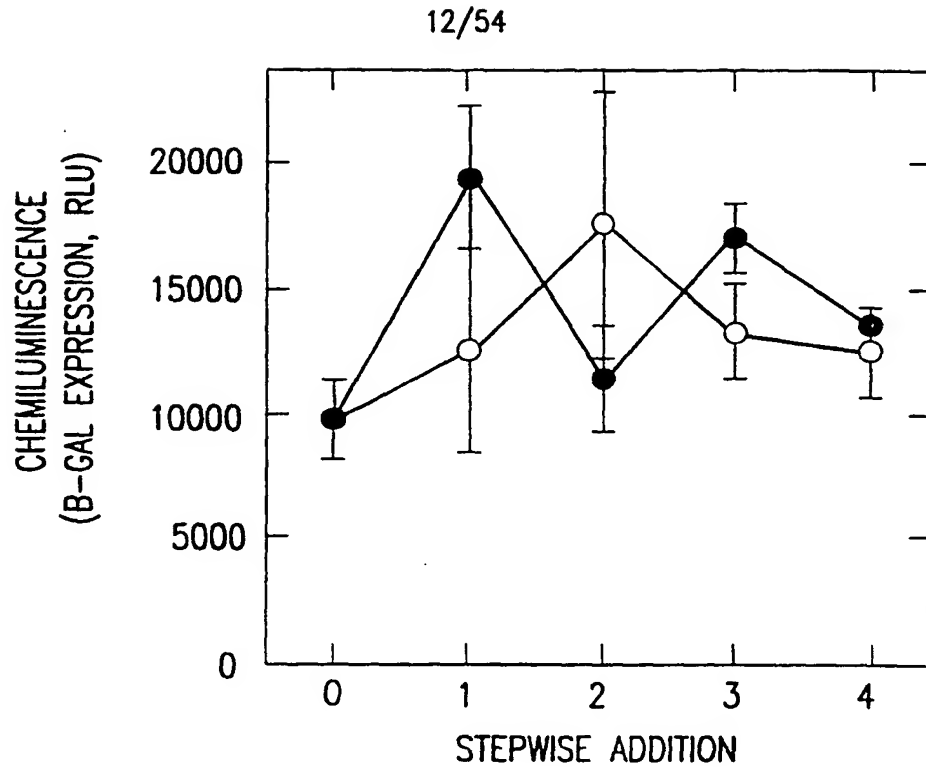


FIG. 8A

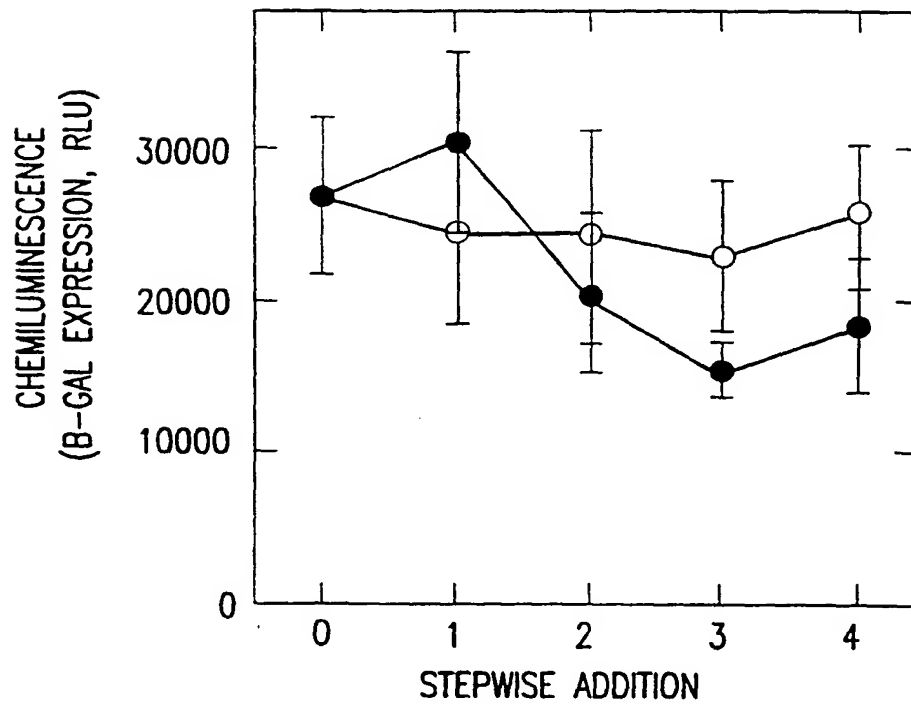


FIG. 8B

13/54

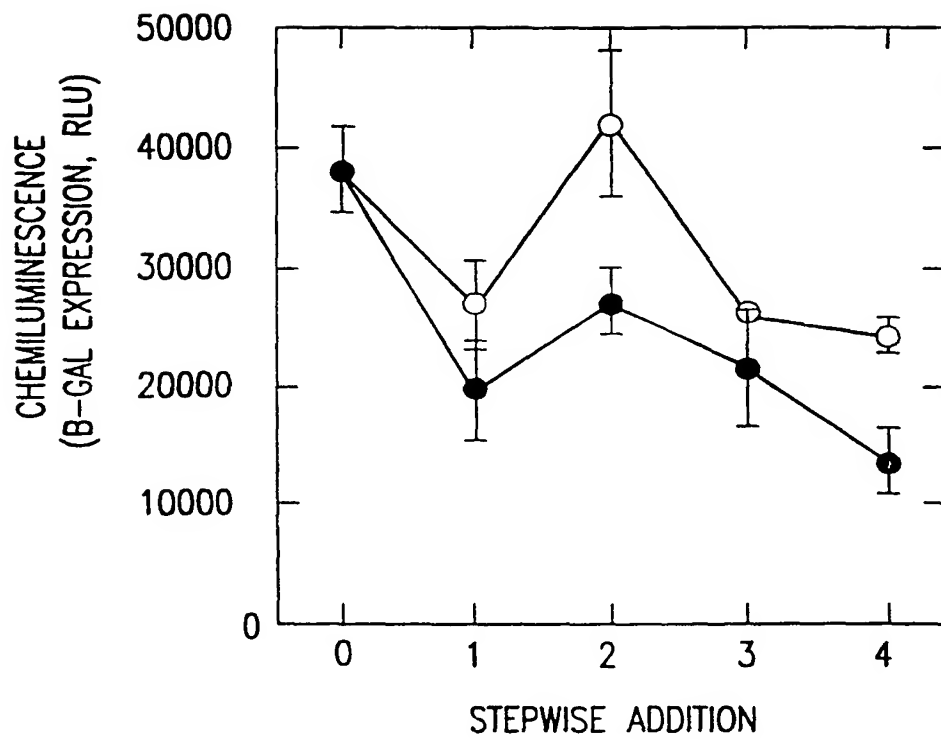


FIG.8C

14/54

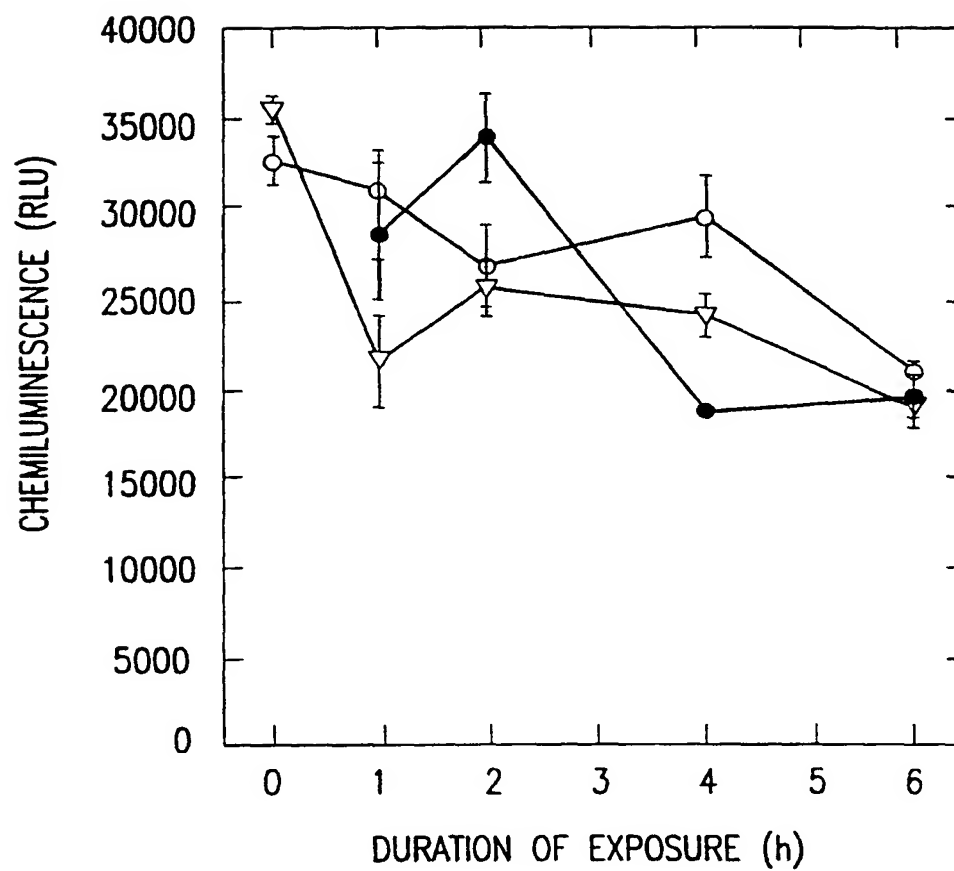


FIG.9

15/54

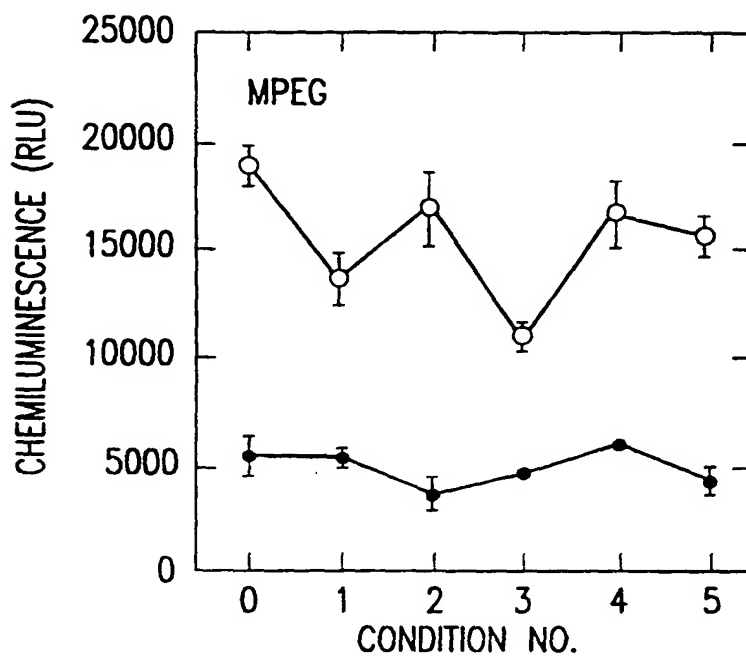


FIG.10A

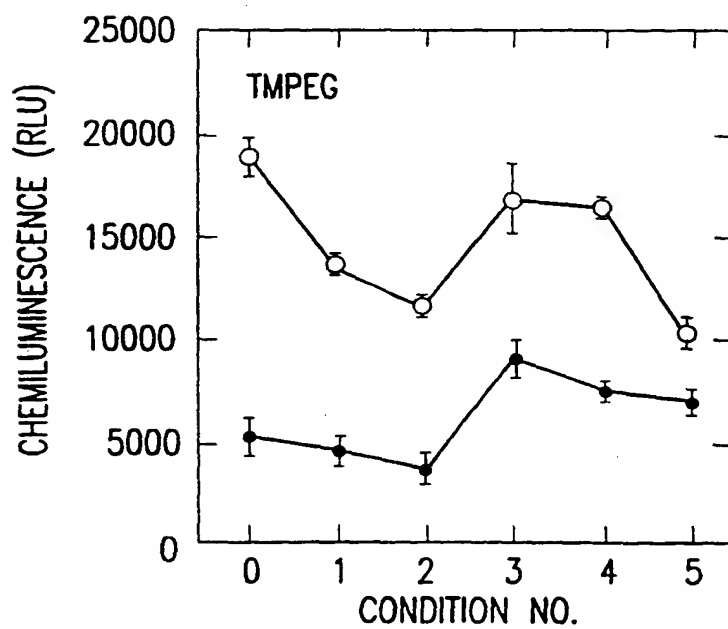


FIG.10B

16/54

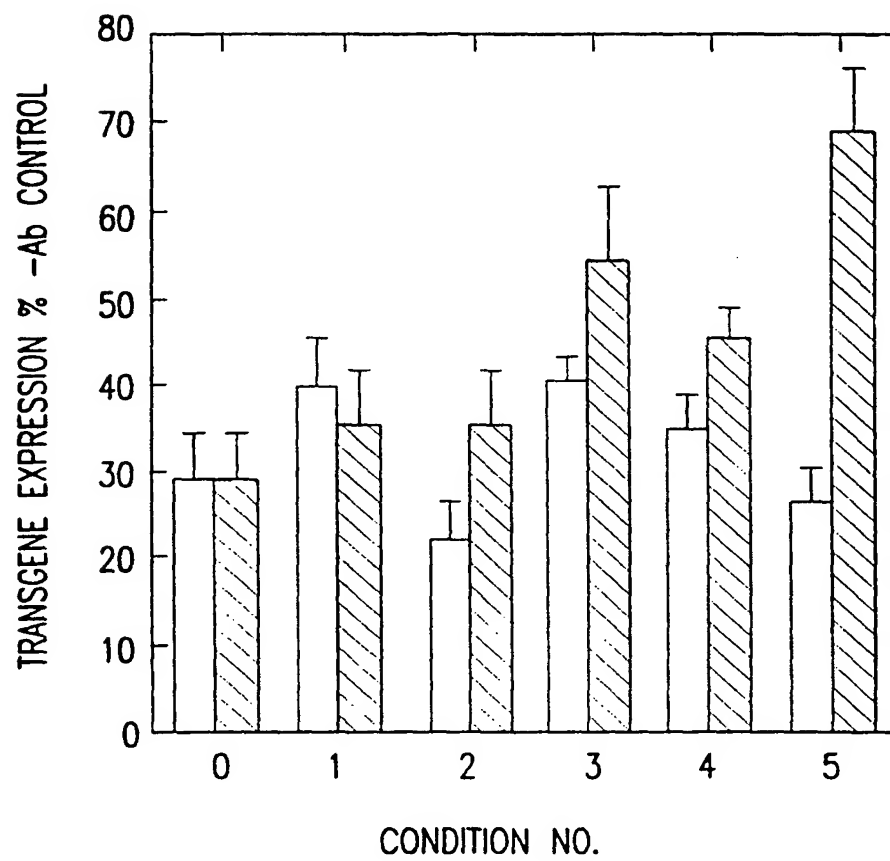


FIG.10C

17/54

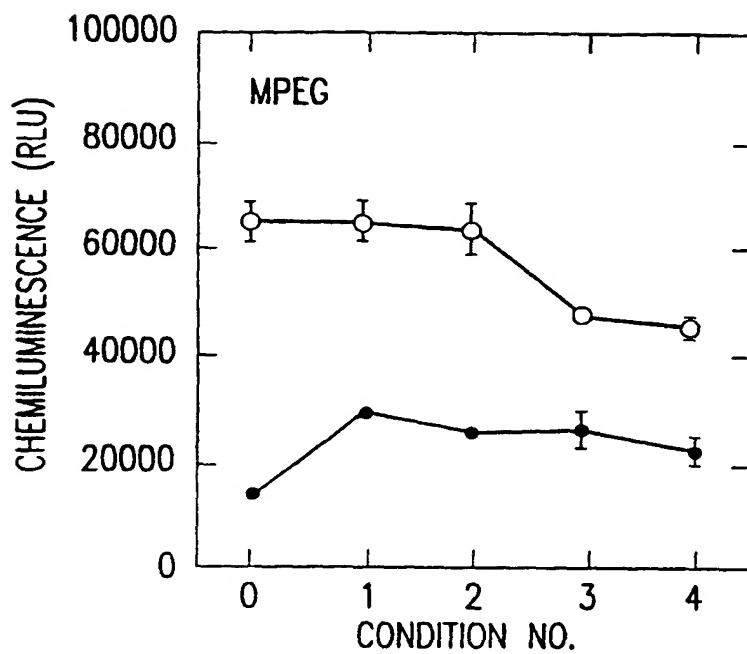


FIG.11A

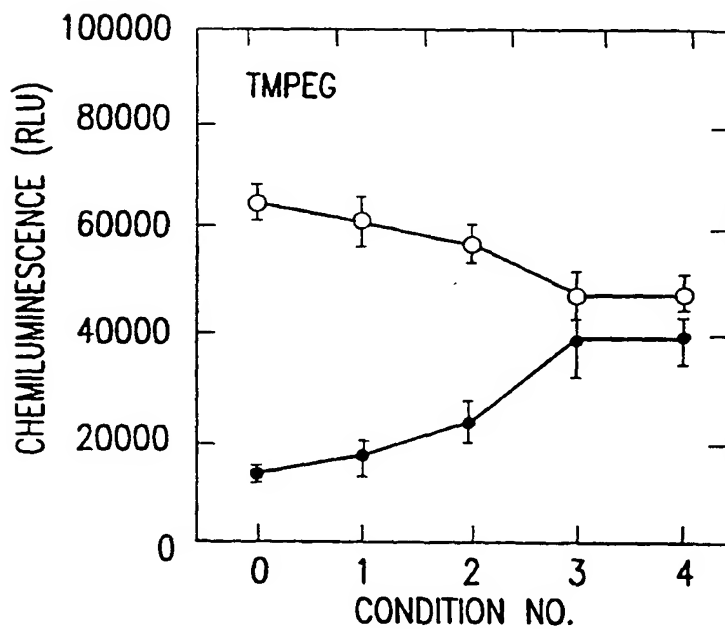


FIG.11B



18/54

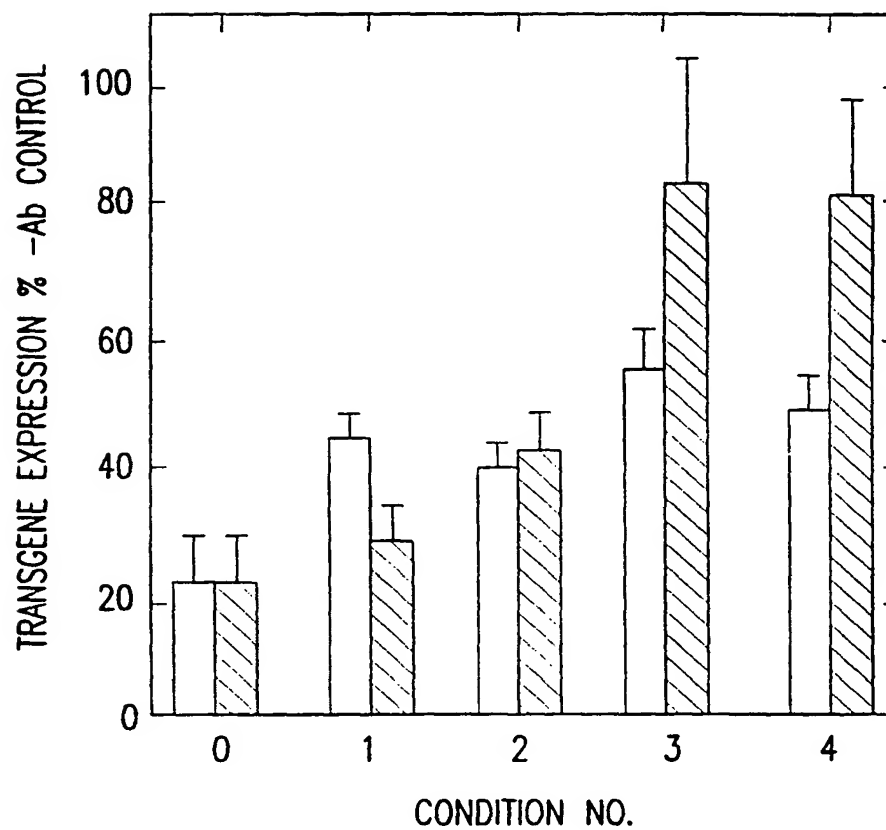


FIG. 11C

19/54

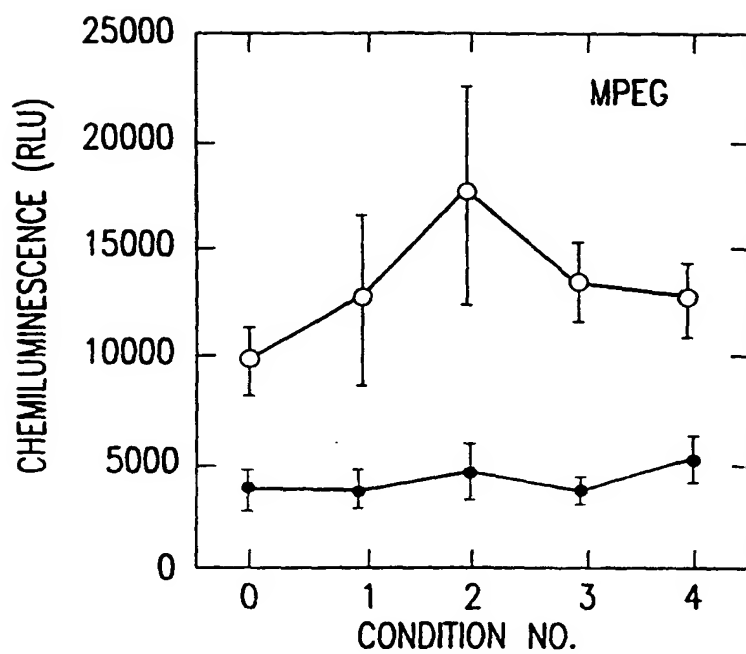


FIG.12A

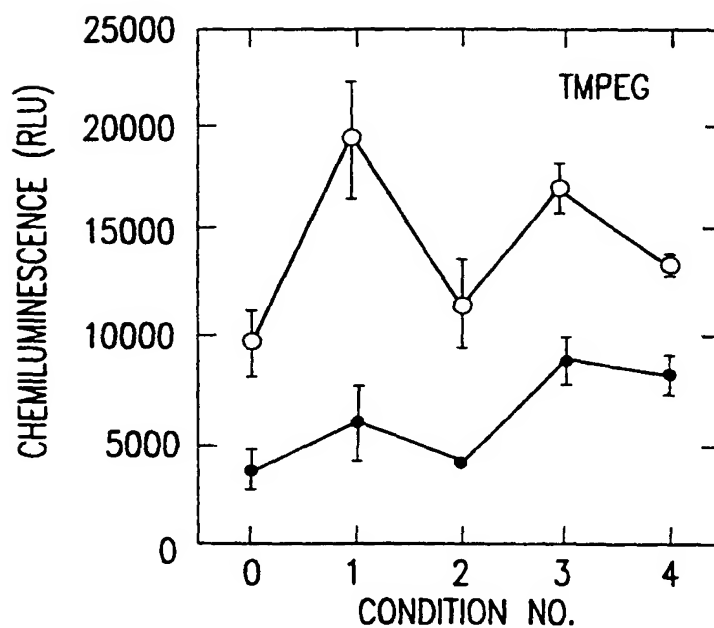


FIG.12B

20/54

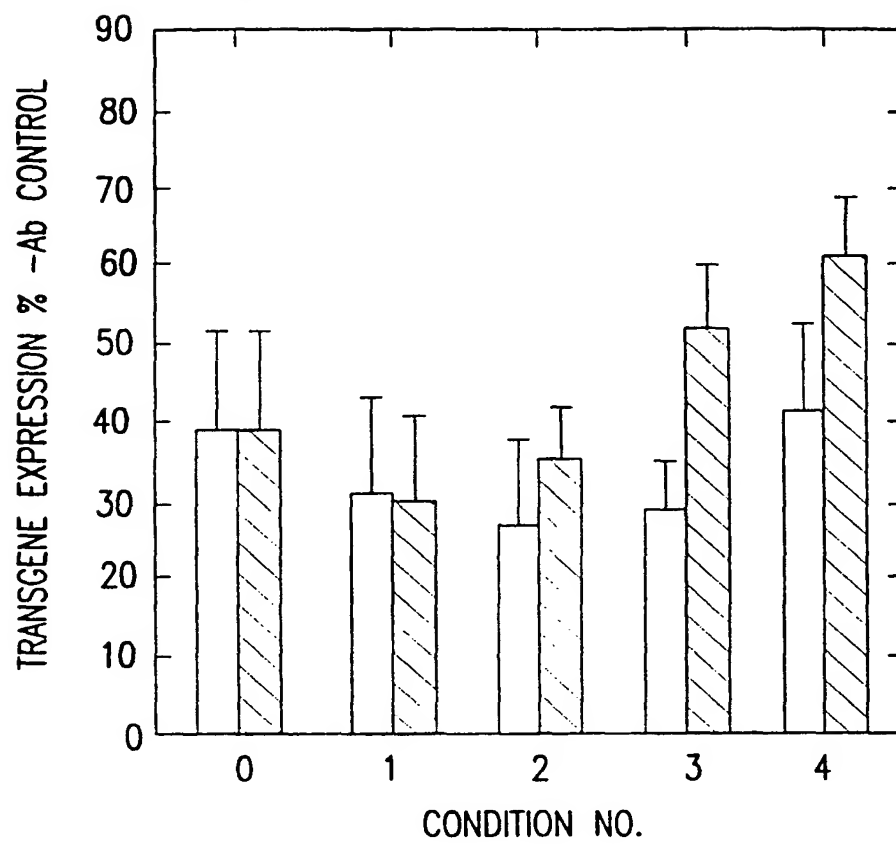


FIG. 12C

21/54

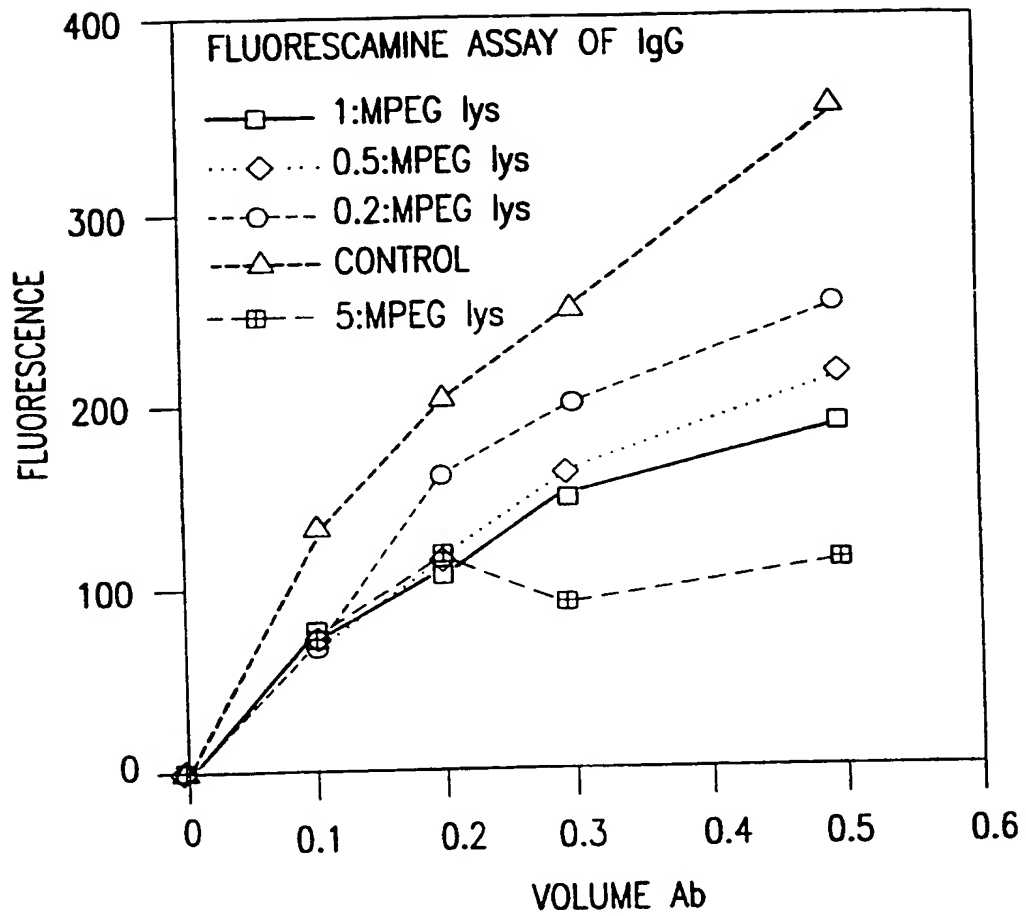


FIG.13

22/54

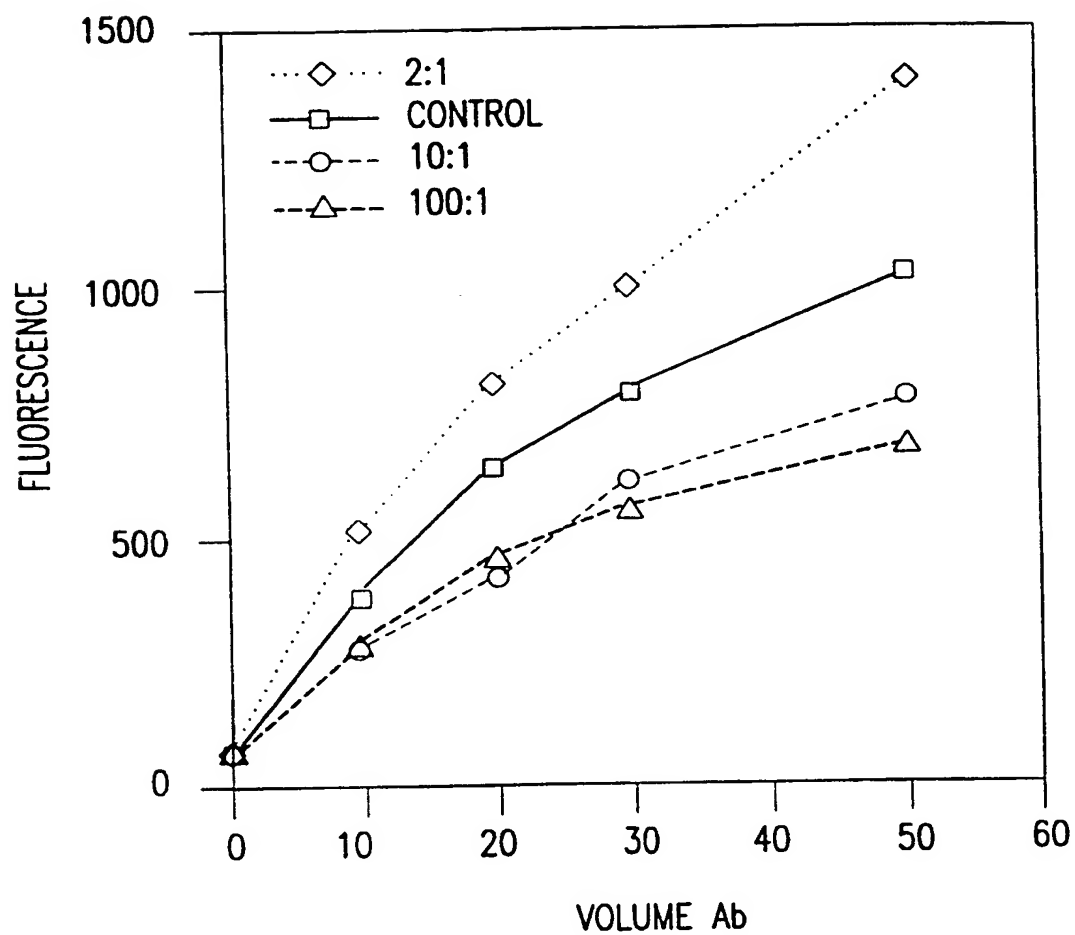


FIG.14

23/54

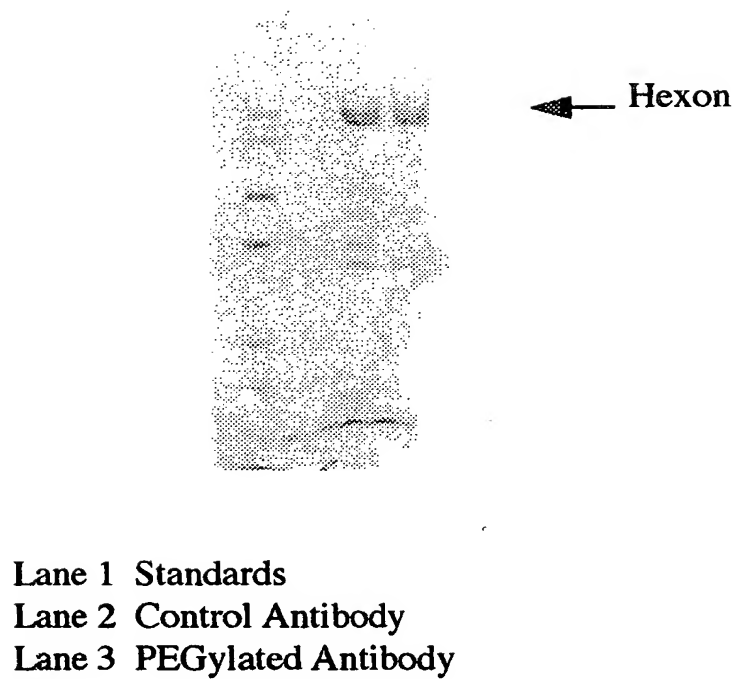


FIG. 15

24/54

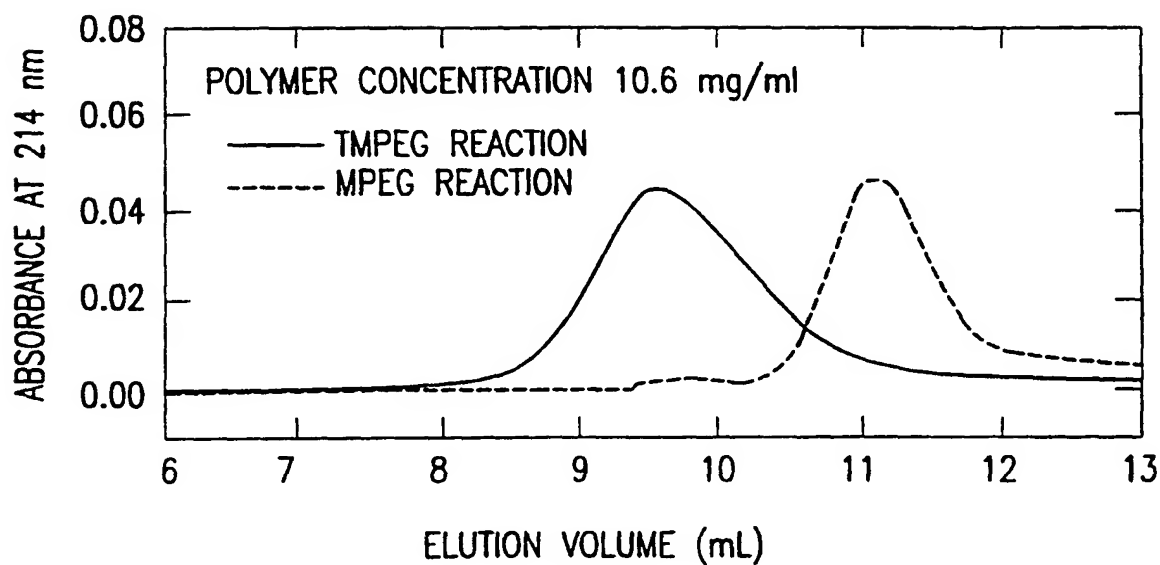


FIG.16A

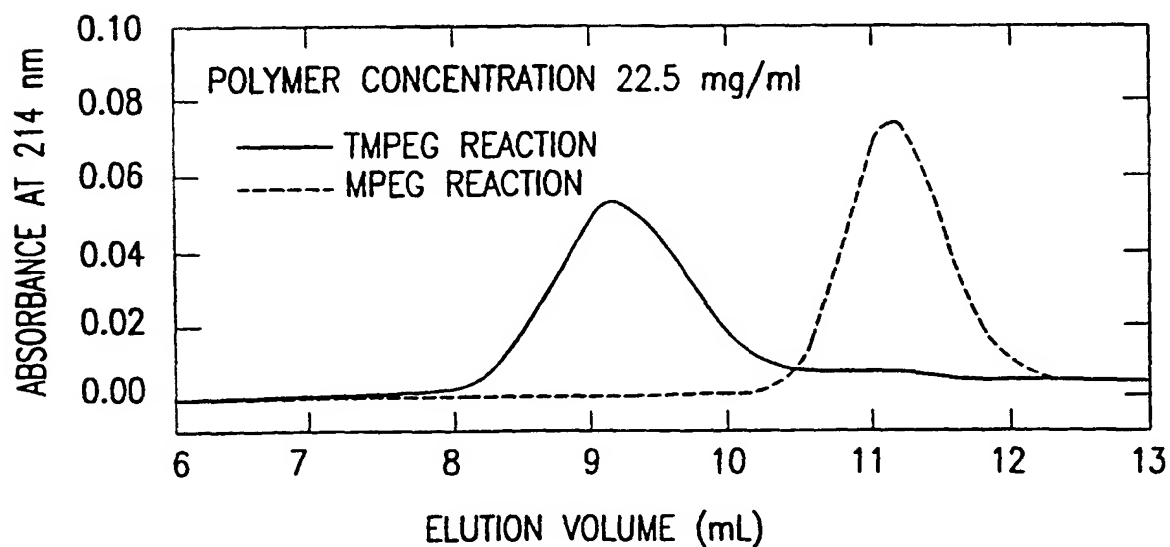


FIG.16B

25/54

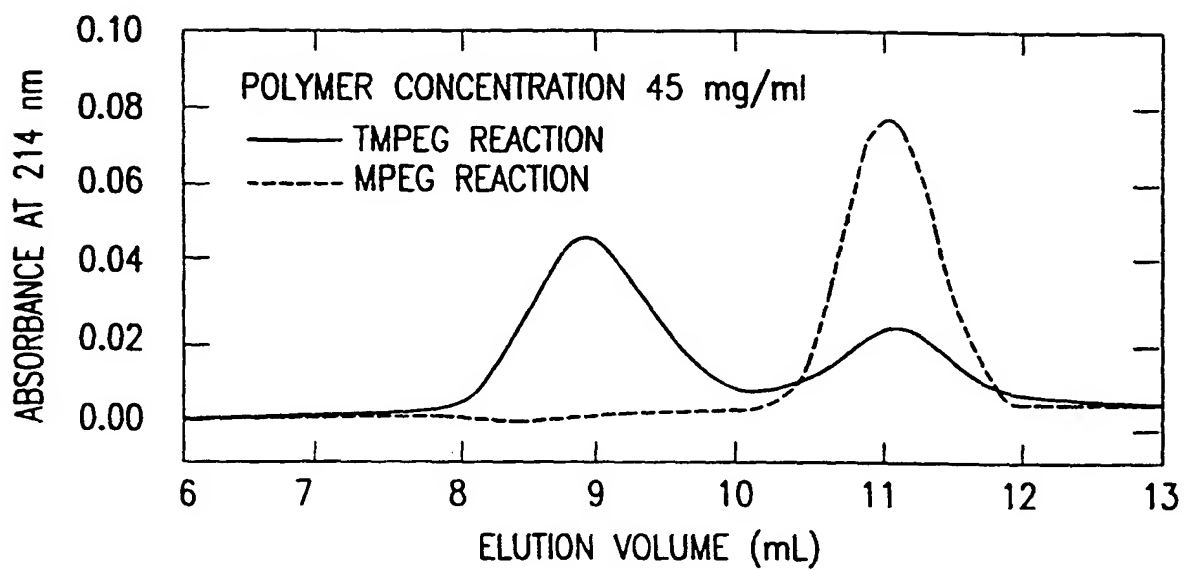


FIG.16C

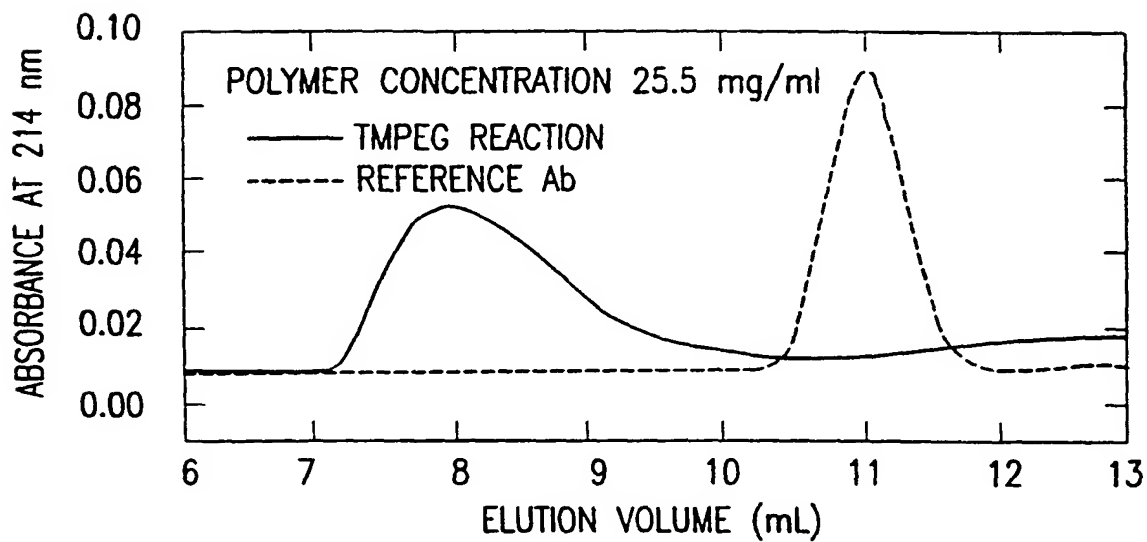


FIG.16D



26/54

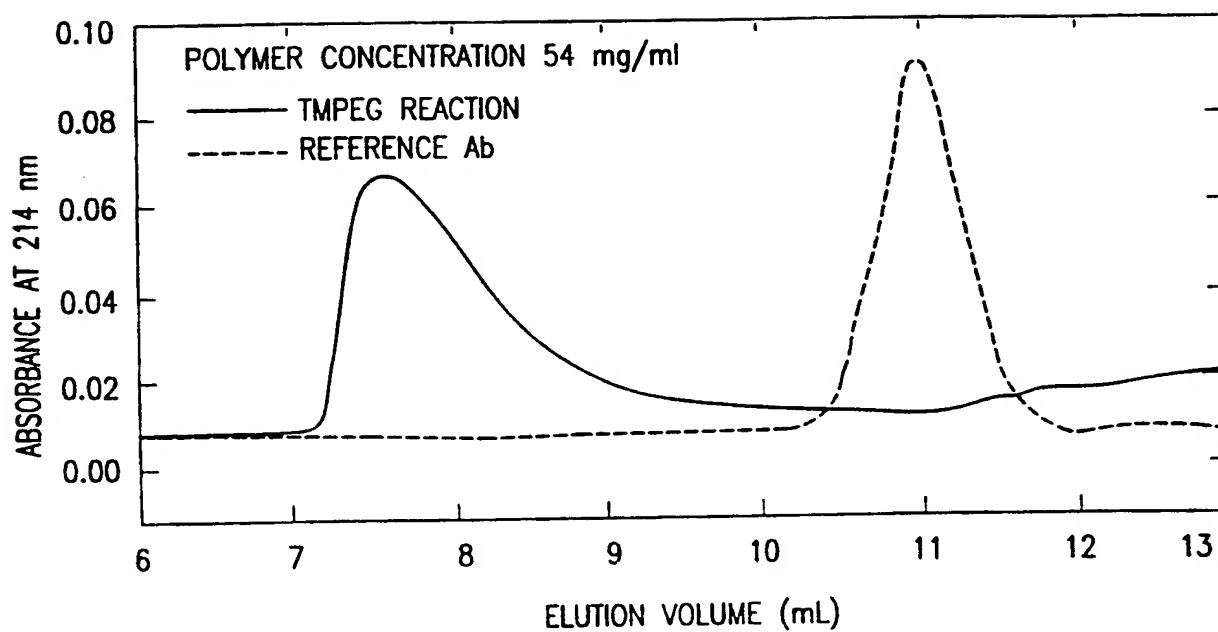


FIG.16E

27/54

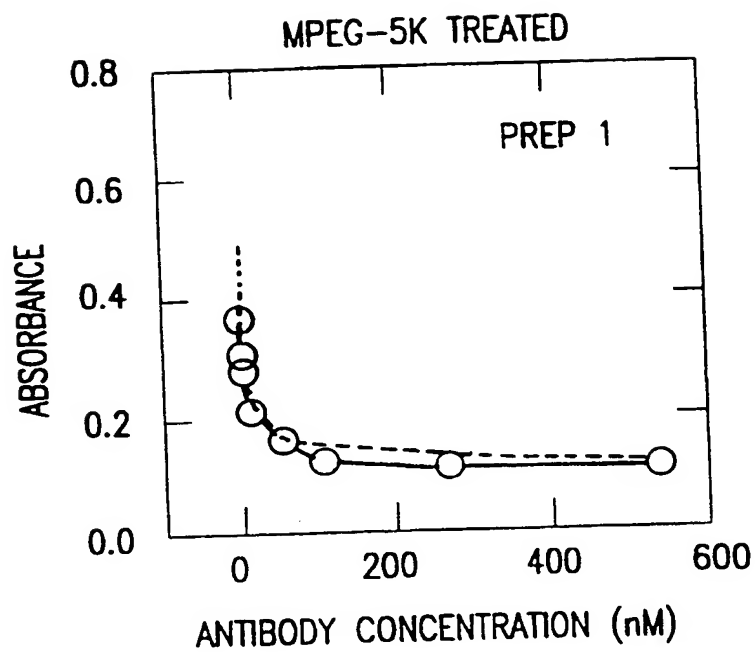


FIG.17A

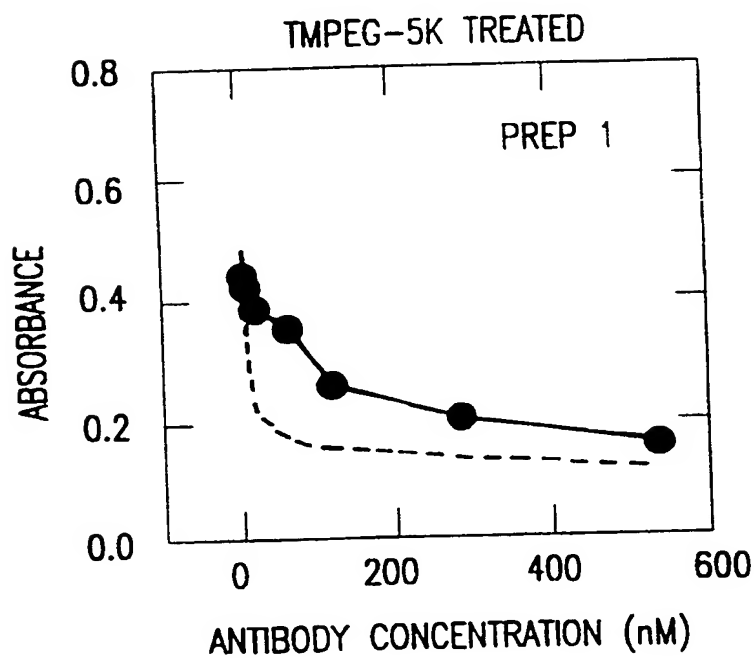


FIG.17B

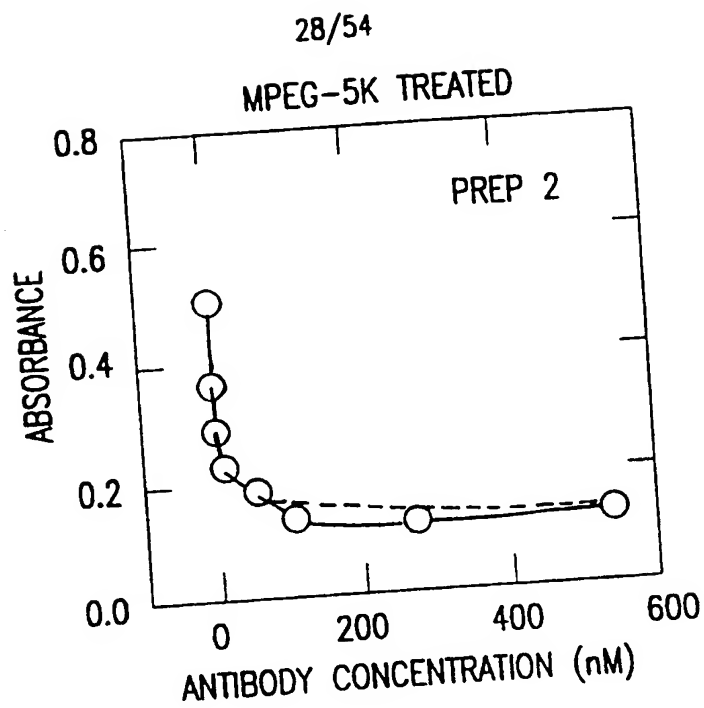


FIG.17C

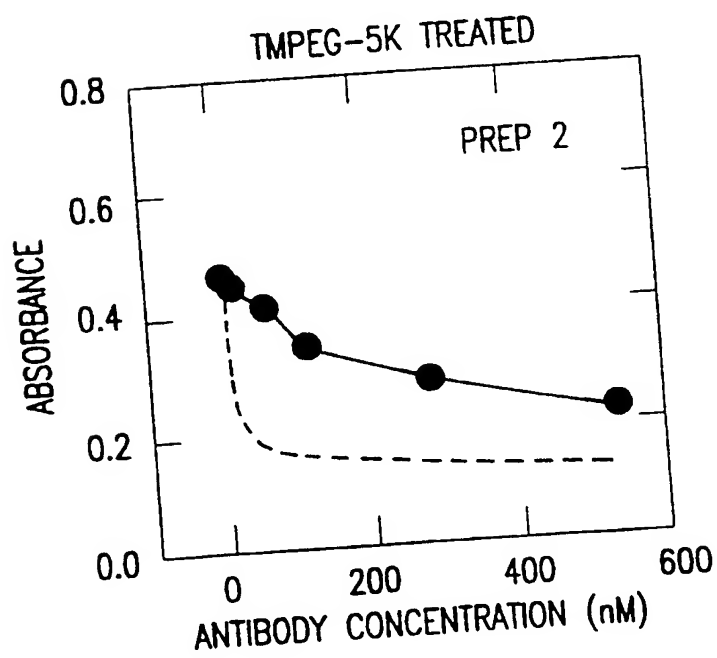


FIG.17D

29/54

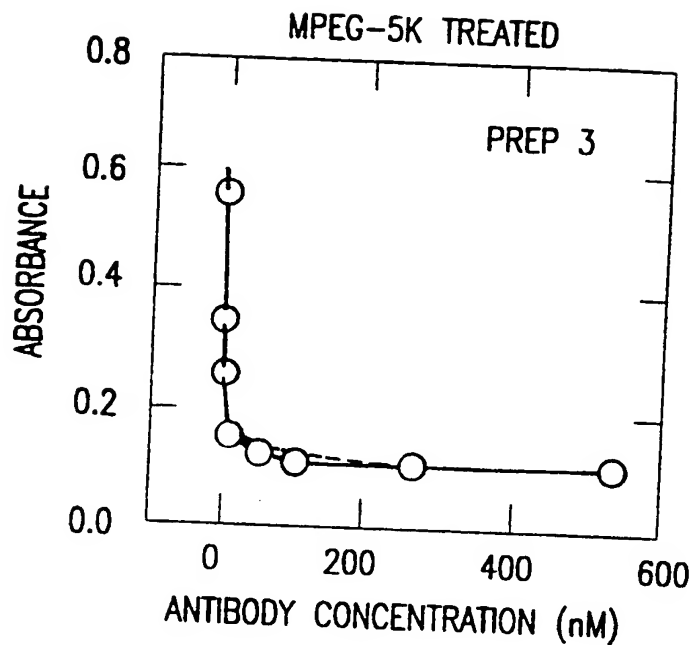


FIG.17E

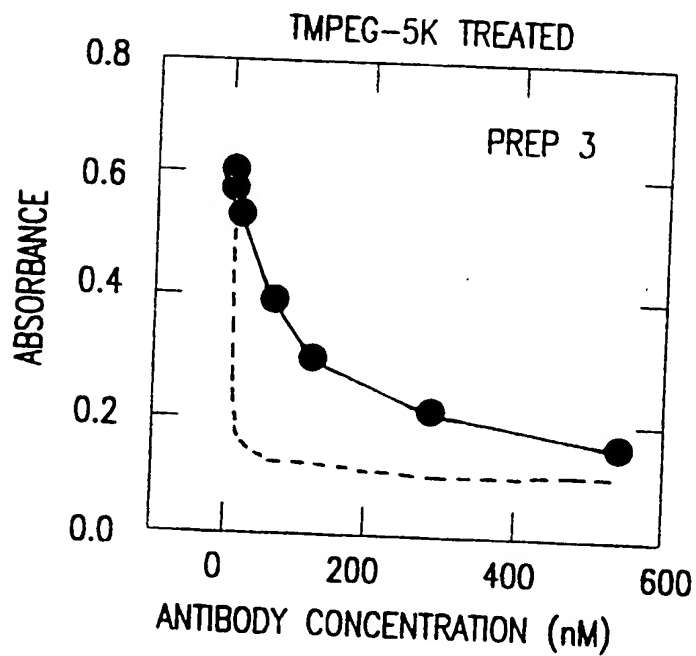


FIG.17F

30/54

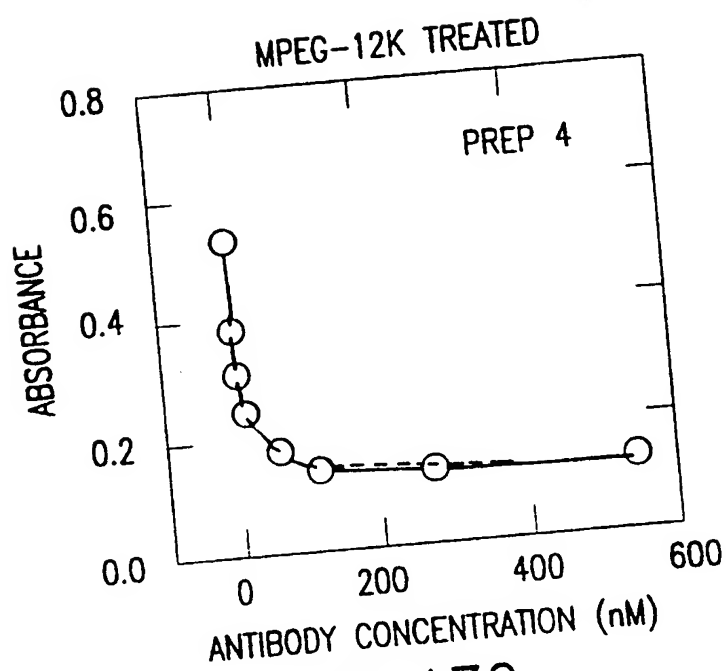


FIG.17G

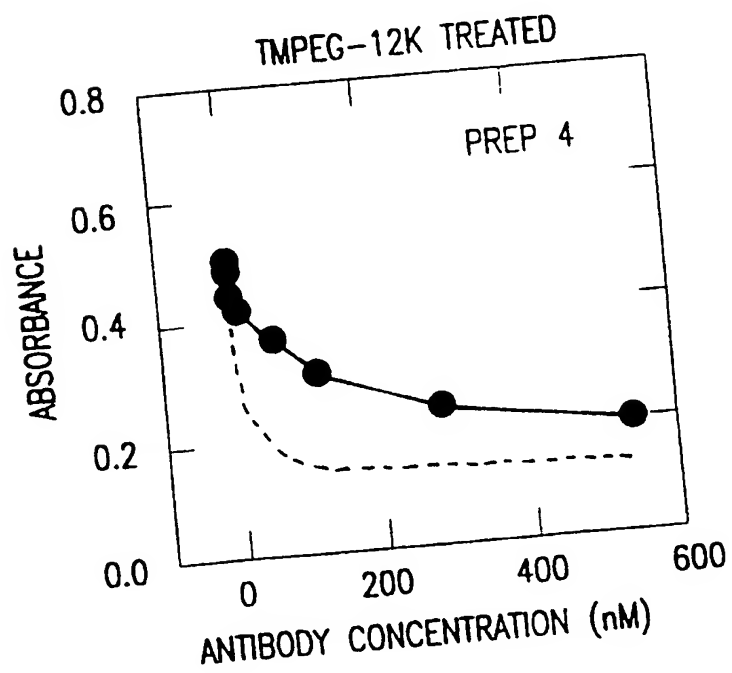


FIG.17H

31/54

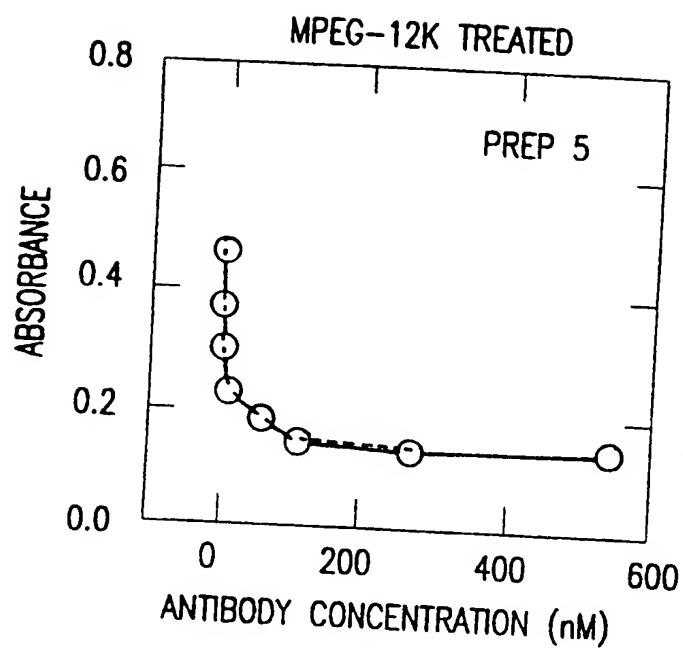


FIG.17I

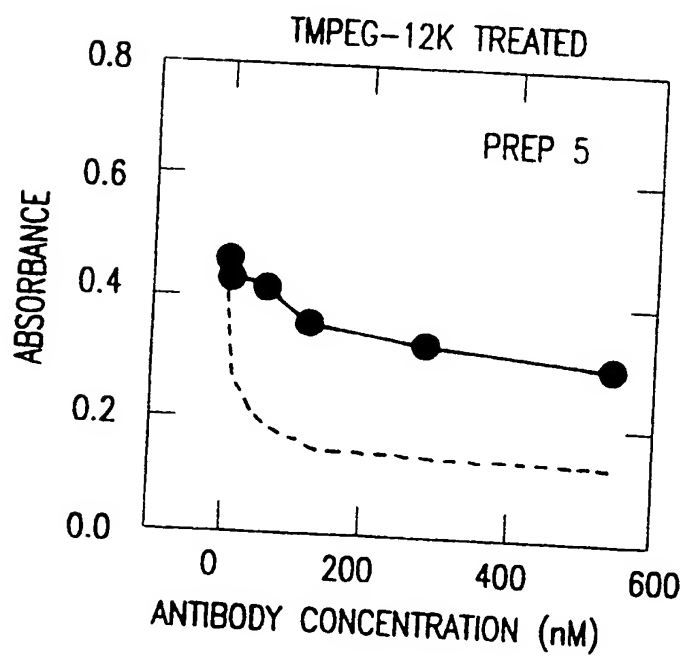


FIG.17J

32/54

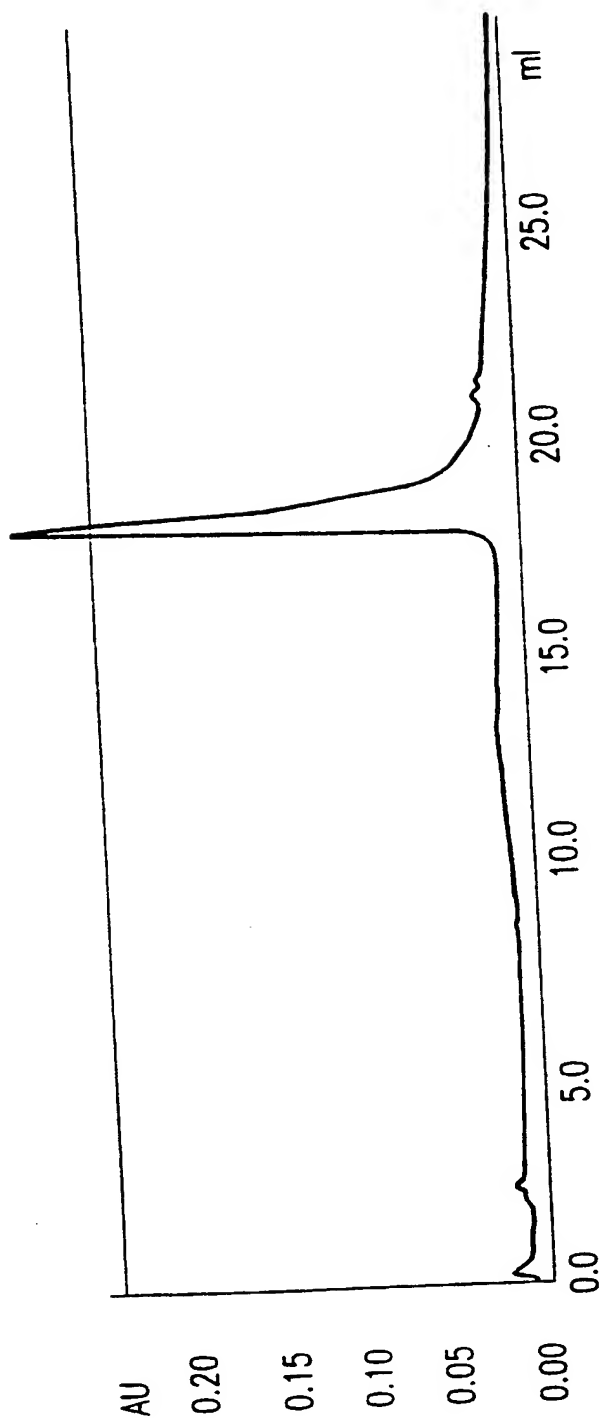


FIG. 18A

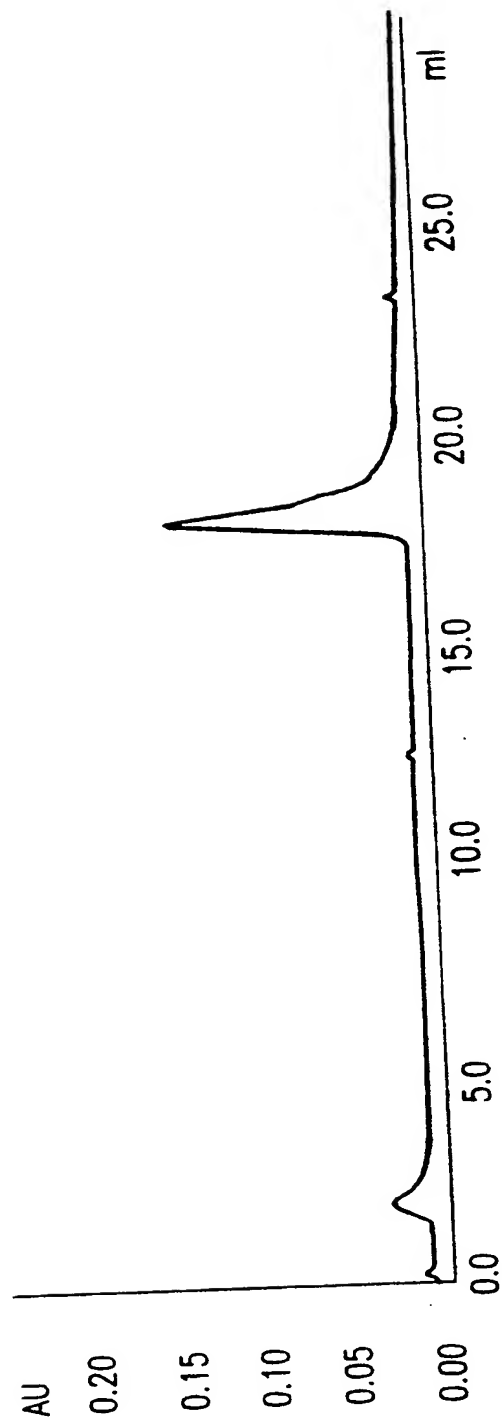


FIG. 18B

33/54

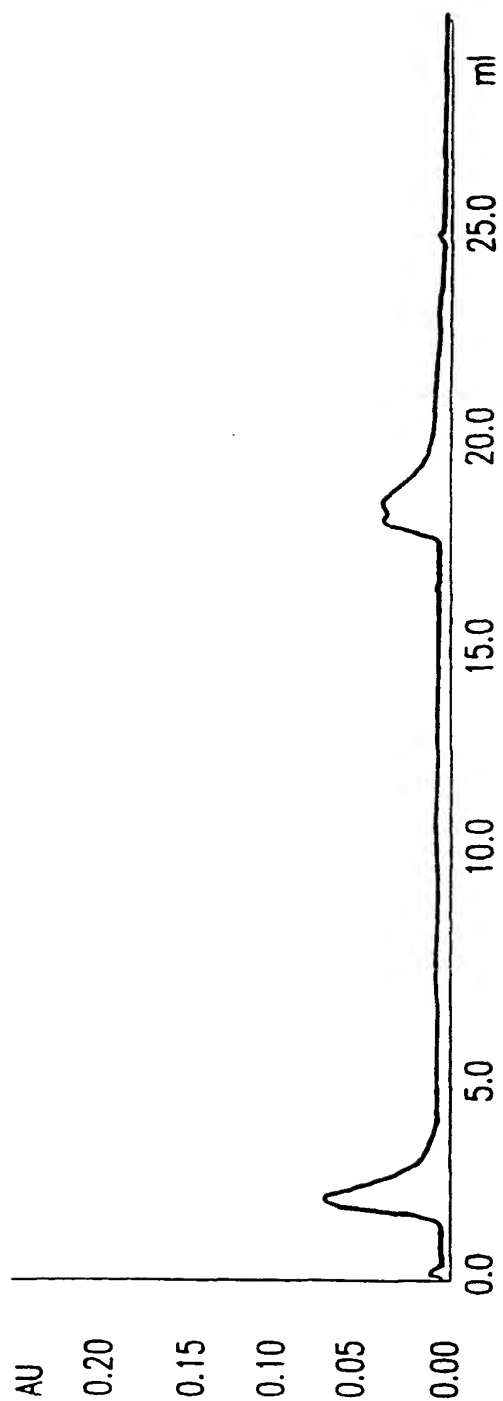


FIG.18C



34/54

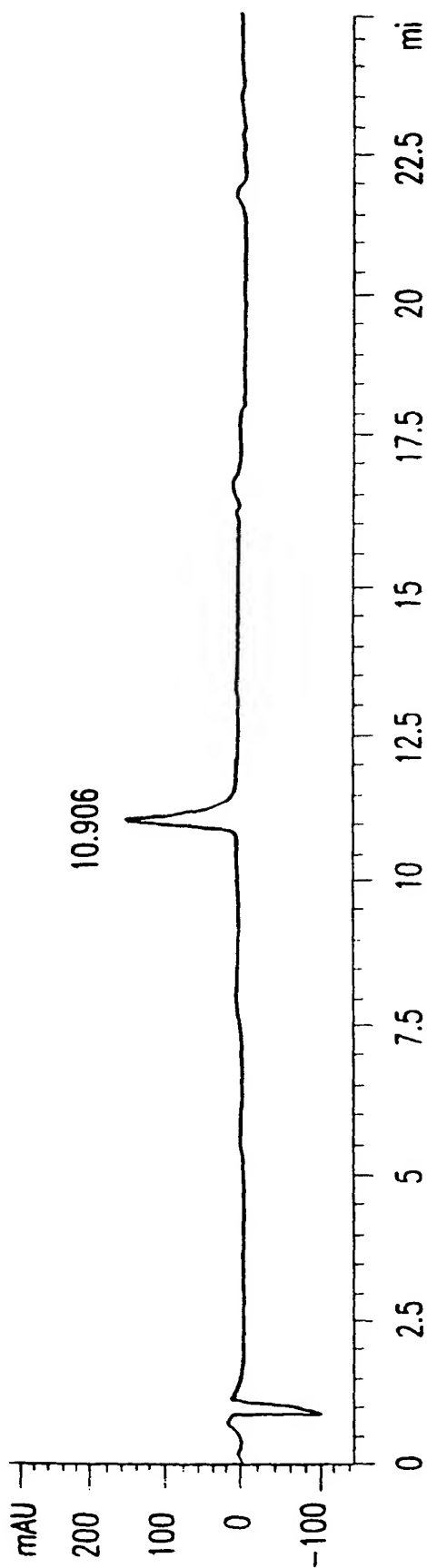


FIG. 19A

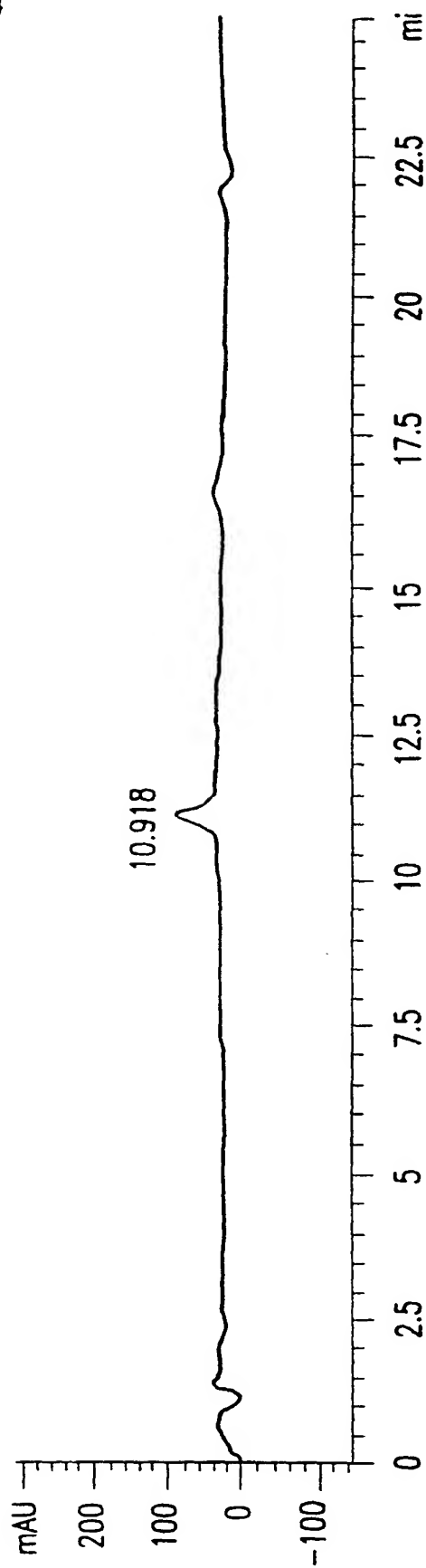


FIG. 19B

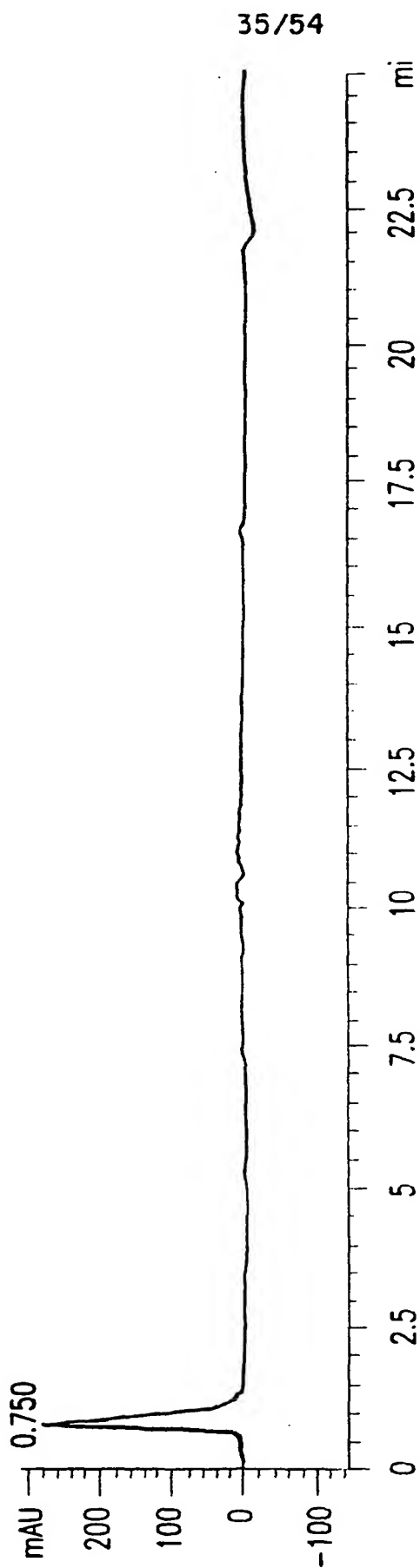
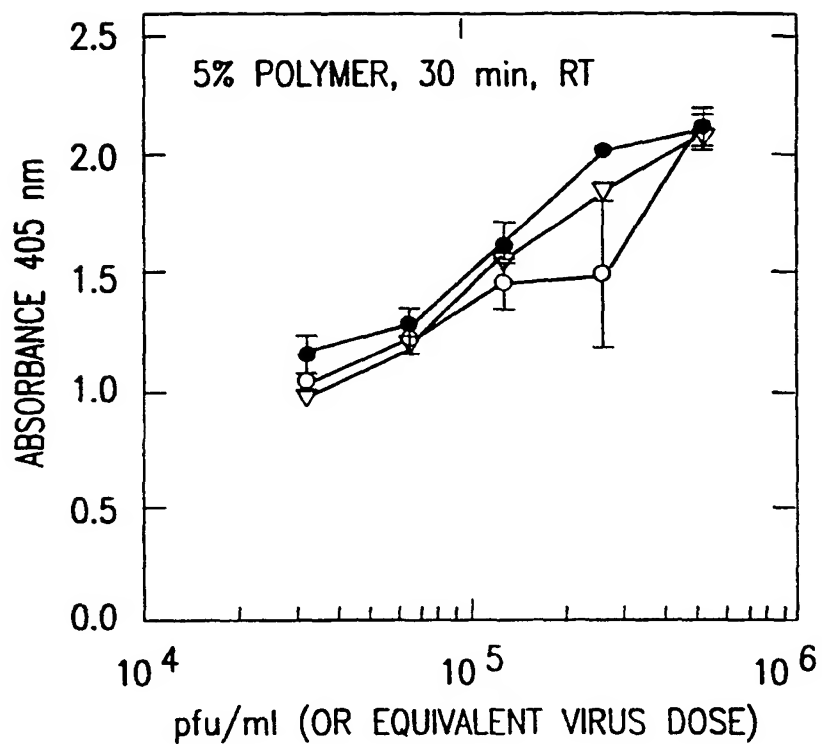


FIG.19C

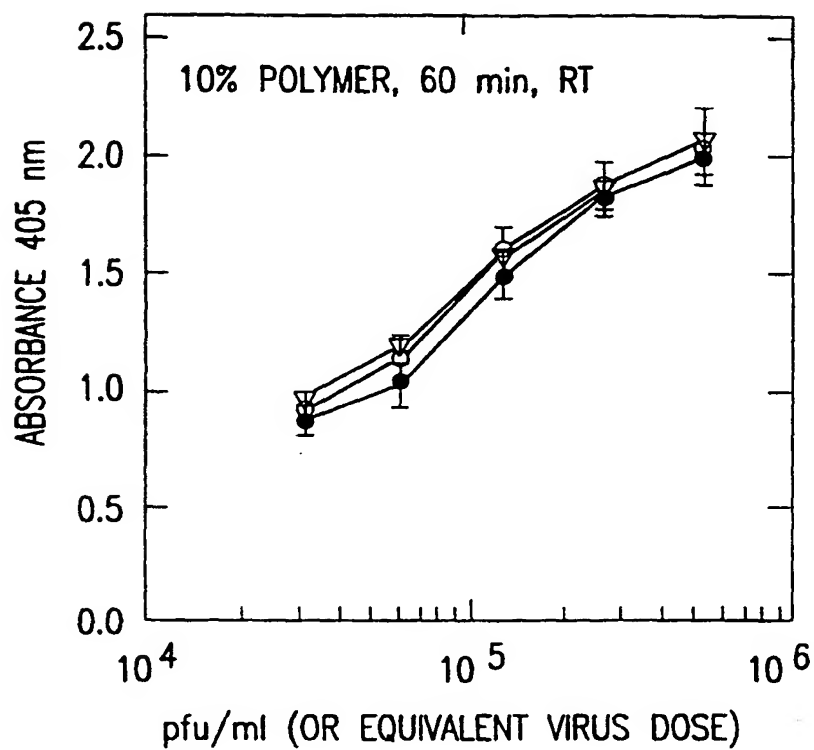
36/54



- INFECTIONITY OF ONYX-015 BEFORE INCUBATION WITH TMPEG
- INFECTIONITY OF ONYX-015 AFTER INCUBATION WITH TMPEG
- ▽ INFECTIONITY OF ONYX-015 AFTER INCUBATION WITH MPEG

FIG.20A

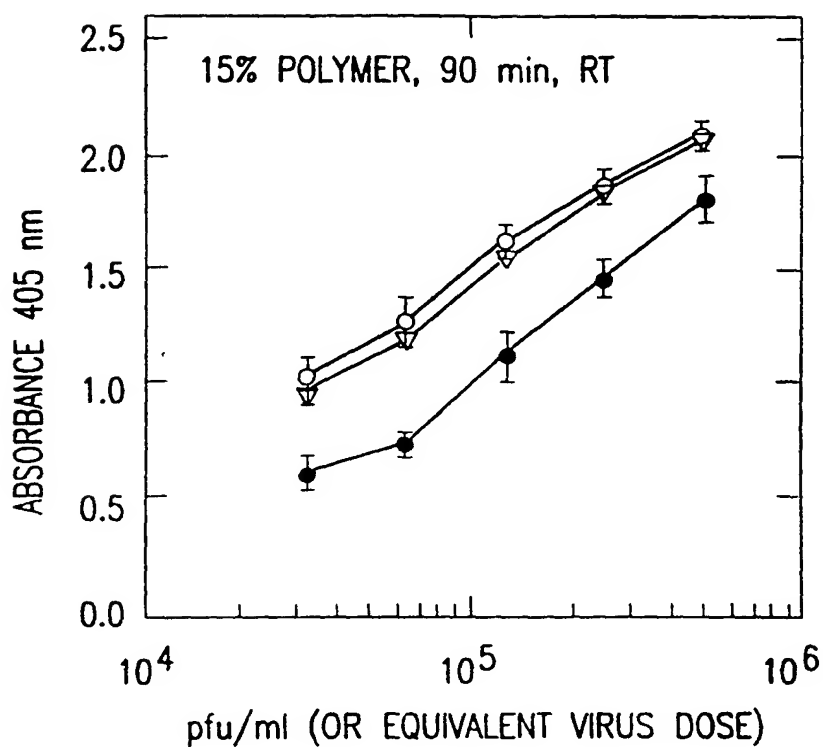
37/54



- INFECTIVITY OF ONYX-015 BEFORE INCUBATION WITH TMPEG
- INFECTIVITY OF ONYX-015 AFTER INCUBATION WITH TMPEG
- ▽ INFECTIVITY OF ONYX-015 AFTER INCUBATION WITH MPEG

FIG.20B

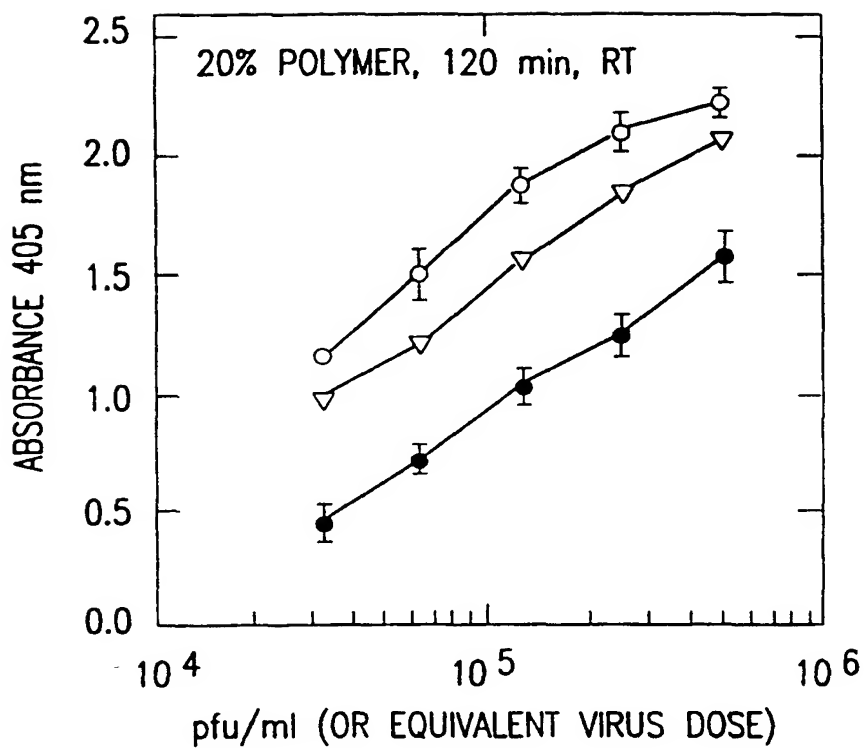
38/54



- INFECTIVITY OF ONYX-015 BEFORE INCUBATION WITH TMPEG
- INFECTIVITY OF ONYX-015 AFTER INCUBATION WITH TMPEG
- ▽ INFECTIVITY OF ONYX-015 AFTER INCUBATION WITH MPEG

FIG.20C

39/54



- INFECTIONITY OF ONYX-015 BEFORE INCUBATION WITH TMPEG
- INFECTIONITY OF ONYX-015 AFTER INCUBATION WITH TMPEG
- ▽ INFECTIONITY OF ONYX-015 AFTER INCUBATION WITH MPEG

FIG.20D

40/54

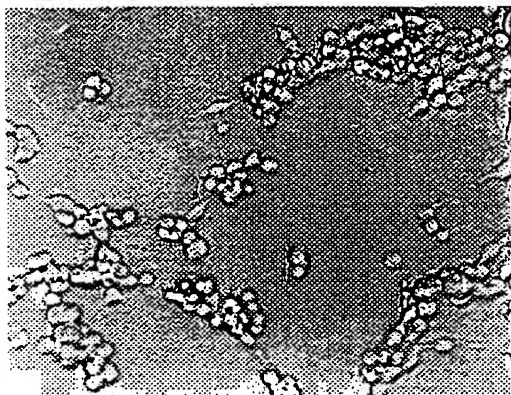


FIG. 21A

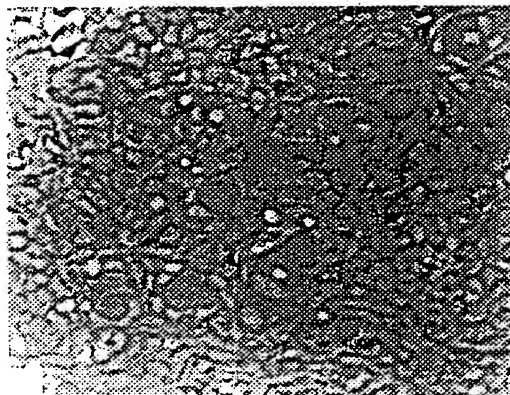


FIG. 21B

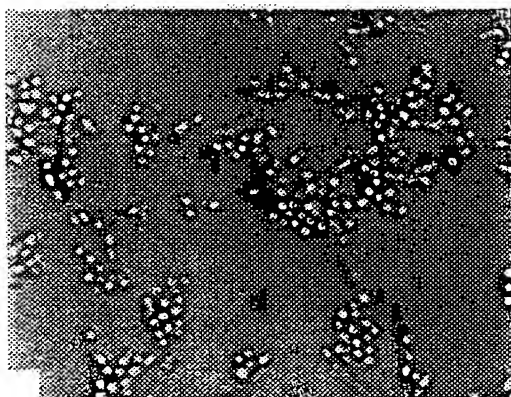


FIG. 21C

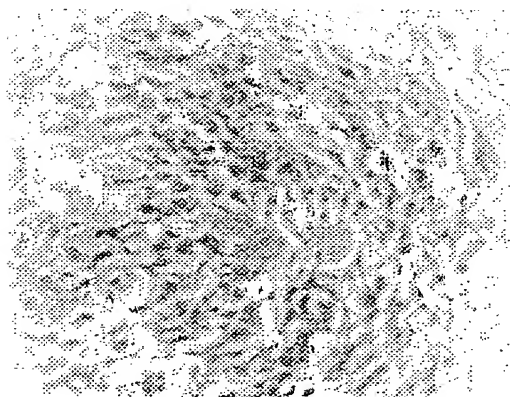


FIG. 21D

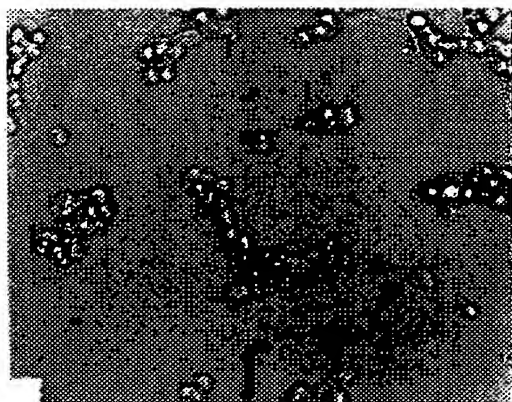


FIG. 21E

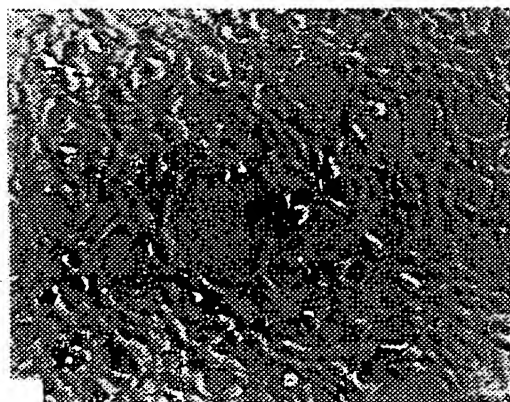


FIG. 21F

SUBSTITUTE SHEET (RULE 26)

41/54



FIG.22



42/54

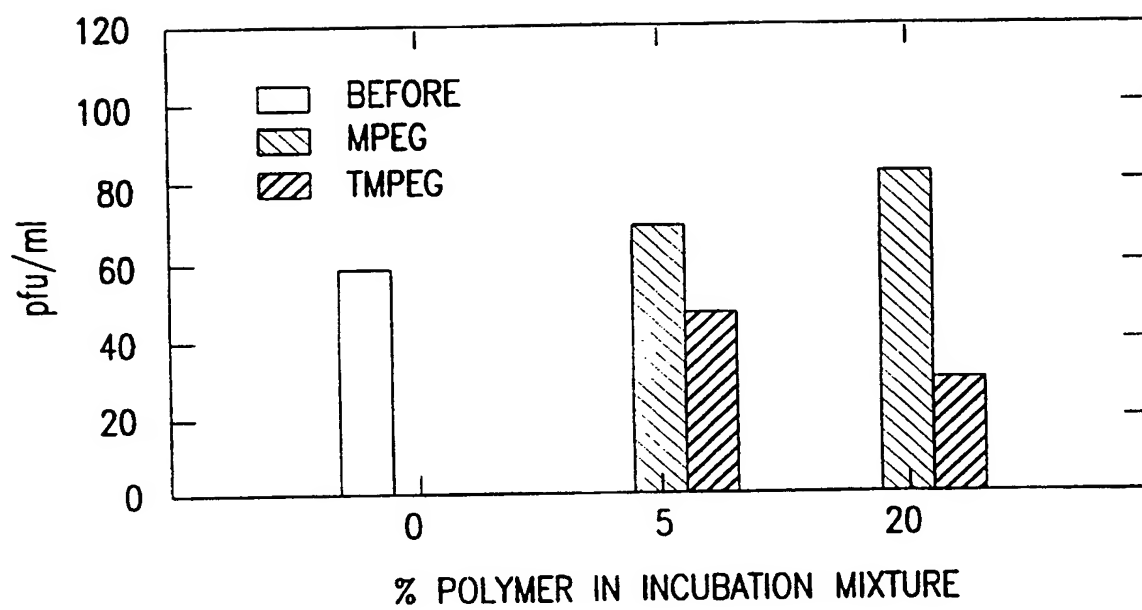


FIG.23A

43/54

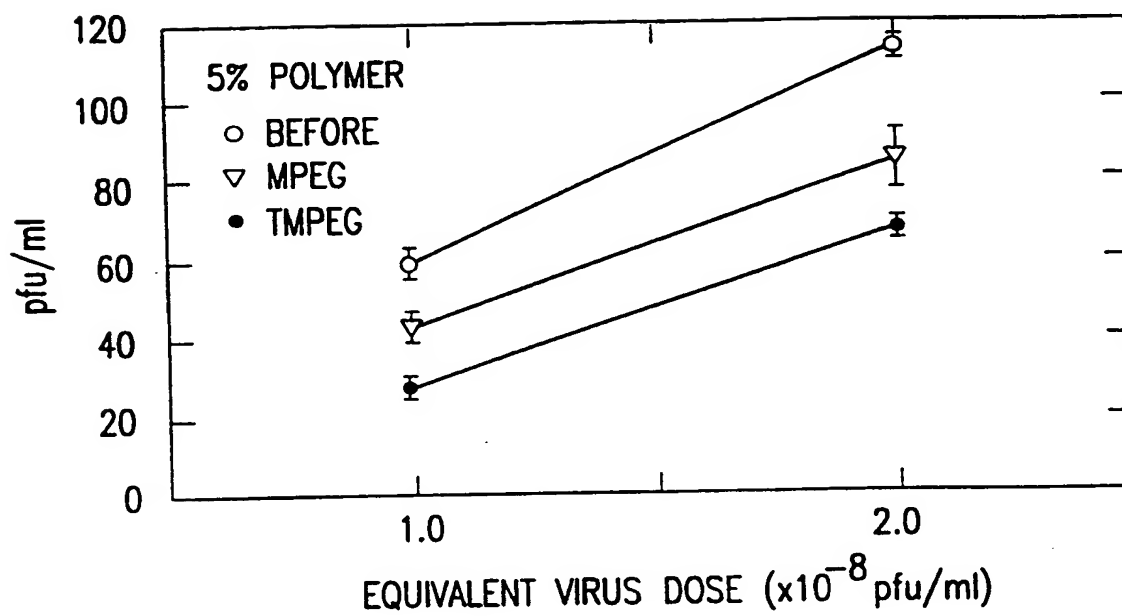


FIG.23B

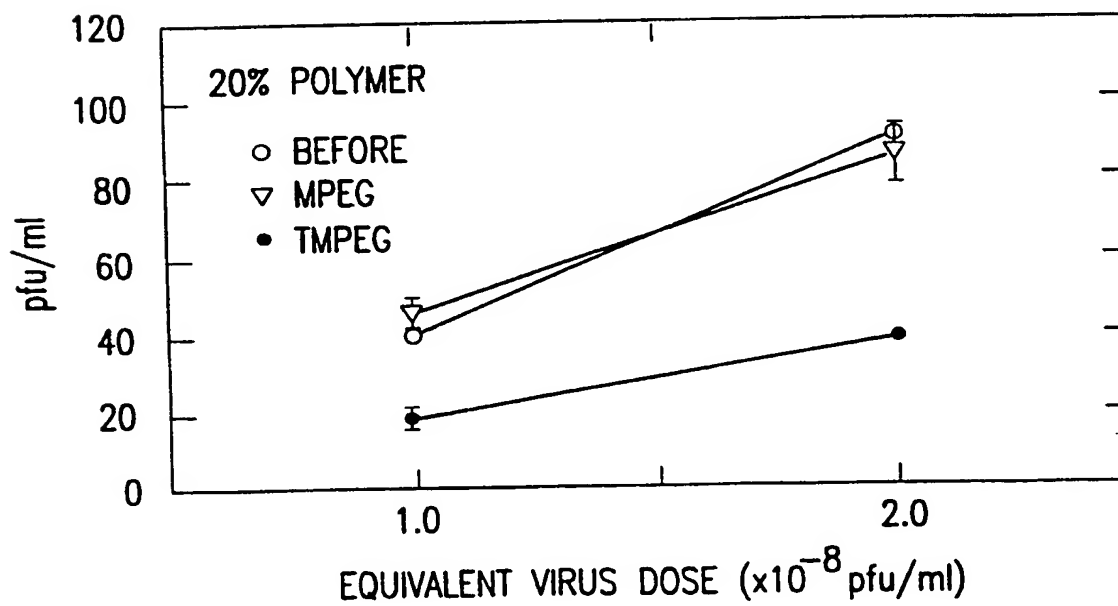
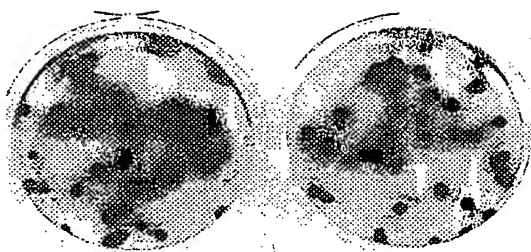


FIG.23C

44/54

FIG.24A

MPEG 20%



TMPEG 20%

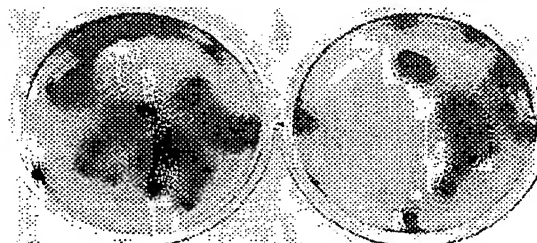


FIG.24B

45/54

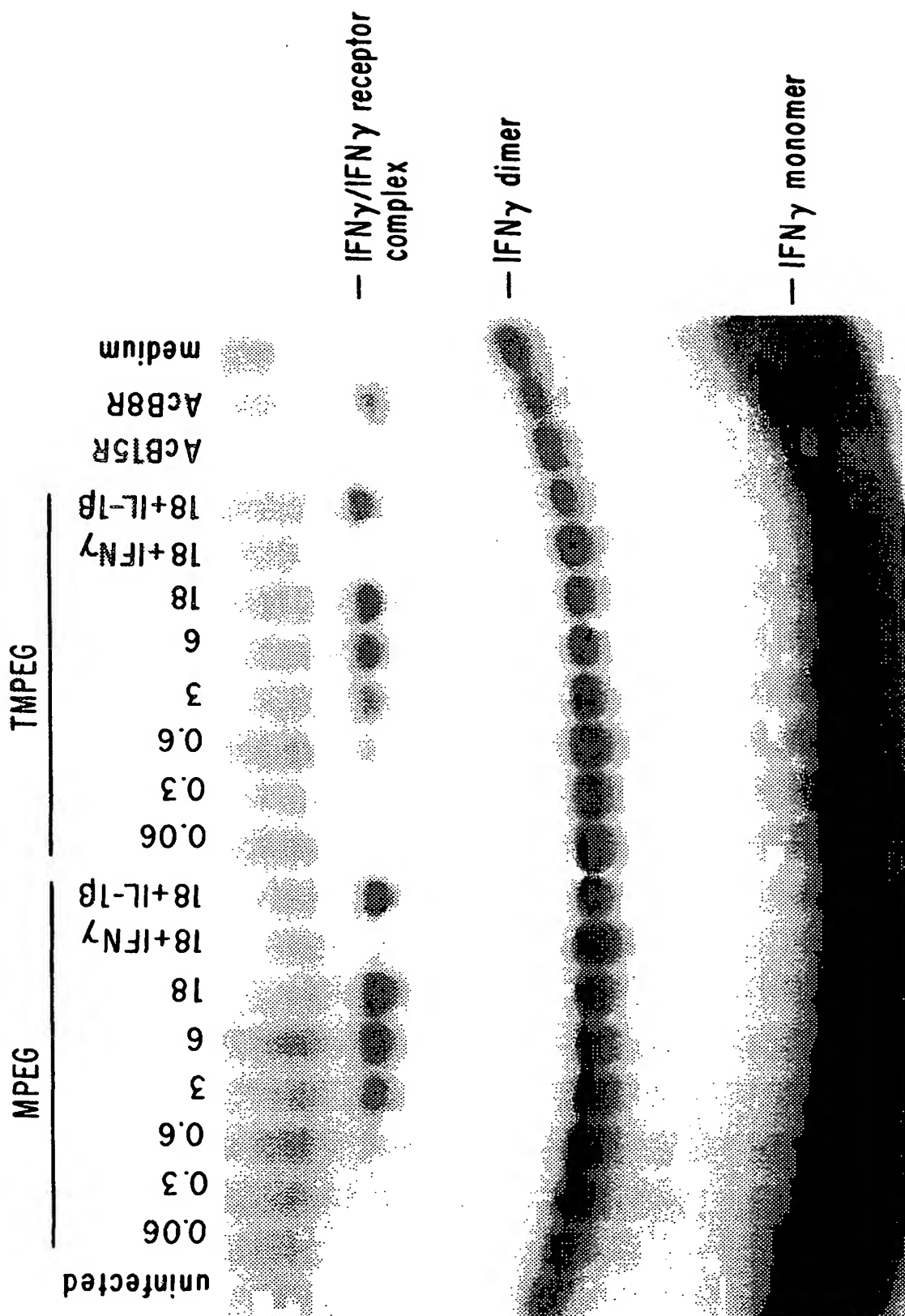


FIG.25

46/54

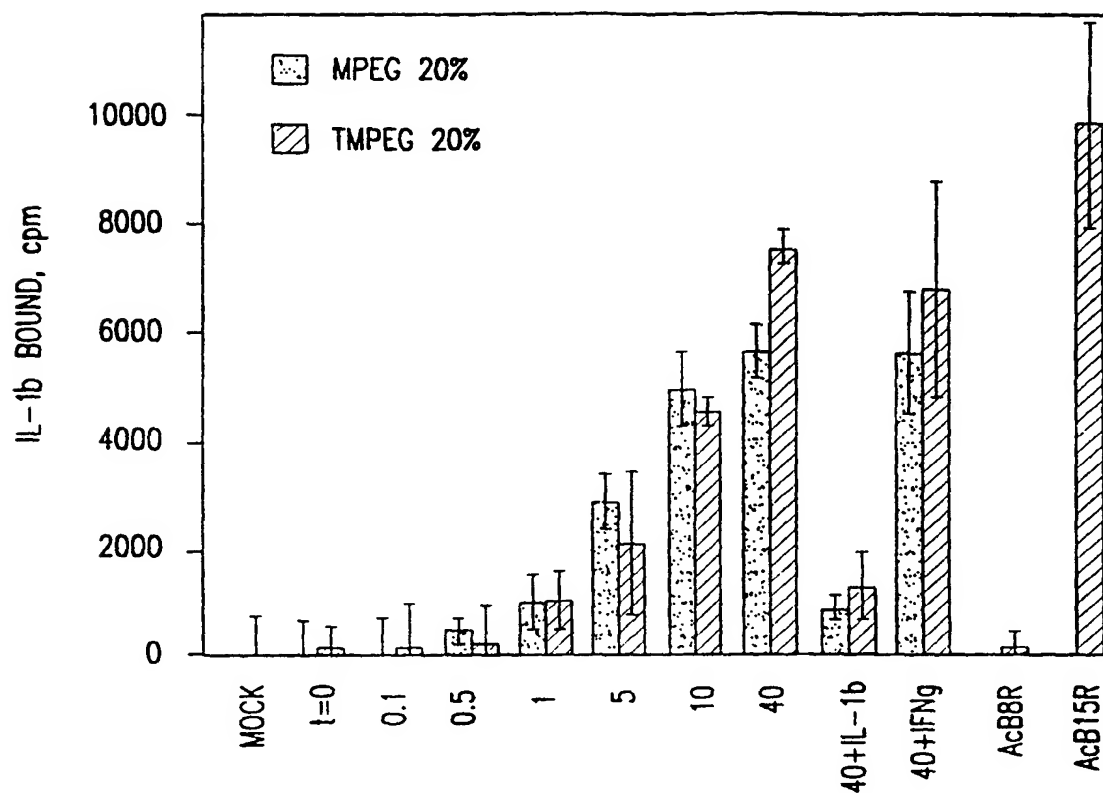


FIG.26

47/54

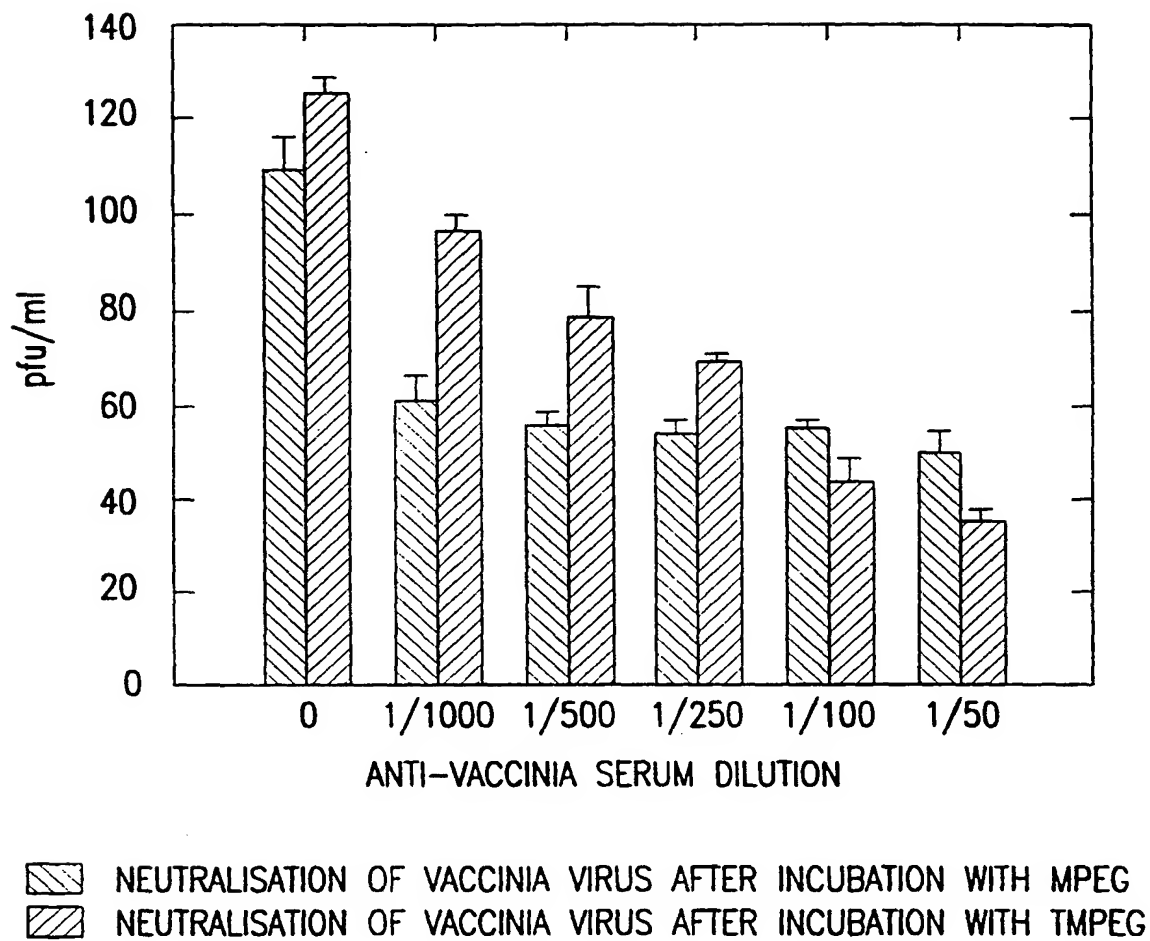


FIG.27

48/54

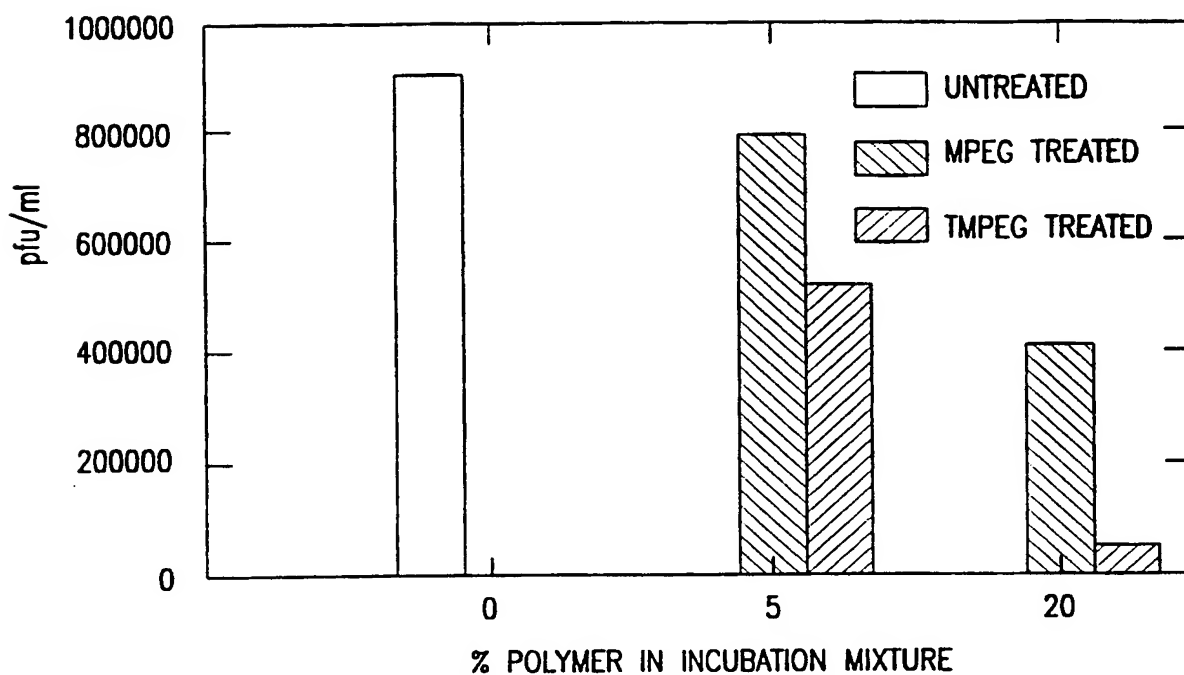


FIG.28A

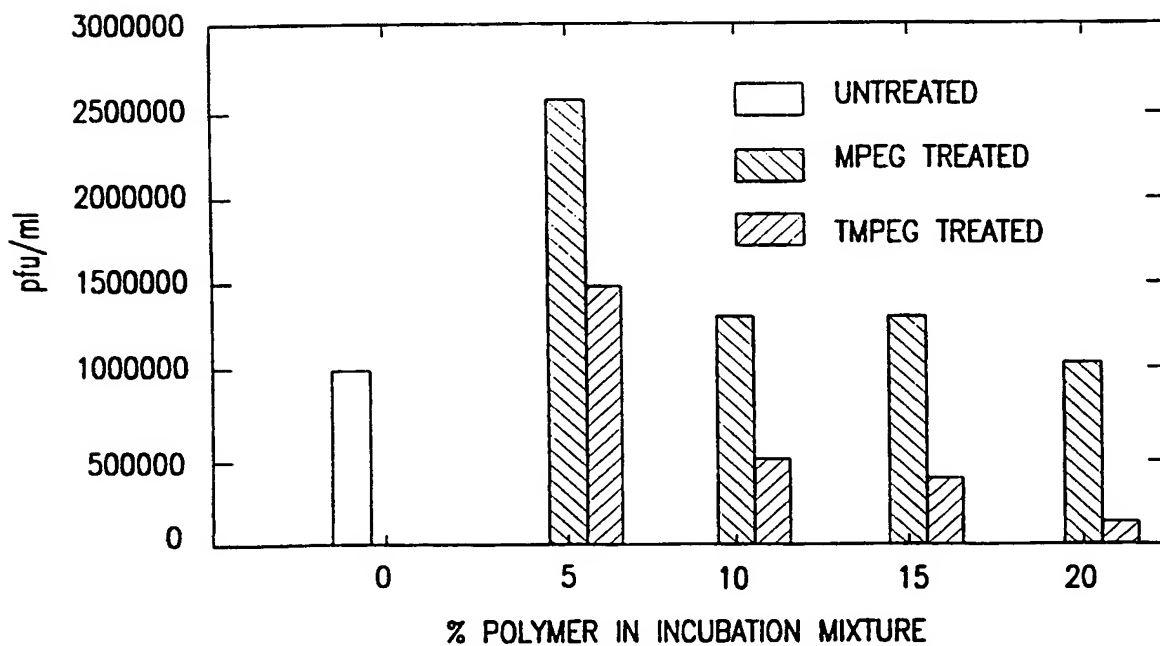


FIG.28B

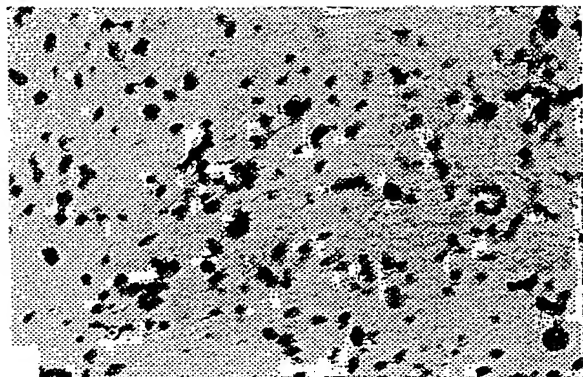


FIG. 29A

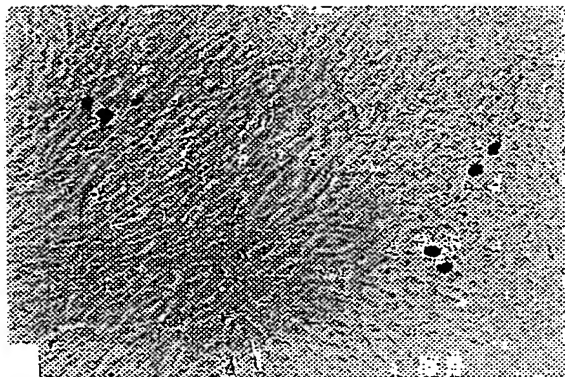


FIG. 29B

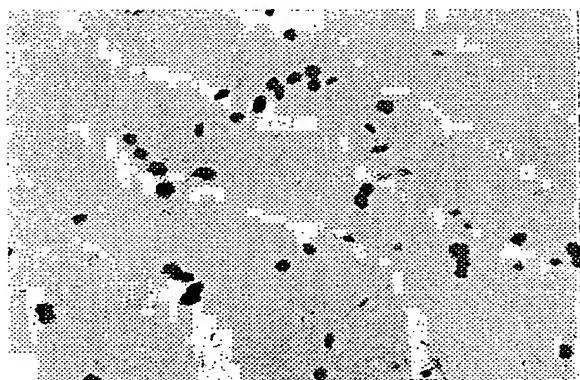


FIG. 29C

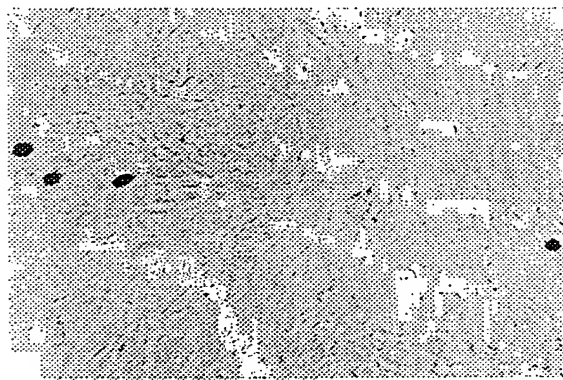


FIG. 29D

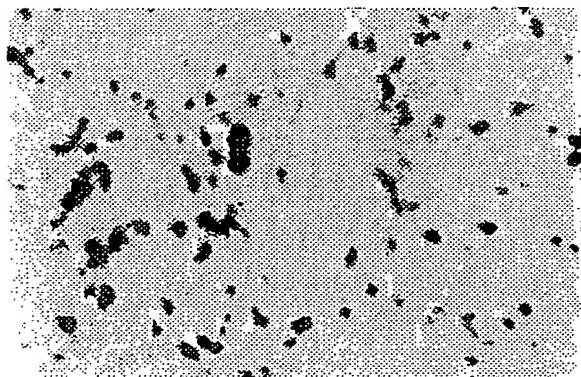


FIG. 29E

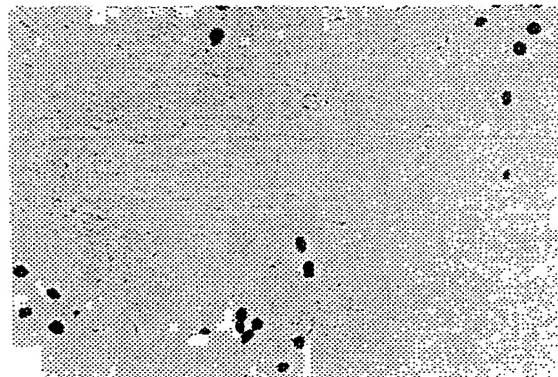


FIG. 29F





50/54

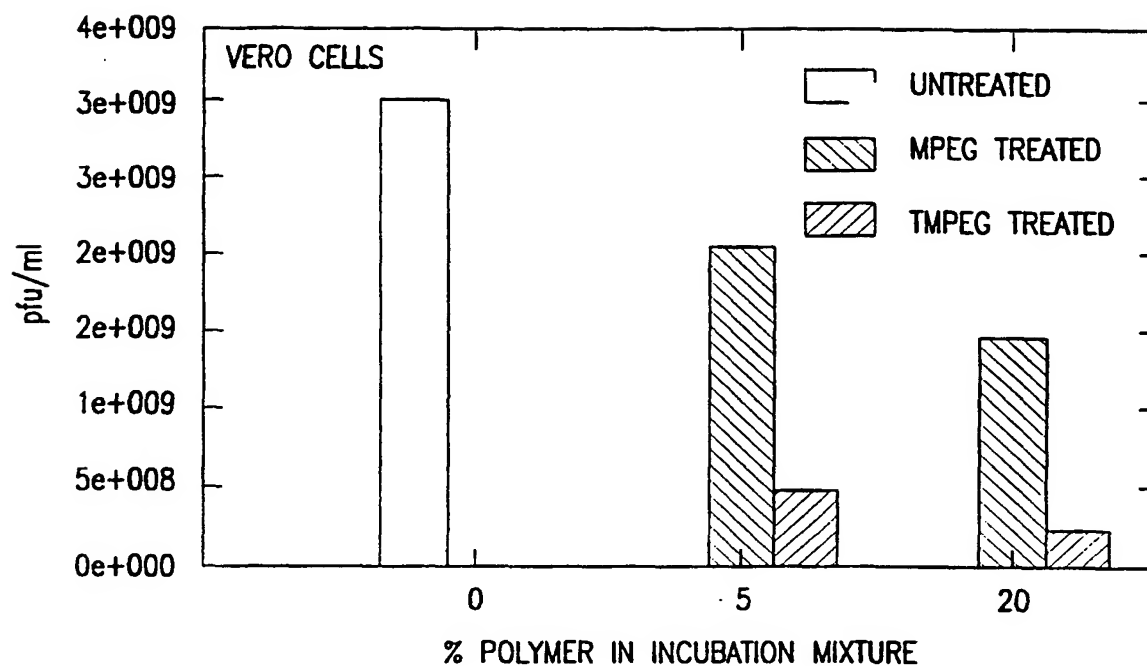


FIG.30A

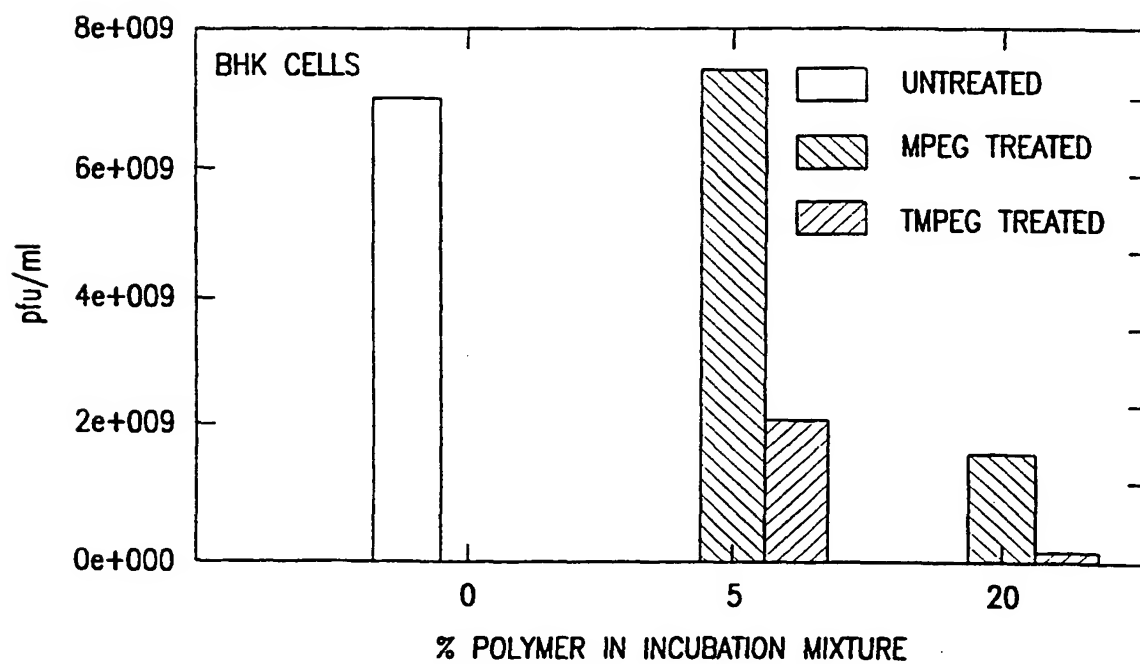


FIG.30B

51/54

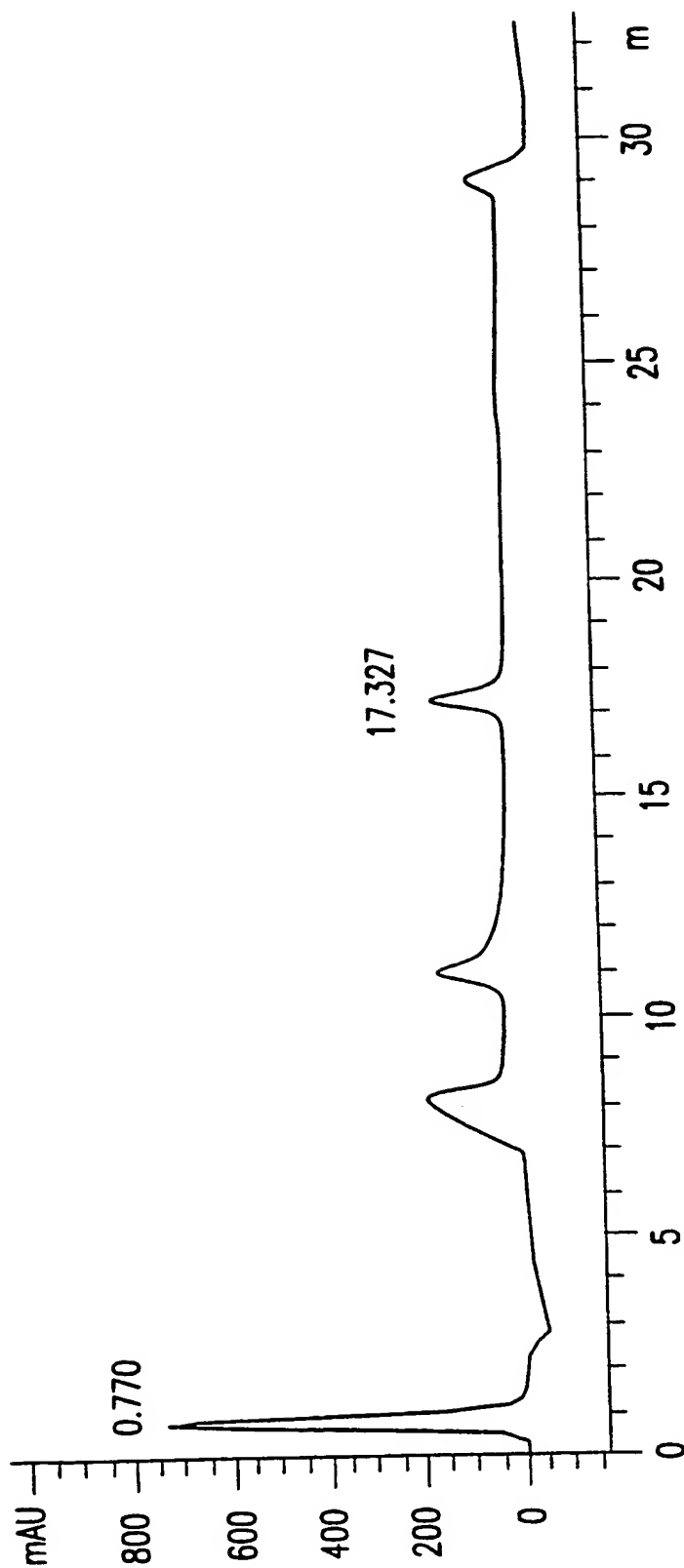


FIG.31A

52/54

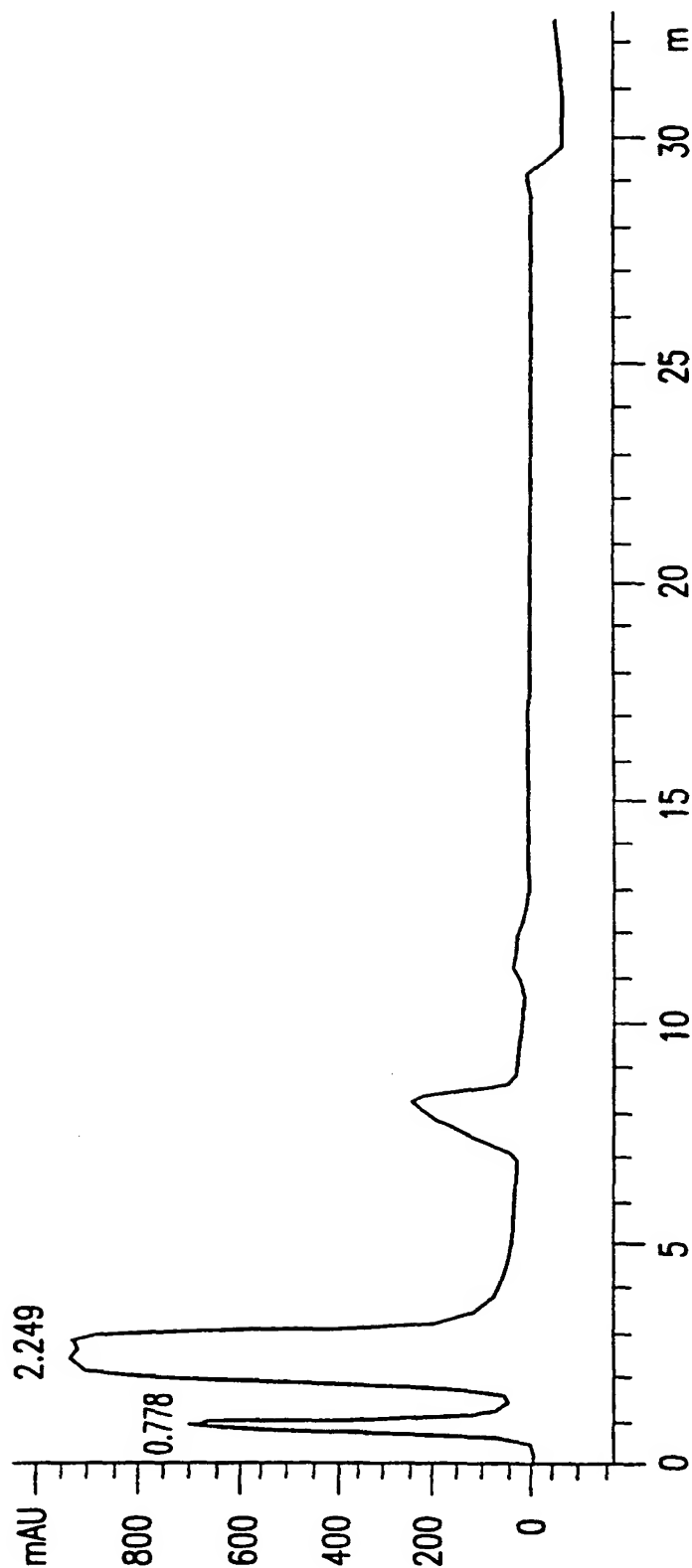


FIG. 31B

FIG.32A

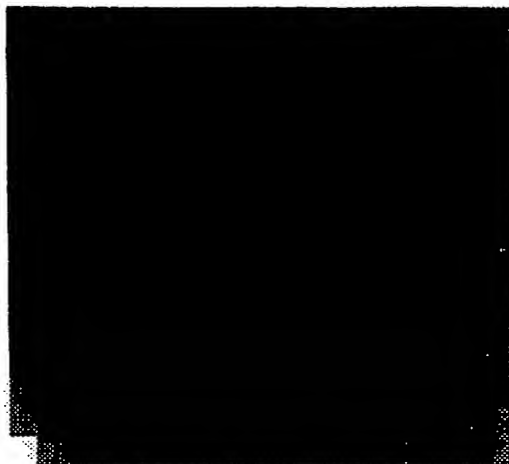


FIG.32B

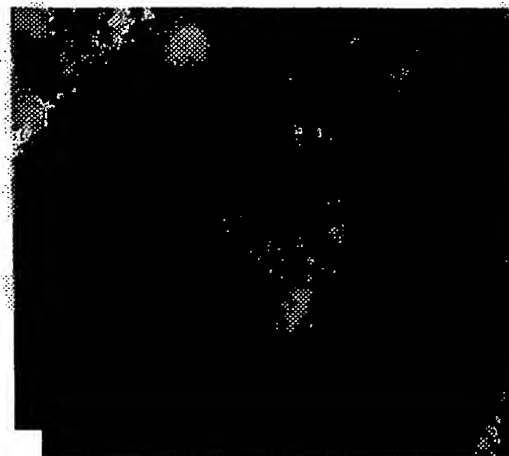
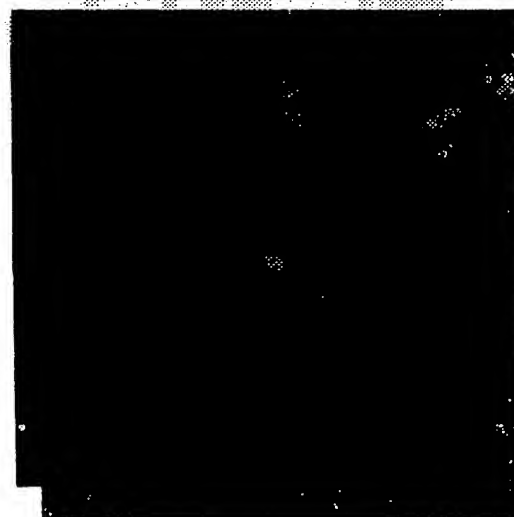


FIG.32C



54/54

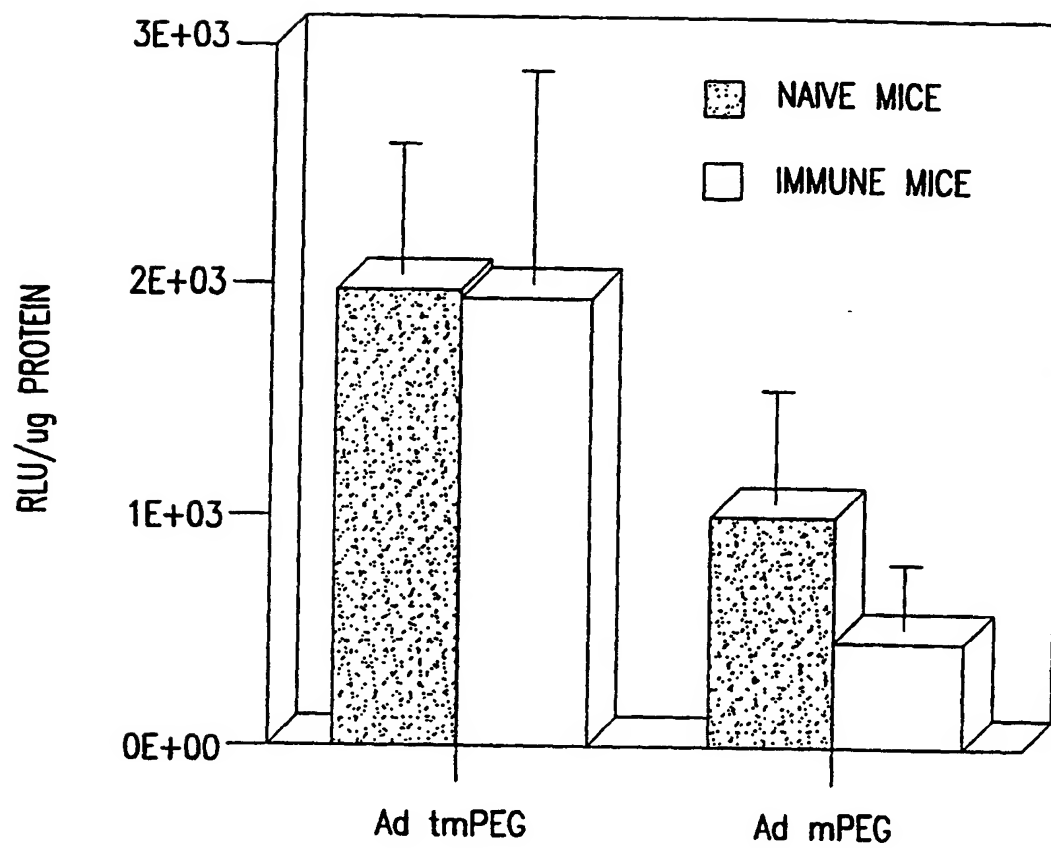


FIG.33

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 98/06609

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 C12N15/87 A61K39/385 A61K48/00 A61K47/48

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 96 21036 A (VIAGENE INC) 11 July 1996  *see in particular claims 15-26, 24-41; p. 5, l. 21 - p.6, l.5; p.8, l.3-p.20, l.17 * -----	1-9, 13-17, 22-26, 29-35, 37-40
X	WO 96 14874 A (LANG GLEN M ;UNIV MANITOBA (CA); SEHON ALEC (CA)) 23 May 1996  *see in particular claims 1-3; p.6, l.14 - p. 7, l. 30* -----	1-14, 22-25, 35-40

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

6 July 1998

Date of mailing of the international search report

17/07/1998

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Isert, B

# INTERNATIONAL SEARCH REPORT

information on patent family members

International Application No

PCT/US 98/06609

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
WO 9621036	A	11-07-1996	AU	4690596 A	24-07-1996
WO 9614874	A	23-05-1996	AU	3813395 A	06-06-1996

Form PCT/ISA/210 (patent family annex) (July 1992)



1

2

3